



## Representing particulate nitrate photolysis over seawater improves CMAQ ozone predictions over the contiguous United States

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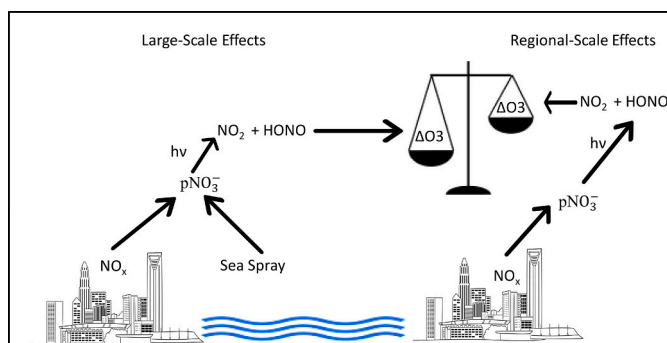
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### HIGHLIGHTS

- Photolysis of particulate nitrate over seawater enhances ozone over the U.S.
- The pathway produces more ozone over the western than over the eastern U.S.
- The pathway improves model spring-time ozone underestimation
- The pathway improves model summer-time ozone underestimation over the western U.S.
- Boundary condition effect contributes 68 % of particulate nitrate photolysis in the U.S.

### GRAPHICAL ABSTRACT



### ARTICLE INFO

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### ABSTRACT

We implement particulate nitrate ( $\text{pNO}_3^-$ ) photolysis into the Community Multiscale Air Quality (CMAQv5.5) model and examine the impact of  $\text{pNO}_3^-$  photolysis on air quality over the contiguous U.S. using 12-km horizontal grids for May–September 2018. Model results show that  $\text{pNO}_3^-$  photolysis increases ozone in each month compared to simulations without the  $\text{pNO}_3^-$  photolysis and increases monthly mean of 24-h surface ozone over the modeling domain by 9.3 ppb (32 %) in May, 8.0 ppb (29 %) in June, 5.6 ppb (20 %) in July, 5.1 ppbv (17 %) in August and 3.6 ppbv (13 %) in September. These increases are larger over the western U.S. than over the eastern U.S. and improve the negative ozone bias over the western U.S. Over the eastern U.S., incorporating  $\text{pNO}_3^-$  photolysis improves the underestimation of ozone in May but slightly deteriorates the positive ozone bias in June–September. However, the deterioration of the ozone bias occurs only at the lower end of observed ozone. Incorporating the effect improves the bias at the higher end of observed ozone and improves the comparison of model diurnal ozone with observed data over the western U.S. but deteriorates it over the eastern U.S. Model sensitivity results suggest that boundary condition effect of  $\text{pNO}_3^-$  photolysis contributes 68 % and  $\text{pNO}_3^-$  photolysis within the limited area domain contributes 32 % of the total impact of  $\text{pNO}_3^-$  photolysis on ozone over the U.S. in May.

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## 1. Introduction

Tropospheric ozone ( $O_3$ ) is a harmful air pollutant with detrimental impacts on human health and ecosystems (US EPA, 2020a). Ozone mixing ratios have decreased substantially in the United States (U.S.) over the past two decades (Simon et al., 2015; Lefohn et al., 2017; Gaudel et al., 2018; Wells et al., 2021) but there remain numerous areas that are still in nonattainment for U.S.  $O_3$  National Ambient Air Quality Standards (NAAQS) of 70 ppb (<https://www.epa.gov/green-book/green-book-8-hour-ozone-2015-area-information>).  $O_3$  levels in the U.S. result from a combination of local and regional formation from U.S. anthropogenic emissions, natural sources, and long-range transport of anthropogenically formed  $O_3$  from upwind regions outside of the U.S. The U.S. EPA reports that the relative importance of these sources varies by time of year and location (US EPA, 2020b). Modeling simulations indicate that  $O_3$  originating from sources other than U.S. anthropogenic emissions (i.e., natural + international) is in the range of about 30 ppb averaged across all days and locations but is highest in the spring, at high elevation locations in the western U.S. due to transport from the free troposphere and in near-border areas where short-range transport from large urban areas along the border is important (US EPA, 2020b). The importance of long-range transport of anthropogenic  $O_3$  from upwind regions outside of the U.S. is most important during spring months and in high-elevation western U.S. locations. These findings are based on analyses using comprehensive chemistry-transport models which are well suited for determining  $O_3$  source contributions. However, uncertainty in model estimates stem from uncertainty in inputs (e.g., emissions) as well as uncertainty in the underlying model representation of atmospheric physical and chemical processes (Russel and Dennis, 2000; Dennis et al., 2010; Napelenok et al., 2011). Recent experimental and observational studies (Ye et al., 2016; Ye et al., 2017; Reed et al., 2017; Romer et al., 2018; Shi et al., 2021; Zhu et al., 2022; Anderson et al., 2023) suggest that nitrate photolysis in aerosol particles is accelerated several orders of magnitude relative to the bulk solution reaction and have identified particulate nitrate ( $pNO_3^-$ ) photolysis as one important chemical uncertainty.  $pNO_3^-$  had previously been treated as a sink for reactive nitrogen in most models, but  $pNO_3^-$  is now believed to be a reservoir from which reactive nitrogen can be recycled through photolysis. Photolysis of  $pNO_3^-$  has the potential to increase the lifetime of oxides of nitrogen ( $NO_x$ ) and is thus critically important to accurately represent the contribution of long-range international transport to  $O_3$  levels in the U.S. In previous work, we simulated the impacts of including this chemistry on modeled  $O_3$  mixing ratios by using coarse-resolution (108-km) hemispheric CMAQ (Mathur et al., 2017) model simulations (Sarwar et al., 2024). Here, we further explore the importance of this chemistry by pairing the hemispheric model simulations with finer-resolution 12-km model simulations over the contiguous U.S. to better characterize the impact of large scale forcings on regional and local-scale  $O_3$  formation.

## 2. Methodology

The Community Multiscale Air Quality (CMAQ) model ([www.epa.gov/cmaq](http://www.epa.gov/cmaq)) is a state of the science air quality model containing comprehensive treatment of all important atmospheric processes and has been used in many air quality research studies and regulatory activities in and outside the U.S. It contains detailed treatment of emissions, advection and diffusion, gas-phase chemistry, aerosol processes, deposition, and cloud processes. The CMAQ model is periodically updated and released to the public. CMAQv5.5 was released in October 2024 ([www.epa.gov/cmaq](http://www.epa.gov/cmaq); doi: <https://doi.org/10.5281/zenodo.13883210>) and has been used in this study. The modeling domain for this study covers the entire contiguous U.S., Canada, and Mexico and is discretized using 12-km horizontal grids and 35 vertical layers of varying thickness with a model top reaching to 50 hpa and a first layer

height of approximately 20-m.

Meteorological fields for driving the CMAQ model were prepared using the Weather Research and Forecasting model version 4.3.3 (WRFv4.3.3; Skamarock et al., 2021). WRF has been used for retrospective meteorological simulations at the U.S. EPA for many years (Appel et al., 2017; U.S. EPA, 2019; Gilliam et al., 2021). The physics options followed the standard US EPA configuration. Key physics parameterizations include the RRTMG shortwave and longwave radiation schemes (Iacono et al., 2008) and the Kain-Fritsch 2 (KF2, Kain, 2004) convective parameterization scheme with the subgrid cloud feedback to the radiation (Alapaty et al., 2012; Herwehe et al., 2014) option. The Morrison double-moment microphysics (Morrison et al., 2009) has been used for many years in WRF. The P-X Land Surface model (LSM) (Pleim and Xiu, 1995), the Asymmetric Convective Model, version 2 (ACM2) Planetary Boundary Layer (PBL) (Pleim, 2007), and Pleim surface layer schemes (Pleim, 2006) were used to resolve surface-atmospheric interactions where the National Land Cover Dataset with 40 land use categories (NLCD40) defined the surface characteristics.

Four-Dimensional Data Assimilation (FDDA) in the form of grid nudging was used for winds, temperature and moisture above the planetary boundary layer. FDDA has been found to significantly reduce the uncertainty of atmospheric state variables in the free troposphere (Stauffer and Seaman, 1990) and is a key model setting for retrospective meteorology inputs for air quality modeling (Gilliam et al., 2021). Three-hourly National Center for Environmental Prediction (NCEP), North American Model (NAM)-based 12 km analyses were the source of FDDA inputs. The P-X LSM used indirect soil moisture and temperature nudging (Pleim and Gilliam, 2009) that requires NAM-based 2-m temperature and moisture from the same NCEP NAM analysis. The obsgrid re-analysis tool was used for both FDDA and P-X soil nudging fields to further improve the analysis inputs that has been shown to further reduce modeling error (Gilliam et al., 2021).

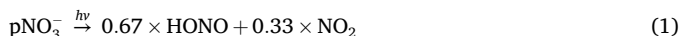
WRF predictions were evaluated against observed surface and upper-air meteorology as well as precipitation and shortwave radiation over the U.S. Root Mean Square Error (RMSE) of model predicted temperature, water vapor mixing ratio, wind speed, and wind direction are calculated using observed data over the U.S. (Table S.1). The WRF simulation had expected levels of error. Monthly error in temperature was  $<2.1$  K, water vapor mixing ratio was  $<1.7$  g  $kg^{-1}$ , 10-m wind speed was  $<1.7$  m  $s^{-1}$ , and wind direction was 31–33 degrees. All of these follow published benchmarks like US EPA (2019) and other historical US EPA modeling. Thus, WRF predicted meteorological field is deemed suitable for driving the CMAQ model.

Model-ready emissions were generated using the Sparse Matrix Operator Kernel Emissions (SMOKE). Anthropogenic emissions were obtained from the 2017 National Emissions Inventory (USEPA, 2021) and were adjusted for year 2018 using year-specific data for sources when available (e.g., onroad, nonroad, oil and gas, power plants, volatile chemical products) or scaling factors following the methodology in Foley et al. (2023). Biogenic emissions were generated using in-line Biogenic Emission Inventory System (Bash et al., 2016) as implemented in CMAQv5.5 (doi: <https://doi.org/10.5281/zenodo.13883210>; <https://github.com/USEPA/CMAQ/wiki/CMAQ-Release-Notes>: Emissions-Updates:BEIS-Biogenic-Emissions). Lightning  $NO_x$  ( $NO_x = NO + NO_2$ ) emissions were calculated in-line (Kang et al., 2019). Procedures used in estimating fire emissions for the US EPA Air Quality Time Series project (EQUATES) (Beidler et al., 2024) are used. Sea-spray emissions in CMAQ (Gantt et al., 2015) are speciated into several aerosol species by mass (gm/g) (Miller, 1996):  $Cl^-$ ,  $Na^+$ ,  $SO_4^{2-}$ ,  $Ca^{2+}$ ,  $Mg^{2+}$ ,  $K^+$ , and  $Br^-$ . We include an additional tracer species for sea-salt in CMAQ as it does not separately track sea-salt concentration and use its molar concentration to calculate enhancement factor.

Chemical mechanisms used in air quality models typically do not include the photolysis of  $pNO_3^-$ . Nitric acid ( $HNO_3$ ) photolysis frequency is well known, and most air quality models employ  $HNO_3$  photolysis. However, several recent studies suggest that  $pNO_3^-$  can undergo

photolysis in the atmosphere.  $p\text{NO}_3^-$  photolysis frequency is usually expressed with an enhancement factor (EF) that is simply a ratio of  $p\text{NO}_3^-$  photolysis frequency and  $\text{HNO}_3$  photolysis frequency. Several studies (Romer et al., 2018; Shi et al., 2021) suggest that EF is small (1–30). In contrast, other studies (Ye et al., 2016; Ye et al., 2017; Reed et al., 2017; Zhu et al., 2022; Anderson et al., 2023) suggest EF is much higher and can reach several hundreds. Anderson et al. (2023) recently completed a field campaign and suggest that EF is not constant but varies with many factors e.g., temperature, relative humidity, pH, particle composition and particle aging, presence of dust and other factors. They suggest EF is generally high at low  $p\text{NO}_3^-$  concentration and low at high  $p\text{NO}_3^-$  concentration.

Ye et al. (2016) proposed that  $p\text{NO}_3^-$  photolysis produces HONO (nitrous acid) and  $\text{NO}_2$  (nitrogen dioxide) as follows:



Dang et al. (2023) and Shah et al. (2023) implemented  $p\text{NO}_3^-$  photolysis in GEOS-Chem using the following parametrization for EF:

$$\text{EF} = 100 \times \max\left(\frac{[\text{SSA}]}{[\text{SSA}] + [p\text{NO}_3^-]}, 0.1\right) \quad (2)$$

Where [SSA] is the molar concentration of sea-salt and  $[p\text{NO}_3^-]$  is the molar concentration of  $p\text{NO}_3^-$ . Sarwar et al. (2024) implemented eqs. 1 and 2 into CMAQv5.4 and quantified the impact of the chemistry on  $\text{O}_3$  over the Northern Hemisphere. Here, we also use the same chemistry and EF but apply this chemistry using simulations with finer 12-km grid resolution over the contiguous U.S. using an updated version of CMAQ ([www.epa.gov/cmaq](http://www.epa.gov/cmaq); doi: <https://doi.org/10.5281/zenodo.13883210>).

Two different CMAQ simulations were completed for the study. The first simulation was completed using the Carbon Bond 6, release 5 (CB6r5) chemical mechanism (Yarwood et al., 2020) without any  $p\text{NO}_3^-$  photolysis chemistry. Boundary and initial conditions for this simulation were generated from the hemispheric CMAQ results without any  $p\text{NO}_3^-$  photolysis. The second simulation was completed using the CB6r5 with the  $p\text{NO}_3^-$  photolysis reaction described in eq. 1 and the EF parameterization from eq. 2. Boundary conditions for the second simulation were generated from the hemispheric CMAQ results with  $p\text{NO}_3^-$  photolysis (Sarwar et al., 2024). Boundary conditions for the second simulation contained lower  $p\text{NO}_3^-$  concentrations and higher  $\text{NO}_2$ , HONO, and  $\text{O}_3$  mixing ratios compared to those used for the first simulation. Differences in model results of the second and first simulations are attributed to the  $p\text{NO}_3^-$  photolysis. Both simulations started on April 20, 2018, and ended on September 30, 2018. The first 11 days are used as model spin-up period and the results for May–September are analyzed. May is taken as a representative month for spring while September is taken as a representative month for fall. The months of June, July, August comprised the summer season. To examine impacts of the  $p\text{NO}_3^-$  photolysis without including the effect of  $p\text{NO}_3^-$  photolysis in the boundary conditions, we completed an additional sensitivity simulation for May. The sensitivity simulation included  $p\text{NO}_3^-$  photolysis but utilized boundary conditions from the hemispheric model without the  $p\text{NO}_3^-$  photolysis. The details of each simulation performed for this study are presented in Table 1.

### 3. Results

#### 3.1. Impact on $p\text{NO}_3^-$

We focus on daytime (solar radiation absorbed on ground  $>5\text{-watt m}^{-2}$ ) model predictions since the  $p\text{NO}_3^-$  photolysis is active only during the day. Model predicted May – September daytime surface layer mean  $p\text{NO}_3^-$  concentrations and the impacts of the  $p\text{NO}_3^-$  photolysis on  $p\text{NO}_3^-$  are shown in Fig. 1(a) and (b), respectively. The model without  $p\text{NO}_3^-$

**Table 1**

Simulation cases.

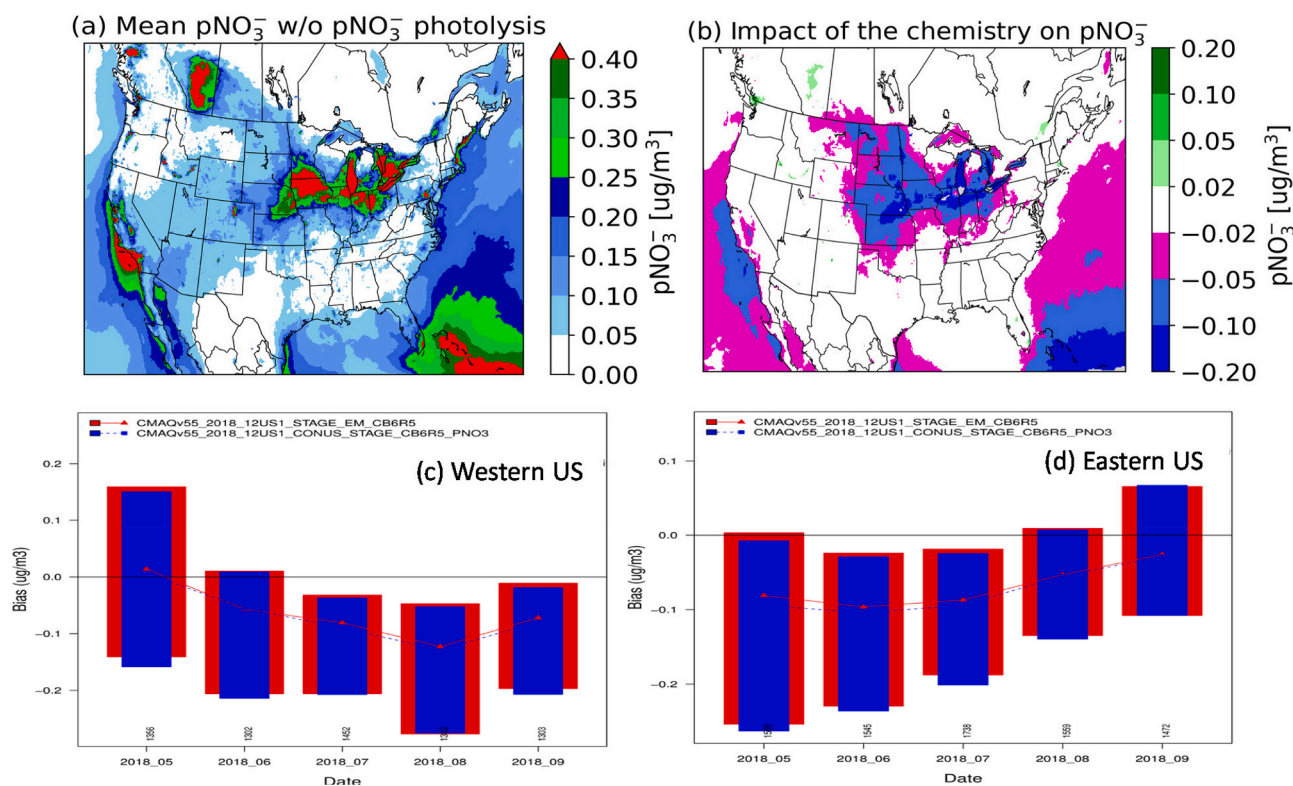
Case	Chemical mechanism	Boundary and initial condition	Simulation period	Comments
A	CB6r5 without any $p\text{NO}_3^-$ photolysis	Hemispheric CMAQ results without any $p\text{NO}_3^-$ photolysis	May to September	B-A = Combined impacts of $p\text{NO}_3^-$ photolysis occurring over the model domain and integrating the effect of $p\text{NO}_3^-$ photolysis into boundary condition
B	CB6r5 with $p\text{NO}_3^-$ photolysis	Hemispheric CMAQ results with $p\text{NO}_3^-$ photolysis	May to September	B-C = Impacts of integrating the effect of $p\text{NO}_3^-$ photolysis into boundary condition
C	CB6r5 with $p\text{NO}_3^-$ photolysis	Hemispheric CMAQ results without any $p\text{NO}_3^-$ photolysis	May	C-A = Impacts of $p\text{NO}_3^-$ photolysis occurring over the model domain

photolysis predicts  $p\text{NO}_3^-$  concentrations  $>0.25 \mu\text{g m}^{-3}$  over portion of California and the surrounding coastal water, the mid-west, portions of western Canada, and portion of seawater.  $p\text{NO}_3^-$  concentrations are predicted to be  $<0.25 \mu\text{g m}^{-3}$  over other areas.  $p\text{NO}_3^-$  photolysis reduces  $p\text{NO}_3^-$  concentrations by  $0.02\text{--}0.2 \mu\text{g m}^{-3}$  over seawater, parts of California, and the mid-west but increases  $p\text{NO}_3^-$  concentrations by  $<0.05 \mu\text{g m}^{-3}$  over some isolated areas. The locations of the greatest decreases in  $p\text{NO}_3^-$  due to photolysis generally coincide with locations with the largest  $p\text{NO}_3^-$  concentrations in the model simulation except for Alberta, Canada where  $p\text{NO}_3^-$  photolysis had little impact on  $p\text{NO}_3^-$  concentrations despite relatively high concentrations. The reduction of  $p\text{NO}_3^-$  occurs primarily due to the direct loss of  $p\text{NO}_3^-$  from photolysis and lower  $p\text{NO}_3^-$  transported into the modeled domain through the boundary conditions.  $p\text{NO}_3^-$  concentrations in Alberta are very high resulting in a very low EF which in turn generated only small impact on  $p\text{NO}_3^-$  concentrations.

Monthly Mean Bias (MB; calculated as observed concentrations – modeled concentrations) of model predicted  $p\text{NO}_3^-$  concentrations without and with the  $p\text{NO}_3^-$  photolysis is shown in Fig. 1(c) and (d) for the western and eastern U.S., respectively (see Fig. S.1 for definition of the western and eastern U.S.). Over the western U.S., the model without  $p\text{NO}_3^-$  photolysis (red bars in Fig. 1(c)) reproduces observed data in May as MB is close to zero. Model  $p\text{NO}_3^-$  concentrations without the  $p\text{NO}_3^-$  photolysis are lower than the observed concentrations in other months as MB is negative in each month. The model with  $p\text{NO}_3^-$  photolysis (blue bars in Figs. 1(c)) marginally affects the  $p\text{NO}_3^-$  concentrations as MBs are close to those obtained with the  $p\text{NO}_3^-$  photolysis. Over the eastern U.S., model  $p\text{NO}_3^-$  concentrations without the  $p\text{NO}_3^-$  photolysis are consistently lower than the observed data as MB is negative in each month. Again, the model treatment with  $p\text{NO}_3^-$  photolysis only marginally affects the  $p\text{NO}_3^-$  concentrations and MBs are very similar to those obtained in the model simulations without  $p\text{NO}_3^-$  photolysis.

#### 3.2. Impact on $\text{NO}_2$

Model predicted mean daytime  $\text{NO}_2$  mixing ratios without the  $p\text{NO}_3^-$  photolysis and the changes due to the inclusion of  $p\text{NO}_3^-$  photolysis are shown in Fig. 2a and b, respectively. The model without  $p\text{NO}_3^-$  photolysis produces  $\text{NO}_2$  mixing ratios  $>800$  pptv over many urban areas which have relatively large  $\text{NO}_2$  emissions. It predicts relatively lower levels of  $\text{NO}_2$  away from large  $\text{NO}_2$  emissions sources over rural areas and seawater. Elevated  $\text{NO}_2$  mixing ratios along the shipping tracks are also evident in comparison to surrounding marine locations in Fig. 2(a).  $p\text{NO}_3^-$  photolysis enhances  $\text{NO}_2$  levels over seawater, large areas of the western U.S., Mexico, and Canada and reduces  $\text{NO}_2$  levels over large

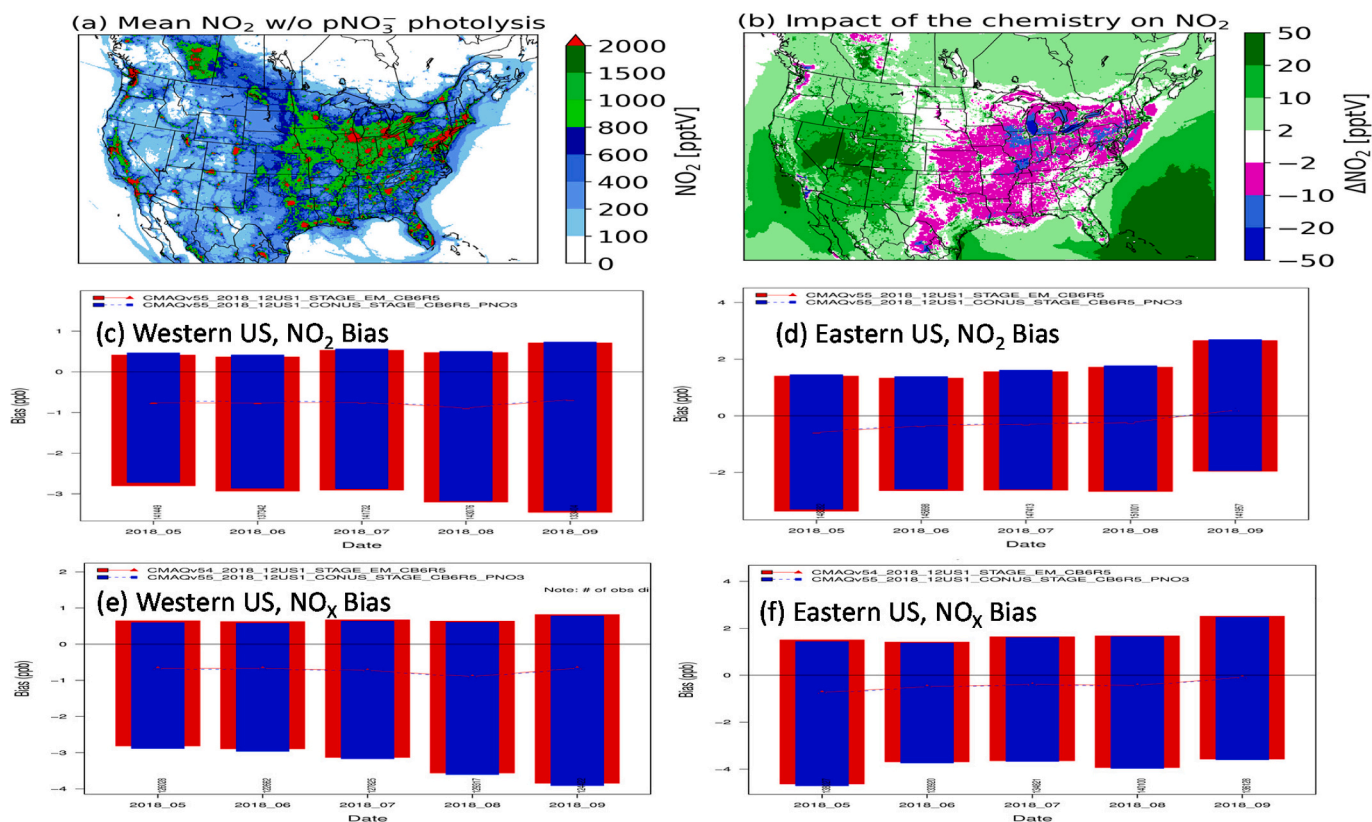


**Fig. 1.** (a) May – September daytime mean pNO<sub>3</sub><sup>-</sup> concentrations without pNO<sub>3</sub><sup>-</sup> photolysis (Case A) (b) impact of pNO<sub>3</sub><sup>-</sup> photolysis on daytime May–September mean pNO<sub>3</sub><sup>-</sup> concentrations (Case B – Case A) (c) monthly Mean Bias (MB) of pNO<sub>3</sub><sup>-</sup> concentrations without (red) and with (blue) the pNO<sub>3</sub><sup>-</sup> photolysis over the western U.S. and (d) Monthly MB of pNO<sub>3</sub><sup>-</sup> concentrations without (red) and with (blue) the pNO<sub>3</sub><sup>-</sup> photolysis over the eastern U.S. Weekly (all hours) data from the Clean Air Status and Trends Network (CASTNET) and 24-h average data from Chemical Speciation Network (CSN) and Interagency Monitoring of Protected Visual Environments (IMPROVE) are combined for comparison with modeled data. Red color represents model without pNO<sub>3</sub><sup>-</sup> photolysis and blue color represents model with pNO<sub>3</sub><sup>-</sup> photolysis. The number of model/observation pairs for each month is shown above the x-axis in (c) and (d).

areas of eastern U.S. Higher impacts are predicted over water than over land. NO<sub>2</sub> enhancements occur primarily due to the production of NO<sub>2</sub> via the pNO<sub>3</sub><sup>-</sup> photolysis and the contribution from the boundary condition. Over the eastern U.S., NO<sub>2</sub> mixing ratios in the model without the pNO<sub>3</sub><sup>-</sup> photolysis are relatively high compared to the western U.S. As shown in Fig. 3, pNO<sub>3</sub><sup>-</sup> photolysis enhances O<sub>3</sub> regionally. Reactions of NO<sub>2</sub> with additional O<sub>3</sub> and NO<sub>2</sub> with NO<sub>3</sub> (gas-phase nitrate radical) reduce night-time NO<sub>2</sub> causing the morning levels to be lower than the corresponding values of the model without the pNO<sub>3</sub><sup>-</sup> photolysis. In the eastern U.S., the lower morning NO<sub>2</sub> level causes lower daytime NO<sub>2</sub> despite production from the pNO<sub>3</sub><sup>-</sup> photolysis while in the western U.S. the increased production along with transport from the boundaries outweigh the chemical loss due to increased O<sub>3</sub> mixing ratios. The reaction of NO<sub>2</sub> with additional hydroxyl radical also contributes to the lower daytime NO<sub>2</sub> in the eastern U.S. in the simulation with pNO<sub>3</sub><sup>-</sup> photolysis compared to the simulation without pNO<sub>3</sub><sup>-</sup> photolysis. Model predictions are compared to data (all hours) from Air Quality System (AQS) over the western and eastern U.S. in Fig. 2(c) and 2(d). The AQS sites are generally located in urban areas with higher NO<sub>2</sub> mixing ratios. Monthly MB without the pNO<sub>3</sub><sup>-</sup> photolysis is negative in each month which indicates that model predictions are lower than the observed data. The model with pNO<sub>3</sub><sup>-</sup> photolysis, however, does not change MB in the western or eastern U.S. Model changes near the surface are small; consequently, there are no changes in MB. Model predicted NO<sub>x</sub> mixing ratios are compared to data (all hours) from AQS over the western and eastern U.S. in Fig. 2(e) and 2(f). Consistent with the NO<sub>2</sub> results, the model with pNO<sub>3</sub><sup>-</sup> photolysis, however, does not change MB of NO<sub>x</sub> mixing ratios in the western or eastern U.S.

We compare CMAQ NO<sub>2</sub> tropospheric vertical column densities (VCD) with retrievals from the Ozone Monitoring Instrument (OMI;

Krotkov et al., 2017) and describe mean bias without and with pNO<sub>3</sub><sup>-</sup> photolysis in Fig. S.2(a) and S.2(b), respectively. CMAQ-OMI bias calculations use all OMI retrievals over the domain for May to September after excluding retrievals based on quality flags or effective cloud fraction >0.3. OMI pixels are regridded to CMAQ's lambert conformal grid using an area-weighted average approach as implemented in CMAQ Satellite Processor (<https://github.com/barronh/cmaqsatproc> v0.4.1) resulting in 70,089 valid grid cell pairs per day on average (51 % of possible cells) for comparison. To compare to CMAQ, the OMI retrieved slant path column density (SCD) is converted to a VCD ( $=SCD/(\sum w_z S_{zs})$ ) using the reported scattering weights ( $w_z$ ; increasing with altitude) and simulation dependent NO<sub>2</sub> shape factor ( $S_{zs} = VCD_{zs}/VCD_s$ ). The shape factor weighted  $w_z$  scales the SCD to account for both viewing geometry and retrieval sensitivity (Krotkov et al., 2017). Using CMAQ for the shape factor ensures consistent resolution and vertical distribution within the bias calculation. The OMI VCD will be smaller (larger) when the CMAQ simulation has more (less) NO<sub>2</sub> aloft because  $w_z$  increases with altitude. Biases obtained without pNO<sub>3</sub><sup>-</sup> photolysis are negative with a mean value of  $-0.50 \pm 0.37 \times 10^{15}$  molecules cm<sup>-2</sup> and a Normalized Mean Bias (NMB) of  $-45 \pm 16$  %, where values are mean  $\pm$  std. over all pixels in the domain. The model with pNO<sub>3</sub><sup>-</sup> photolysis generally produces positive bias with a mean value of  $0.10 \pm 0.20 \times 10^{15}$  molecules cm<sup>-2</sup> and a NMB of  $23 \pm 34$  %. The change in bias can be broken down into two distinct components: (1) a direct change in the predicted NO<sub>2</sub> column and (2) a change to the OMI VCD. First, pNO<sub>3</sub><sup>-</sup> photolysis produces NO<sub>2</sub> and increases model average vertical column density by  $0.36 \pm 0.07 \times 10^{15}$  molecules cm<sup>-2</sup> (81  $\pm$  38 %). Second, pNO<sub>3</sub><sup>-</sup> photolysis decreases the processed OMI vertical columns by changing the derived air mass factor. pNO<sub>3</sub><sup>-</sup> photolysis disproportionately increases the partial columns (VCD<sub>zs</sub>) aloft where satellite



**Fig. 2.** (a) May–September daytime mean  $\text{NO}_2$  mixing ratios without  $\text{pNO}_3$  photolysis (Case A) (b) impact of  $\text{pNO}_3$  photolysis on  $\text{NO}_2$  mixing ratios (Case B - Case A) (c) Monthly MB of  $\text{NO}_2$  mixing ratios (all hours) without and with the  $\text{pNO}_3$  photolysis over the western U.S. at AQS sites (d) Monthly MB of  $\text{NO}_2$  mixing ratios (all hours) without and with the  $\text{pNO}_3$  photolysis over the eastern U.S. at AQS sites. (e) Monthly MB of  $\text{NO}_x$  mixing ratios (all hours) without and with the  $\text{pNO}_3$  photolysis over the western U.S. at AQS sites (f) Monthly MB of  $\text{NO}_x$  mixing ratios (all hours) without and with the  $\text{pNO}_3$  photolysis over the eastern U.S. at AQS sites. Red color represents model without  $\text{pNO}_3$  photolysis and blue color represents model with  $\text{pNO}_3$  photolysis. The number of model/observation pairs for each month is shown above the x-axis in (c) and (d).

sensitivity ( $w_2$ ) is high and, therefore, decreases the OMI VCD by  $-0.26 \pm 0.23 \times 10^{15}$  molecules  $\text{cm}^{-2}$  ( $-21 \pm 11\%$ ). The direct addition of  $\text{NO}_2$  in the column and the change in the shape factor both substantially contribute to the net change in estimated bias.

### 3.3. Impact on HONO

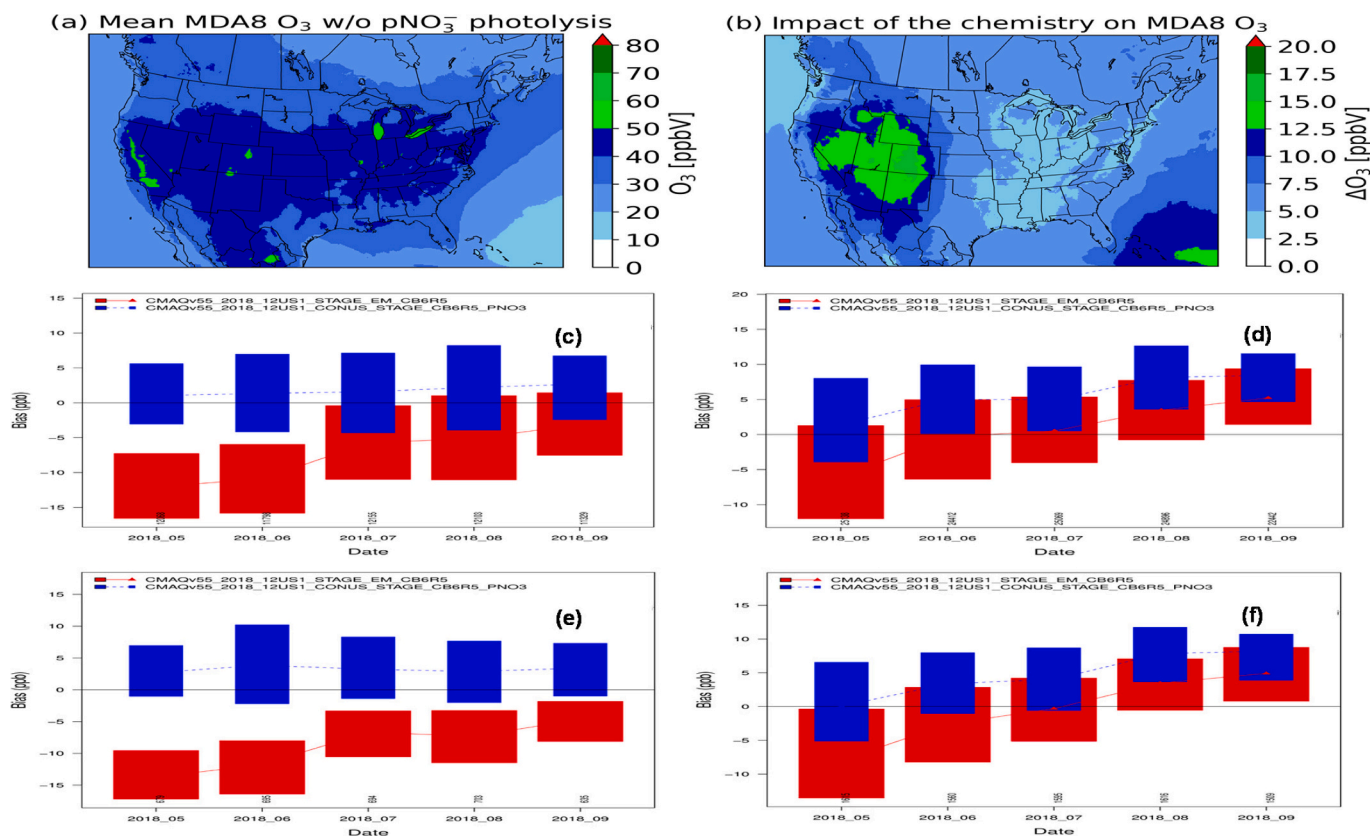
Model predicted May – September daytime mean HONO mixing ratios without the  $\text{pNO}_3$  photolysis and the changes with the HONO photolysis are shown in Fig. S.3a and Fig. S.3b, respectively. Model HONO production depends on  $\text{NO}_2$  (Sarwar et al., 2008). Relatively higher HONO levels are predicted over many urban areas due to elevated  $\text{NO}_2$  levels over those areas. Lower values are predicted over rural areas and seawater. Higher values along the shipping track are also noticeable.  $\text{pNO}_3$  photolysis enhances HONO mixing ratios over seawater and some land areas. However, its impact over land is generally small due to lower EF. Higher impacts are seen over seawater than over land. Reduction of HONO occurs over some land areas due to lower  $\text{NO}_2$  levels. Impact of  $\text{pNO}_3$  photolysis on HONO over land is generally small where other HONO production pathways are important. To evaluate the impact of the chemistry on HONO, observed HONO data are needed in remote areas. Model predictions are not compared to any observed data since such data are not available in remote areas within the modeling domain.

We previously compared model prediction with observed HONO data from a remote area off the west coast of Africa (Sarwar et al., 2024) (which is located outside the modeling domain used in this study) and model predictions with the  $\text{pNO}_3$  photolysis compared much better with observed data.

### 3.4. Impact on $\text{O}_3$

Unlike daytime results reported for other pollutants which included hours where solar radiation absorbed on ground is  $>5\text{-watt m}^{-2}$ , daytime  $\text{O}_3$  is calculated as the daily maximum 8-h (MDA8)  $\text{O}_3$  mixing ratio, which is also the regulatory metric in the U.S. Mean MDA8  $\text{O}_3$  mixing ratios without the  $\text{pNO}_3$  photolysis are shown in Fig. 3(a). Higher values are predicted over land than over seawater.  $\text{pNO}_3$  photolysis enhances mean MDA  $\text{O}_3$  mixing ratios by 3.0–17.0 ppbv over the U.S. and larger enhancements occur over the western U.S. than over the eastern U.S. (Fig. 3(b)). The largest enhancement occurs in May. These results are consistent to those presented in Sarwar et al. (2024).

Monthly MDA8  $\text{O}_3$  MB was calculated by using model predicted  $\text{O}_3$  and observed data from the AQS (Fig. 3(c-d)) and CASTNET (Fig. 3(e-f)) sites over the western and eastern U.S. Over the western U.S., the model without  $\text{pNO}_3$  photolysis underpredicts observed data (negative MB) at the AQS sites. The extent of the negative bias is largest in May and then the bias improves in each month but the model still underpredicts the observed data in each month. The model with  $\text{pNO}_3$  photolysis eliminates almost all negative biases and produces slightly positive mean biases in each month. Over the eastern U.S., the model without  $\text{pNO}_3$  photolysis produces mixed bias compared to the observed data at AQS sites, with a negative mean bias in May, nearly zero mean bias in June and July, and positive mean bias in August and September. The model with the  $\text{pNO}_3$  photolysis eliminates the negative MB in May but produces positive bias in June–September. A similar trend is also noticeable over the western and eastern U.S. at the CASTNET sites (Fig. 3(e-f)). Thus, over the western U.S., the model without the  $\text{pNO}_3$  photolysis underpredicts monthly mean MDA8  $\text{O}_3$  throughout the May–September



**Fig. 3.** (a) May – September mean MDA  $O_3$  mixing ratios in simulation without  $pNO_3$  photolysis (Case A) (b) impact of  $pNO_3$  photolysis on mean MDA  $O_3$  mixing ratios (Case B - Case A) (c) Monthly MB of MDA8  $O_3$  mixing ratios without (red) and with (blue) the  $pNO_3$  photolysis over the western U.S. at AQS sites (d) Monthly MB of MDA8  $O_3$  mixing ratios without (red) and with (blue) the  $pNO_3$  photolysis over the eastern U.S. at AQS sites (e) Monthly MB of MDA8  $O_3$  mixing ratios without (red) and with (blue) the  $pNO_3$  photolysis over the western U.S. at CASTNET sites (f) Monthly MB of MDA8  $O_3$  mixing ratios without (red) and with (blue) the  $pNO_3$  photolysis over the eastern U.S. at CASTNET sites. The number of model/observation pairs for each month is shown above the x-axis in (c-f).

period analyzed in this study and the  $pNO_3$  photolysis substantially improves the model under-predictions. Over the eastern U.S., the model without the  $pNO_3$  photolysis underpredicts monthly mean MDA8  $O_3$  in May but produces nearly zero MB or over-predicts in June–September and the  $pNO_3$  photolysis improves the model under-predictions in May but results in positive bias in the remaining months.

Spatial plots of monthly mean MDA8  $O_3$  MB in May at AQS sites are shown in Fig. 4(a-b). The model without  $pNO_3$  photolysis substantially under-predicts MDA8  $O_3$  producing large negative bias over the U.S. The magnitude of the under-predictions is larger over the western U.S. than over the eastern U.S.  $pNO_3$  photolysis increases MDA8  $O_3$  mixing ratios and substantially improves the bias over the U.S. eliminating the negative mean bias over large areas of the U.S. and producing slightly positive mean bias. However, negative bias is still persistent in some areas of the U.S. (western U.S., northeast, and the mid-west). Thus,  $pNO_3$  photolysis substantially improves the modeled May  $O_3$  under-prediction that has been reported in previous studies (Appel et al., 2021). Spatial plots of monthly mean MB in July at AQS sites are shown in Fig. S.4(a-b). The model without  $pNO_3$  photolysis under-predicts MDA8  $O_3$  (negative bias) over the western U.S. but produces positive bias over the eastern U.S.  $pNO_3$  photolysis improves model MDA8  $O_3$  under-prediction over the western U.S. but further exacerbates over-predictions of  $O_3$  over the eastern U.S.

Building upon the monthly mean results shown in Figs. 3(c)–3(f), daily time series of MB were calculated over the eastern and western U.S. by using model predicted MDA8  $O_3$  and observed data from the AQS sites in each region. Over the eastern U.S., the model without  $pNO_3$  photolysis produces mixed bias (Fig. 5(a)). The base model simulation without  $pNO_3$  photolysis produces negative MDA8  $O_3$  bias for many

days in May and June, mixed bias for remaining days in May–June and July, and positive bias in August and September. The model with the  $pNO_3$  photolysis improves the negative bias on many days in May and June but over-predicts on other days. Over the western U.S., the model without  $pNO_3$  photolysis produces negative bias (Fig. 5(b)). The magnitude of the negative bias is the largest in May and then the negative bias improves but persists in subsequent months. The model with  $pNO_3$  photolysis eliminates the negative bias on most days. Time series of daily MDA8  $O_3$  MB at the CASTNET sites over the western and eastern U.S. are shown in Fig. S.5(a-b). Similar to the results at the AQS sites, the model without  $pNO_3$  photolysis produces negative bias over the western U.S. and the  $pNO_3$  photolysis improves the negative bias on all days over the western U.S. but only improves the bias in May and part of June and deteriorates it on other days over the eastern U.S. Thus, the model without  $pNO_3$  photolysis produces mixed bias over the eastern U.S. and the  $pNO_3$  photolysis improves the negative bias in May for all days but tends to deteriorate the bias in June–September. The Model without  $pNO_3$  photolysis produces negative daily bias over the western U.S. and the  $pNO_3$  photolysis improves the negative bias for almost all days in May–September modeled period.

We show MB of MDA8  $O_3$  at the AQS sites as a function of observed MDA8 mixing ratio bins in Fig. 6(a, c) by combining all data during the simulation. Over the eastern U.S., the model without  $pNO_3$  photolysis produces positive bias when observed MDA8  $O_3$  is below 50 ppbv and negative bias when observed MDA8  $O_3$  is above 50 ppbv (Fig. 6(a)). The  $pNO_3$  photolysis further deteriorates the bias on observed days below 50 ppbv but improves the bias on observed days above 50 ppbv. Over the western U.S., the model without  $pNO_3$  photolysis produces positive bias on days with observed MDA8  $O_3$  below 30 ppbv and negative bias on

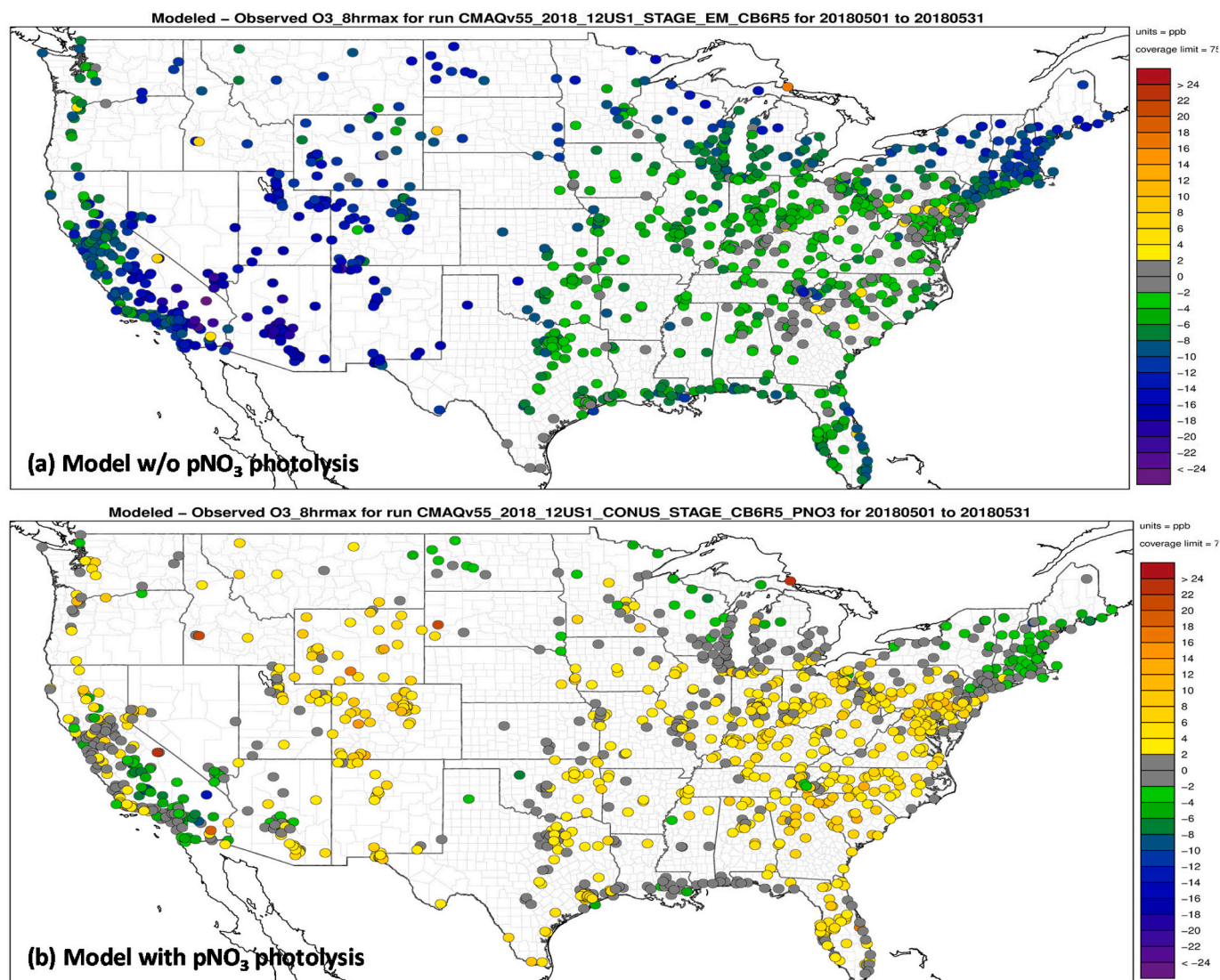


Fig. 4. (a) Spatial plot of May MB of MDA8 O<sub>3</sub> without the pNO<sub>3</sub> photolysis at the AQS sites (b) Spatial plot of May MB of MDA8 O<sub>3</sub> with the pNO<sub>3</sub> photolysis at the AQS sites.

days with observed MDA8 O<sub>3</sub> above 30 ppbv (Fig. 6(c)). The pNO<sub>3</sub> photolysis further deteriorates the bias on observed days below 30 ppbv but improves the bias on observed days above 30 ppbv. Root Mean Square Error (RMSE) of MDA8 O<sub>3</sub> as a function of observed data are shown in Fig. 6(b, d). The pNO<sub>3</sub> photolysis increases the error at the lower ranges of observed data but reduces the error at the higher end of observed data. MB and RMSE of MDA8 O<sub>3</sub> at the CASTNET sites as a function of observed data are shown in Fig. S.6(a-d). Results at the CASTNET sites are consistent to those shown in Fig. 6(a-d) at the AQS sites.

We compare model diurnal patterns of hourly O<sub>3</sub> with observed data for two representative months: May and July. The model predicted median diurnal pattern of O<sub>3</sub> in May are compared to the observed data at the CASTNET and AQS sites in Fig. 7(a-d). Observed O<sub>3</sub> mixing ratios are lower at night and higher during the day peaking in the mid-afternoon. Both model simulations reproduce the diurnal pattern of observed data. However, the model without pNO<sub>3</sub> photolysis substantially (>10 ppbv) under-predicts the observed data both at night and during the day in the western U.S. The pNO<sub>3</sub> photolysis enhances O<sub>3</sub> mixing ratios and substantially improves the comparison with observed data. Over the eastern U.S., the model without pNO<sub>3</sub> photolysis also under-predicts the observed data and the pNO<sub>3</sub> photolysis enhances O<sub>3</sub>

mixing ratios and improves the comparison with observed data but tends to over-estimate observed data for some hours. The model predicted median diurnal pattern of O<sub>3</sub> in July are compared to the observed data at the CASTNET and AQS sites in Fig. S.7(a-d). pNO<sub>3</sub> photolysis improves the comparison with observed data in the western U.S. However, it results in overprediction in the eastern U.S.

Ozonesonde data from the National Oceanic and Atmospheric Administration's Earth System Research Laboratory are available at three sites (Trinidad Head, California; Boulder, Colorado and Huntsville, Alabama) in the U.S. (Johnson, et al., 2018). Modeled O<sub>3</sub> mixing ratios are compared to observed data at Trinidad Head, California in Fig. 8. Model results without pNO<sub>3</sub> photolysis are generally lower than observed data in May–August and the model results with pNO<sub>3</sub> photolysis agree better with observed data at all altitudes. In September, the comparison produces mixed performance. Model results with pNO<sub>3</sub> photolysis agree better with observed data at 1–3 km while model results without pNO<sub>3</sub> photolysis agree better with observed data at other altitudes. Model O<sub>3</sub> mixing ratios are compared with observed data at Boulder, Colorado and Huntsville, Alabama in Fig. S.8. In Boulder, model results without pNO<sub>3</sub> photolysis are consistently lower than observed data in May and June, and model results with pNO<sub>3</sub> photolysis agree better with observed data at all altitudes. In July–August, model

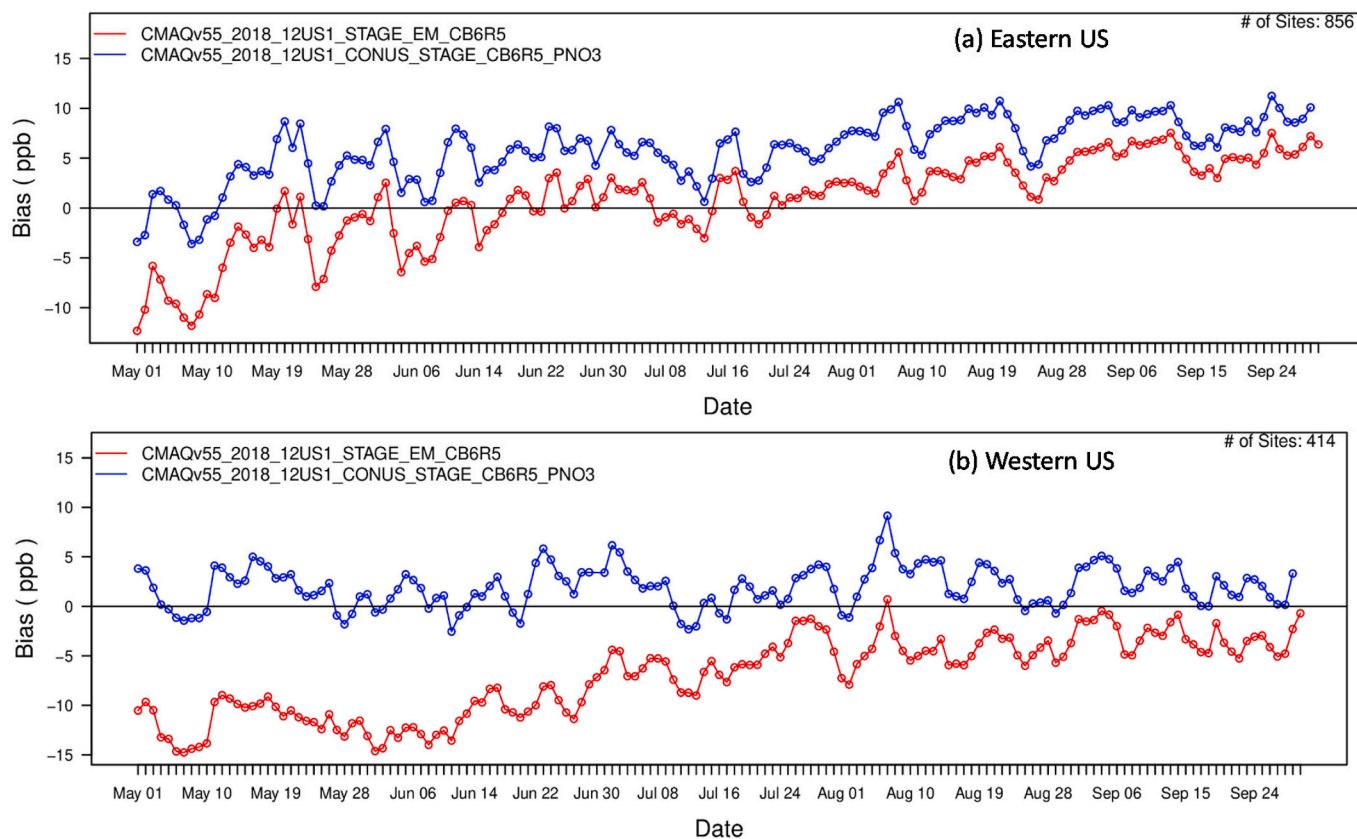


Fig. 5. (a) Daily bias of MDA8 hour O<sub>3</sub> without and with the pNO<sub>3</sub> photolysis over the eastern U.S. at AQS sites (b) Daily bias of MDA8 hour O<sub>3</sub> without and with the pNO<sub>3</sub> photolysis over the western U.S. at AQS sites. Red color represents model without pNO<sub>3</sub> photolysis and blue color represents model with pNO<sub>3</sub> photolysis.

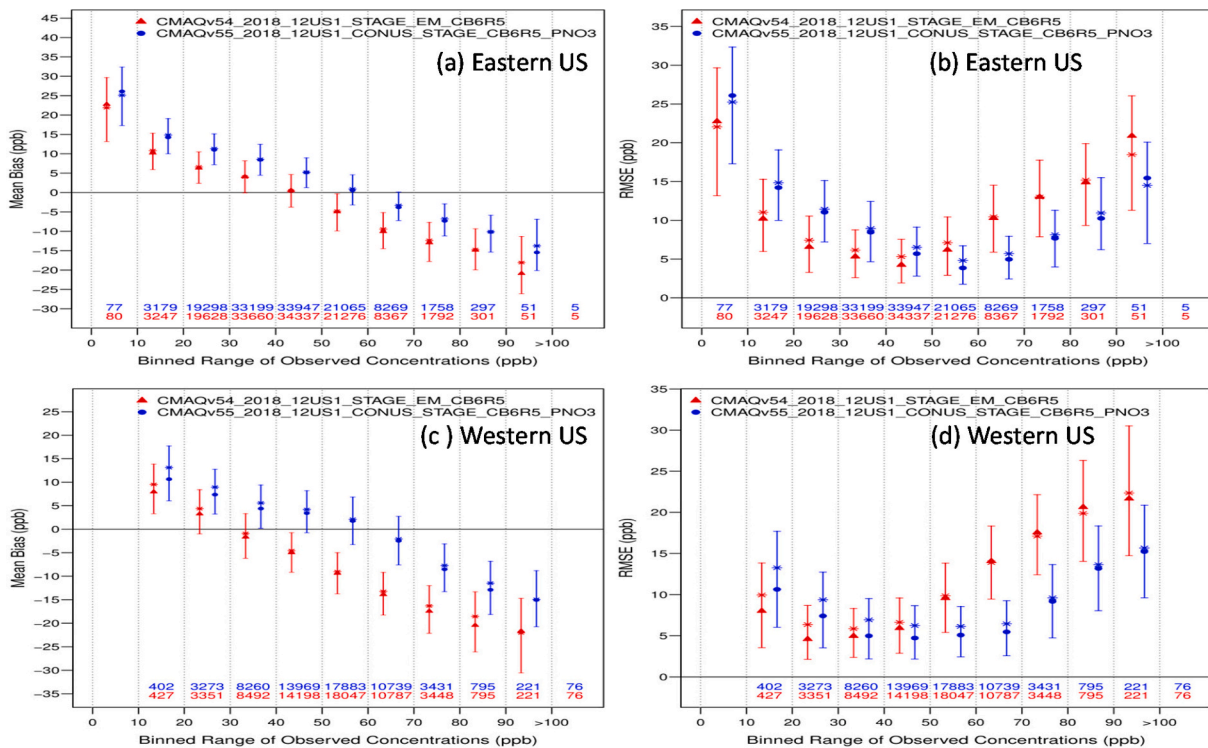
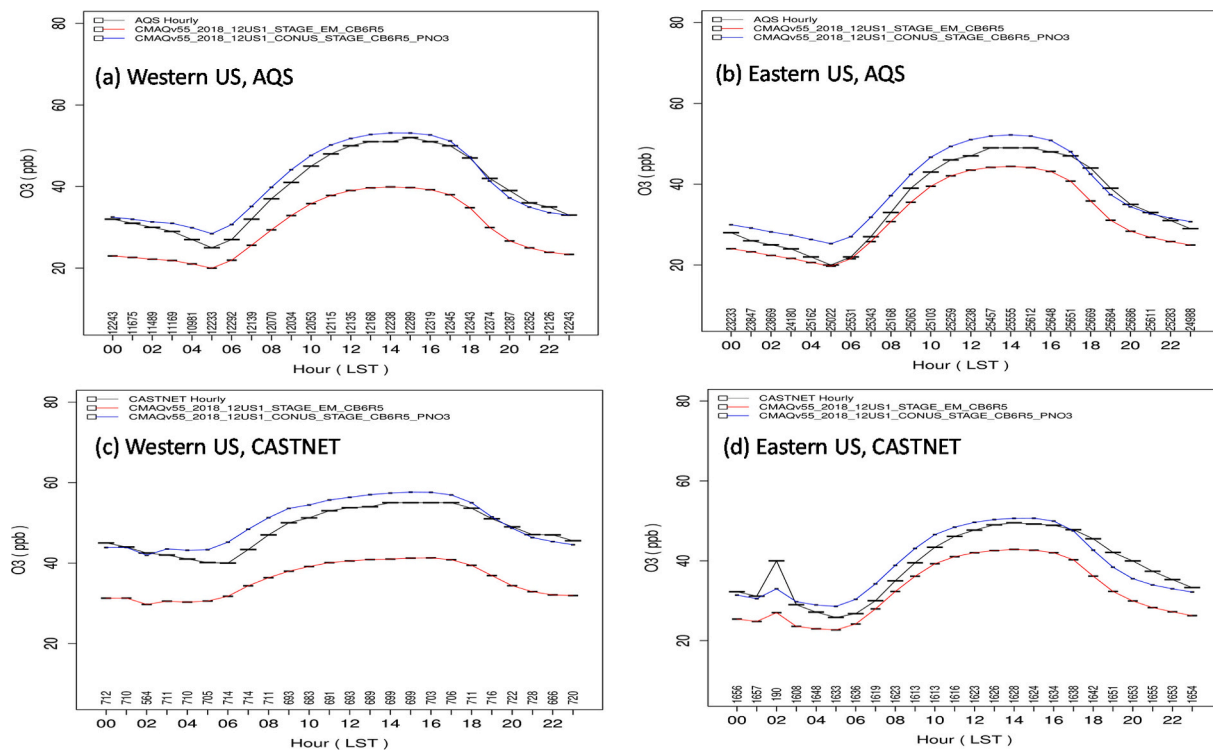
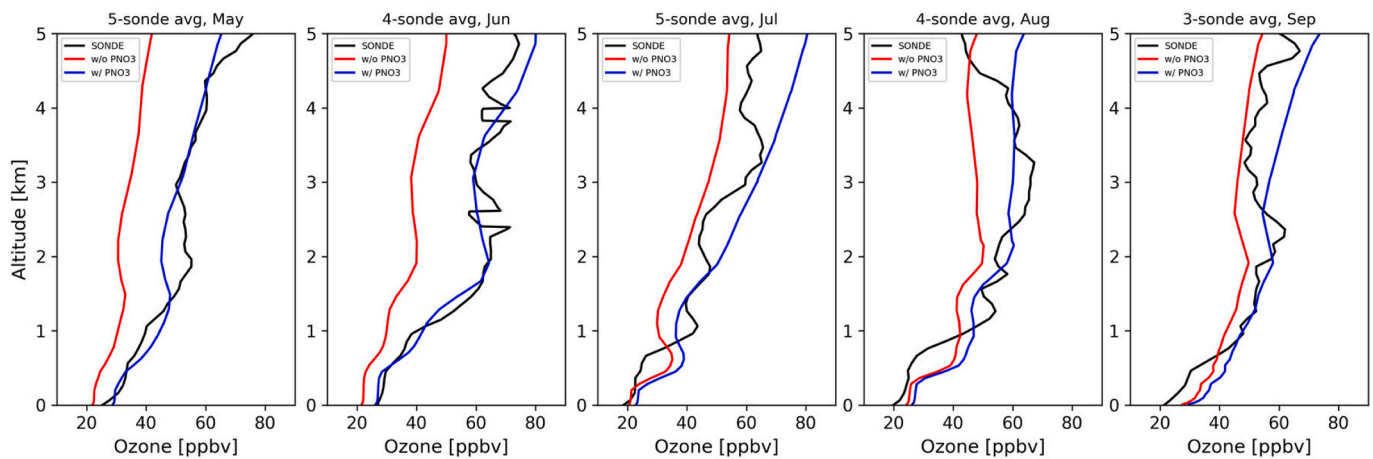


Fig. 6. MB of MDA8 O<sub>3</sub> without and with the pNO<sub>3</sub> photolysis at AQS sites as a function of observed data: (a) the eastern U.S. (c) the western U.S. RMSE of MDA8 O<sub>3</sub> without and with the pNO<sub>3</sub> photolysis at AQS sites as a function of observed data: (b) the eastern U.S. (d) the western U.S. The number of model/observation pairs for each bin is shown above the x-axis. Red color represents model without pNO<sub>3</sub> photolysis and blue color represents model with pNO<sub>3</sub> photolysis.





**Fig. 7.** A comparison of model median diurnal  $O_3$  without (red line, Case A) and with (blue line, Case B) the  $pNO_3^-$  photolysis to observed  $O_3$  (black line) in May (a) the western U.S. at AQS sites (b) eastern U.S. at AQS sites (c) Western U.S. at CASTNET sites (d) eastern U.S. at CASTNET sites. The number of observed and model values at each hour is shown above the x-axis. Horizontal black bars in the figure represent median values at each hour.



**Fig. 8.** (a) A comparison of median modeled  $O_3$  without (red line, Case A) and with (blue line, Case B) the  $pNO_3^-$  photolysis compared to median ozonesonde data (black line) at Trinidad Head in California for all sonde data in May (left-hand plot), June (second-from left), July (center plot), August (second from right) and September (right-hand plot).

results with  $pNO_3$  photolysis agree better with observed data at lower altitudes and model results without  $pNO_3$  photolysis agree better with observed data at higher altitudes. In September, model results without  $pNO_3$  photolysis agree better with observed data at all altitudes except at very low altitude where the model without  $pNO_3$  photolysis produces lower and the model with  $pNO_3$  photolysis produces higher values than observed data. In Huntsville, the model produces mixed results. Model results without  $pNO_3$  photolysis agree better with observed data at lower altitudes while results with  $pNO_3$  photolysis agree better with observed data at higher altitude in some months.

#### 4. Sensitivity study

We calculate impacts of  $pNO_3$  photolysis occurring over the model domain on May MDA8  $O_3$  by subtracting model results of the first simulation (Case A, which did not include any  $pNO_3$  photolysis) from the model results with the sensitivity simulation (Case C, which includes  $pNO_3$  photolysis). We calculate the impacts of integrating the effect of  $pNO_3$  photolysis into boundary condition by subtracting model results of the sensitivity simulation (Case C) from the model results with the effect of  $pNO_3$  photolysis was integrated into boundary condition). The impacts of the  $pNO_3$  photolysis without integrating the effect of  $pNO_3$

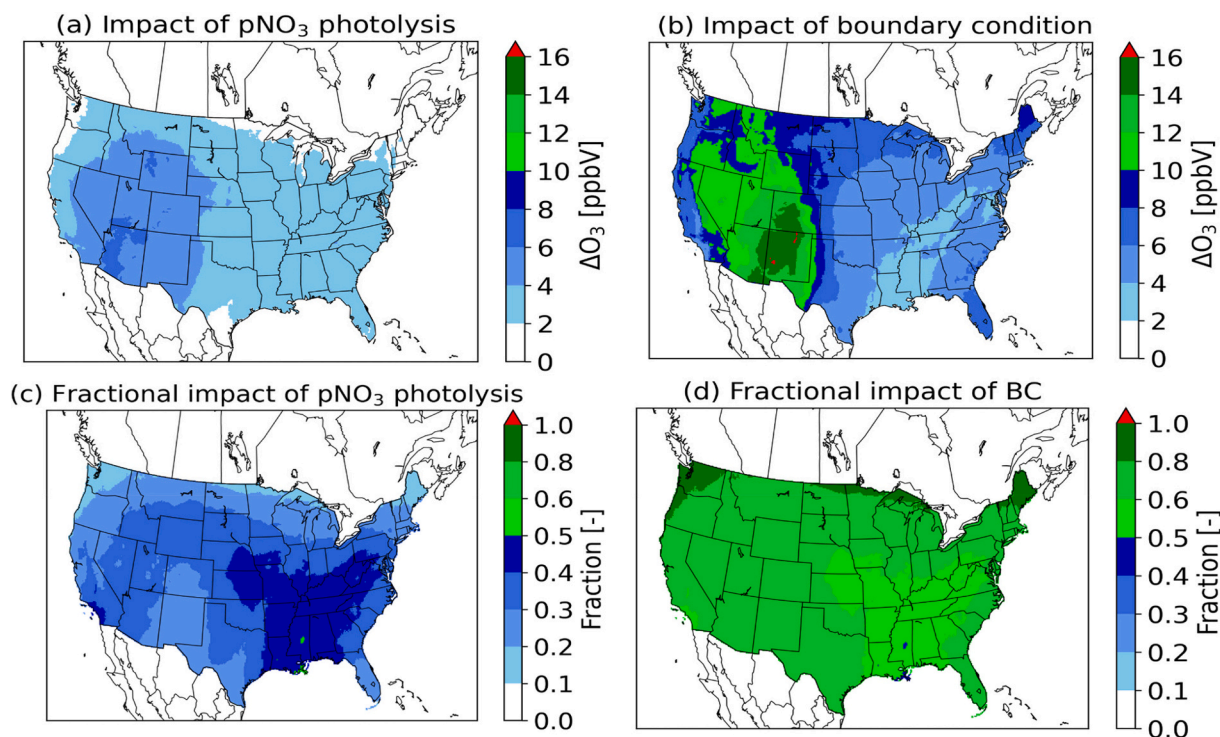
photolysis in boundary conditions on MDA8  $O_3$  reach up to 8 ppbv (Fig. 9(a)) while the impacts of integrating the effect of  $pNO_3$  photolysis into boundary condition are higher and reach up to 16 ppbv (Fig. 9(b)). Higher impacts occur over the western U.S. than the eastern U.S. in both cases. In essence, Fig. 9(a) shows the modeled impact of  $pNO_3$  photolysis on MDA8  $O_3$  within the model domain while Fig. 9(b) shows the impact from long-range transport outside the 12-km modeling domain. The mean MDA8  $O_3$  enhancement over the U.S. due to both effects is 11.0 ppbv, due to the boundary condition effect of  $pNO_3$  photolysis is 7.5 ppbv, and due to the photolysis of  $pNO_3$  from within the limited area domain is 3.5 ppbv. Thus, the mean MDA8  $O_3$  enhancement over the U.S. due to the boundary condition effect of  $pNO_3$  photolysis is >2 times greater than the mean enhancement due to the photolysis of  $pNO_3$  from within the limited area domain. Therefore, modeling with  $pNO_3$  photolysis using the effect of  $pNO_3$  photolysis in boundary conditions has a much larger impact on model  $O_3$  over the U.S. than the model without using the effect of  $pNO_3$  photolysis in boundary conditions. To calculate fractional impact of the  $pNO_3$  photolysis within the modeling domain on MDA8  $O_3$ , we divide the results in Fig. 9(a) by the sum of results in Figs. 9(a) and 9(b). Similarly, to calculate fractional impact of integrating the effect of  $pNO_3$  photolysis into boundary condition on MDA8  $O_3$ , we divide the results in Fig. 9(b) by the sum of results shown in Figs. 9(a) and 9(b). Fractional impacts of the  $pNO_3$  photolysis and integrating the effect of  $pNO_3$  photolysis into boundary condition on MDA8  $O_3$  are shown in Fig. 9(c) and 9(d), respectively which show that 50–90 % of the  $O_3$  impacts from  $pNO_3$  photolysis are a result of chemistry occurring outside of the modeling domain. The fraction of  $O_3$  impacts resulting from chemistry within the domain is largest in the southeastern U.S. (40–50 %) and smallest along the northern border of the U.S. (10–20 %). While the fraction of  $O_3$  impacts resulting from  $pNO_3$  photolysis within the domain is the largest in the southeastern U.S. (Fig. 9(c)), it represents a smaller portion of the combined impact as most of the contribution comes from integrating the effect of  $pNO_3$

photolysis into boundary condition (Fig. 9(d)). The combined impact is still largest in the western U.S. [the sum of Figs. 9(a) and 9(b)].

This analysis suggests that long-range transport from remote atmosphere over seawater (outside the 12-km modeling domain) increases  $O_3$  over the U.S. The higher  $pNO_3$  photolysis frequency over remote seawater releases  $NO_2$  and HONO in  $NO_x$  limited areas where it can effectively enhance  $O_3$ , and this increased  $O_3$  can then be transported into the U.S.  $pNO_3$  photolysis also decreases  $pNO_3$  concentrations over seawater. However,  $pNO_3$  concentrations over seawater are much smaller than those over land. Thus, the transport of lower  $pNO_3$  concentrations from the remote atmosphere over seawater to the U.S. only affects its concentrations by small margins. In addition, the production of  $pNO_3$  via atmospheric reactions in polluted atmosphere over land is higher than over remote areas of seawater. While  $pNO_3$  photolysis also increases HONO and  $NO_2$  over remote areas of seawater, their impacts over land are relatively small since HONO and  $NO_2$  mixing ratios over land are generally much higher than those over remote areas of seawater and additional sources of HONO and  $NO_2$  contribute to the higher mixing ratios over land. Thus,  $pNO_3$  photolysis has a relatively larger impact on  $O_3$  over the U.S. than on  $pNO_3$ , HONO, and  $NO_2$ . This sensitivity study highlights the importance of integrating the effect of  $pNO_3$  photolysis into boundary conditions for modeling over the U.S.

## 5. Summary and future direction

Here, we examine the impacts of  $pNO_3$  photolysis over seawater on air quality over the U.S. by using CMAQv5.5 with 12-km horizontal grid resolution. The model simulation with  $pNO_3$  photolysis reduces the  $pNO_3$  concentrations over seawater without affecting the model skill in reproducing the observed data in the U.S. Including  $pNO_3$  photolysis in the model chemistry increases  $NO_2$  mixing ratios over seawater without affecting the comparison of model predictions with surface layer observed data in the U.S. but improves the comparison with satellite



**Fig. 9.** Sensitivity analysis: (a) Absolute impact of  $pNO_3$  photolysis on mean MDA8  $O_3$  in May (boundary condition did not include the effects of  $pNO_3$  photolysis) (Case C - Case A) (b) absolute impact of integrating the impact of  $pNO_3$  photolysis into boundary condition on mean MDA8  $O_3$  in May (the model did not include the  $pNO_3$  photolysis) (Case B - Case C) (c) fractional impact of  $pNO_3$  photolysis on mean MDA8  $O_3$  in May (boundary condition did not include the effects of  $pNO_3$  photolysis) (d) fractional impact of integrating the impact of  $pNO_3$  photolysis into boundary condition on mean MDA8  $O_3$  in May (the model did not include the  $pNO_3$  photolysis).

retrievals due to increases in upper layer NO<sub>2</sub> mixing ratios. pNO<sub>3</sub> photolysis also increases HONO mixing ratios over seawater but has only small impacts on surface layer HONO over land. Finally, the model predicts that pNO<sub>3</sub> photolysis substantially increases surface layer O<sub>3</sub>, improves the model underestimation of springtime O<sub>3</sub> in the U.S., improves the underestimation of model O<sub>3</sub> in the western U.S. in summer and fall, and slightly increases the overestimation in the eastern U.S. Including pNO<sub>3</sub> photolysis in the model chemistry deteriorates the comparison with observed O<sub>3</sub> at lower end of observed data, improves the underestimation of O<sub>3</sub> at higher end of observed data, and improves the model diurnal patterns compared to observed data in the western U.S. in May and July and in the eastern U.S. in May. Model sensitivity results suggest that boundary condition effects account for majority of the impact of pNO<sub>3</sub> photolysis on O<sub>3</sub> in the U.S. Here, we use a simple parameterization for calculating pNO<sub>3</sub> photolysis frequency. However, many factors including pH, relative humidity, temperature, ice and snow, halides, solvent cages, coexisting species, cations, organics, and mineral dust (Gen et al., 2022; Cao et al., 2023) can affect pNO<sub>3</sub> photolysis frequency. Additional field and experimental studies are needed to better characterize the effects of these parameters on pNO<sub>3</sub> photolysis frequency which can then be incorporated into air quality models.

### CRedit authorship contribution statement

**Golam Sarwar:** Writing – review & editing, Writing – original draft, Visualization, Validation, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Fahim Sidi:** Writing – review & editing, Software, Methodology, Data curation. **Heather Simon:** Writing – review & editing, Writing – original draft, Investigation, Conceptualization. **Barron H. Henderson:** Writing – review & editing, Writing – original draft, Validation. **Jeff Willison:** Software, Data curation. **Rob Gilliam:** Writing – original draft, Methodology. **Christian Hogrefe:** Writing – review & editing, Software, Methodology. **Kristen Foley:** Writing – review & editing, Software, Methodology. **Rohit Mathur:** Writing – review & editing, Investigation. **Wyat Appel:** Software.

### Disclaimer

The views expressed in this paper are those of the authors and do not necessarily represent the views or policies of the U.S. EPA. Mention of trade names or commercial products does not constitute endorsement or recommendation for use.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.scitotenv.2025.178968>.

### Data availability

CMAQ source code is publicly available from the following website: <https://github.com/USEPA/CMAQ>.

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