

**CATEGORY 3 COMMERCIAL MARINE VESSEL
2022 EMISSIONS INVENTORY**

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List of Abbreviations

| | |
|-----------------|--|
| AIS | Automatic Identification Systems |
| BSFC | Brake-Specific Fuel Consumption |
| C3 | Category 3 |
| CMV | Commercial Marine Vessel |
| CO | Carbon Monoxide |
| CO ₂ | Carbon Dioxide |
| DWT | Deadweight tonnage |
| ECA | Emissions Control Area |
| EF | Emission factor |
| GT | Gas turbine |
| GT-ED | Gas turbine-diesel-electric drive |
| HFO | Heavy fuel oil |
| IHS | Information Handling Service |
| IMO | International Maritime Organization |
| kn | Knot |
| kW | Kilowatt |
| kWh | Kilowatt-hour |
| L/cyl | Liters per cylinder |
| LBP | Length along perpendicular |
| LLAF | Low load adjustment factor |
| LNG | Liquified natural gas |
| lwl | Waterline length |
| m ³ | Cubic meter |
| MDO | Marine diesel oil |
| MGO | Marine gas oil |
| MMSI | Maritime Mobile Service Identifier |
| MSD | Medium speed diesel |
| MSD-ED | Medium speed-diesel-electric drive |
| PM | Particulate matter |
| Reefer | Refrigerated vessels |
| RM | Residual marine |
| Ro Ro | Roll on/Roll off |
| RPM | Revolutions per minute |
| S-AIS | Satellite automatic identification systems |
| SO ₂ | Sulfur dioxide |
| SOLAS | Safety of Life at Sea |
| SSD | Slow speed diesel |
| ST | Steam turbine |
| T-AIS | Terrestrial automatic identification systems |
| TEU | Twenty-foot equivalent units |
| USCG | United States Coast Guard |

1.0 Introduction

The National Emissions Inventory (NEI) and Emissions Modeling Platforms (EMP) are national compilations of air emission estimates of criteria air pollutants (CAPs), the precursors of CAPs, hazardous air pollutants (HAPs) and greenhouse gases for mobile, point, and nonpoint emissions sources. The hazardous air pollutants that are included in the EMP are based on Section 112(b) of the Clean Air Act. State, local and tribal air agencies submit emission estimates to EPA and the Agency adds information from EPA emissions programs, such as the emission trading program, Toxics Release Inventory (TRI), and data collected during rule development or compliance testing. The NEI and its derivative modeling platforms are used for various modeling and regulatory analyses performed by EPA, state and local air quality management agencies, and others.

This report documents the development of the EPA Marine Emissions Tool (MET) for Category 3 (C3) commercial marine vessels (CMV), including the conceptual framework, equations, data sources, and assumptions. A description of the development of the Category 1 and 2 (C1C2) CMV model that computes emission for vessels with engines having displacement less than 30 liters per cylinder, including the conceptual framework, equations, data sources, and assumptions, is provided in a separate report.

2.0 AIS Dataset

The EPA received Automated Identification System (AIS) data from United States Coast Guard (USCG) to quantify all ship activity which occurred between January 1 and December 31, 2022. The International Maritime Organization's (IMO's) International Convention for the Safety of Life at Sea (SOLAS) requires AIS to be fitted aboard all international voyaging ships with gross tonnage of 300 or more, and all passenger ships regardless of size (IMO, 2002). In addition, the USCG has mandated that all commercial marine vessels continuously transmit AIS signals while transiting U.S. navigable waters. As the vast majority of C3 vessels meet these requirements, any omitted from the inventory due to lack of AIS adoption are deemed to have a negligible impact on national C3 emissions estimates.

The activity described by this inventory reflects ship operations within 200 nautical miles of the official U.S. baseline. This boundary is roughly equivalent to the border of the U.S Exclusive Economic Zone and the North American Emission Control Area (ECA), although some non-ECA activity is captured as well (Figure 1).

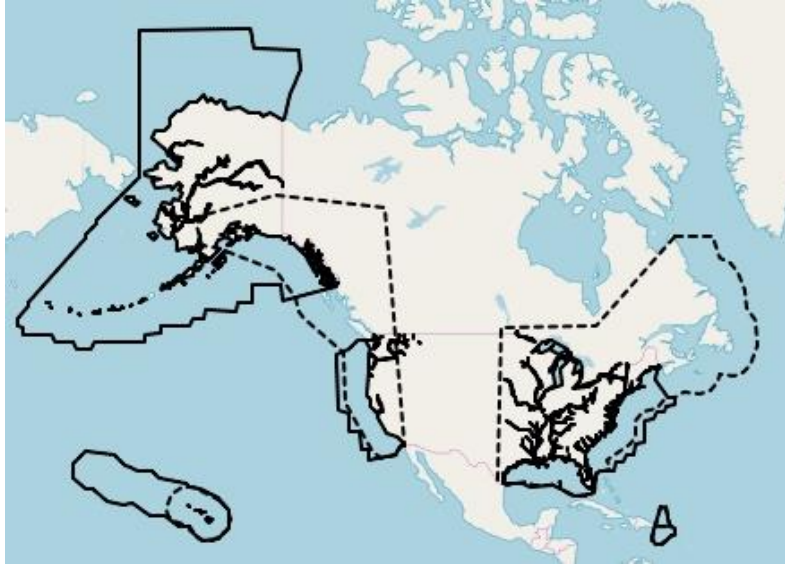


Figure 1 NEI Geographical Extent (Solid) and U.S. ECA (Dashed)

The compiled AIS data include the locations, speeds, drafts, and headings of all vessels with AIS transmitters operating within the specified geographical and time ranges. They also include vessel identifiers, such as the IMO number and Maritime Mobile Service Identifier (MMSI). These data were aggregated to five-minute intervals by the USCG.

3.0 AIS Data Processing

USCG AIS data are delivered as comma separated value (csv) files. The USCG AIS dataset for calendar year 2022 contained a total of 3,065,520,045 records for C1C2 and C3 vessels. The as-received AIS dataset contains anomalous data such as duplicate records, and records from non-vessels. The first step in processing the AIS data is to parse the records into standardized data fields, with non-vessel and duplicated records removed.

AIS data are transmitted by vessels and collected by both satellite (S-AIS) and terrestrial (T-AIS) receivers. Data from both receiver types were included in the 2022 dataset from the USCG. The USCG maintains a network of terrestrial receivers along the coast and inland waterways that provides good spatial coverage of these areas. However terrestrial receivers are limited to receiving line-of-sight transmissions from ships, so the coverage of the T-AIS data diminishes further from the coasts. Satellite receivers provide broad coverage over open ocean, where T-AIS coverage is sparse. However, the temporal sample rate of satellite receivers is limited by the frequency that a satellite passes over a given patch of ocean. Generally, the temporal coverage of S-AIS data is poorer than for T-AIS data. The 2022 AIS dataset from the USCG consisted of 2,216,195,518 T-AIS and 849,324,527 S-AIS records.

The S-AIS and T-AIS datasets were read in for the same month and geographic regions and merged by IMO number, MMSI, or both vessel identifiers. When both datasets reported activity for the same time stamp and vessel, the T-AIS messages were selected over the S-AIS messages, as T-AIS data provides better coverage of the near-shore activity included in this inventory. In some cases, it appears that multiple transmitters without IMO numbers used the same MMSI number. In these cases, it is impossible to distinguish between these transmitters, and multiple messages with the same MMSI and timestamp appear in the data set. Generally, these messages do not belong to commercial vessels, and as such were treated as duplicate messages during this data cleaning process. Altogether, the process removed 1,566,559,551 duplicate records from the dataset.

Additionally, AIS transmitters unrelated to marine vessel combustion sources, such as non-self-propelled vessels, buoys, and helicopters, were identified and removed from the AIS dataset. These non-vessel entities were identified using USCG-verified MMSI patterns, based on information obtained from the USCG Navigation center.¹ In total, 8,006,509 of these records were identified and removed from the data set. The removed records were associated with divers' radios, coastal stations, aids to navigation, search and rescue aircraft and transmitters, man overboard devices, and emergency position indicating radio beacon.

Removing duplicate records and non-vessel records reduced the size of the data set by 51.36%. The resulting cleaned data set contained 1,490,953,985 records out of the total 3,065,520,045 records in the data as received from the USCG as shown in Figure 3.

¹ USCG Navigation Center, Maritime Mobile Service Identity, navcen.uscg.gov/?pageName=mtmmsi.

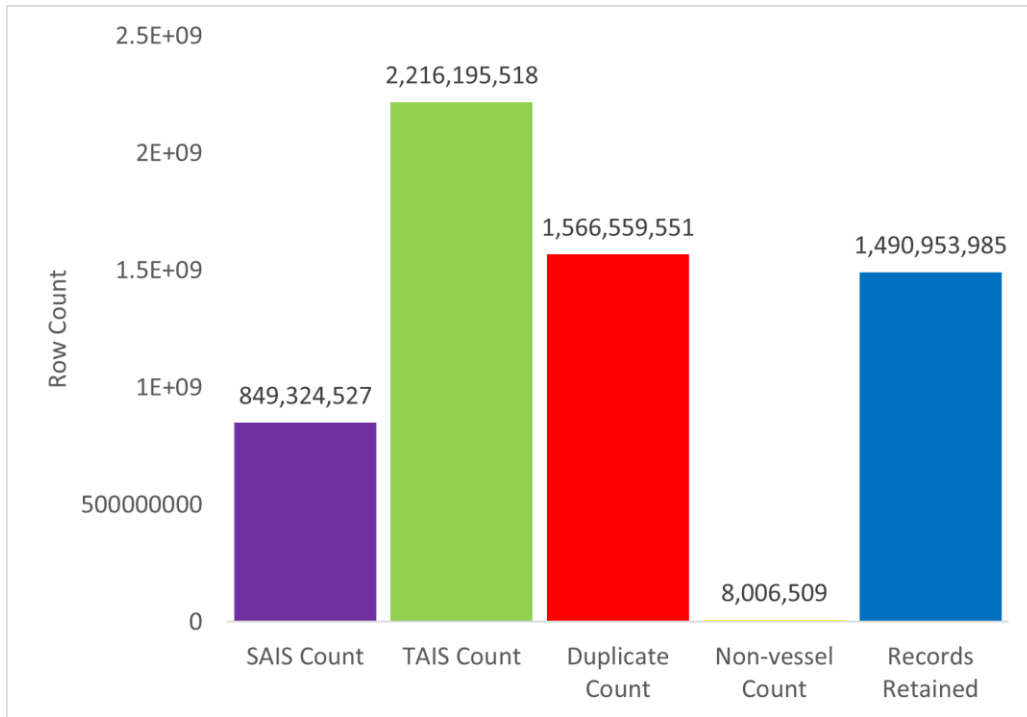


Figure 2 Comparison of Record Retention During Preliminary Processing

4.0 Preparing the Ship Registry Dataset

Ship parameter data were pulled primarily from the Clarksons ship registry and were supplemented and validated by smaller datasets (Clarksons, 2021; U.S. Coast Guard, 2017, 2018; U.S. Department of Transportation, 2017). The supplementary and Clarksons datasets were used to identify unique combinations of MMSI and IMO numbers in the AIS data set and to assign individual vessel characteristics. After filtering, 14% of the vessels identified in the AIS data set had corresponding vessel characteristics. This accounted for 32% of the total number of messages in the AIS data set. This reduced the AIS dataset by 131,336 vessels from 152,910 to 21,574 vessels.

As the ship characteristics data set contained missing values for many vessels, each vessel entry was matched with a unique vessel from the AIS data set. This allowed the assignment of message counts for each vessel to weight averaged values by activity for gap filling data. The AIS data set was first checked for invalid IMO numbers and merged with their matching MMSI numbers. This retained the same coverage of 32% of the total messages in the AIS data set.

The Clarksons vessel number is then attached to each ship in the AIS data set, matching first on IMO number before using the MMSI if no match could be made. These unmatched vessels were dropped, as well as vessels with only one message entry, resulting in the removal of 120,166

vessels. Message counts are aggregated per each vessel and duplicates are removed. Despite this continuous data cleansing, the data contained instances of MMSI numbers matching to two or more IMO numbers and IMO numbers that did not exist in the Clarksons data set. This resulted in a final vessel count of 20,119 unique vessels. Vessel parameters required to calculate ship propulsive power, estimate operating modes, and assign emission factors are listed in Table 1.

Table 1 Ship Parameters

| Vessel Identification Parameters | Vessel Category Parameters | Vessel Power Parameters | Vessel Grouping/Emission Factor Parameters |
|--|--|--|--|
| <ul style="list-style-type: none"> • IMO number • MMSI | <ul style="list-style-type: none"> • Engine bore • Engine stroke | <ul style="list-style-type: none"> • Hull displacement (m³) • Length on perpendicular (m) • Summer load line draft (m) • Breadth (m) • Total installed propulsive power (kW) • Service speed (kn) | <ul style="list-style-type: none"> • Gross tonnage • Deadweight tonnage • Keel year • Propulsion type • Main stroke type • Engine revolutions per minute (rpm) • Twenty-foot equivalent units (TEU) |

4.1 Ship Type

To fill gaps in vessel characteristics data and assign auxiliary and boiler loads, EPA matched vessel types to less granular ship type groups (see Appendix A-1). All barges and non-self-propelled vessels were removed from inventory calculations. The resulting database includes the following ship types:

- Bulk carrier
- Chemical tanker
- Container ship
- Cruise
- Ferry/roll-on/passenger vessel
- General cargo
- Liquified gas tanker
- Fishing
- Miscellaneous
- Oil tanker
- Offshore support vessel or drillship
- Other tanker
- Refrigerated vessel (Reefer)
- Roll-on/roll-off (Ro Ro)
- Tug
- Yacht

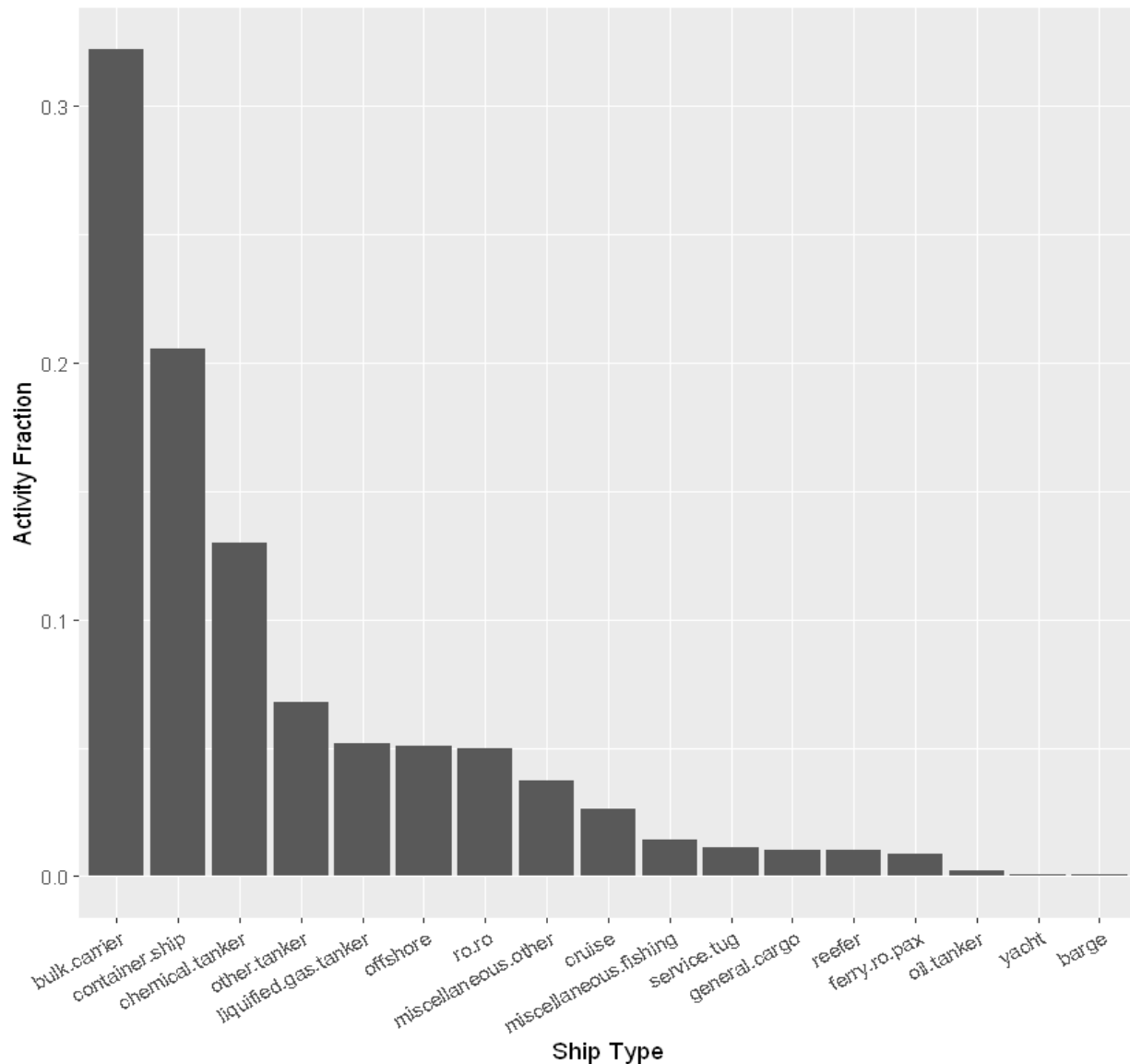


Figure 3 Category 3 AIS Activity Breakdown by Ship Type

4.2 Subtype

The EPA assigned subtypes to each vessel in the ship registry according to its ship type and size class (see Appendix A-2). Subtypes were primarily assigned to best fit with adopted auxiliary and auxiliary boiler engine loads (EPA, 2022). However, given the available data, certain adjustments were made in subtype characterization. As the number of vehicles per vehicle carrier was not available, vehicle carrier size classes were adopted from EPA's Ports Emissions Inventory Guidance. All vehicle carrier auxiliary and auxiliary boiler loads are the same, regardless of subtype, and did not need to be altered for this process. Because cubic meter (m^3)

size information was lacking, the EPA adopted chemical tanker deadweight tonnage (DWT) bins for liquified gas tankers.

4.3 Engine Type

Vessel engine type is required for the assignment of emission factors (EFs). The majority of the C3 fleet operated with slow-speed diesel (SSD) engines, which are identified as four-stroke engines. Medium-speed diesel (MSD) vessels were identified as those having two-stroke engines. While rpm classifications vary, 500 rpm was deemed to be the most appropriate cutoff between SSD and MSD engines, given the broad band of rpms separating the two groups (Diesel & Gas Turbine, 2013). EPA used rpm classifications to determine engine type only when engine stroke type information was unavailable. Gas turbine (GT) and steam turbine (ST) engines were determined by a descriptive propulsive type vessel characteristic field. This propulsive type field also allowed for the identification of electric-drive vessels (MSD-ED or GT-ED). Currently, no standardized identification methods are available for liquified natural gas (LNG) engines. All auxiliary engines were assumed to be MSD. Vessels were assigned an engine type using the parameter gap-filling method described below.

4.4 Ship Parameter Gap Filling

Some vessel fields contain missing data important for calculating emission factors. The engine category aids in defining the vessel type and limiting the scope of the emissions model by separating C3 and C1C2 vessels. Engine categories were assigned to each ship type using a maximum threshold for the C2 category from the gross tonnage 75th quantile plus 1.5 times the interquartile range. Ships above this value were assigned the C3 category (see Appendix A-3). After gap filling engine categories, there were 13,541 C3 vessels.

The remaining ship parameters were gap filled using various methods, including linear regressions, non-linear least squares estimates (“nth root” fits), median values, averages, or modes. The appropriate method was dependent on the parameter in question. In cases where a parameter was being filled based on a parameter with an analogous physical unit, (e.g. Length between perpendiculars and length overall, or Deadweight Tonnage and Gross Tonnage) linear regressions were used to relate the parameters (see Appendix A-4). In the cases where a parameter with units of length was being gap-filled from a parameter with units of volume or mass (e.g. ship breadth and gross tonnage) we assumed that the relationship between length and volume was roughly cubic (see Appendix A-5), and fit the length using the following nth root relationship:

$$L = aV^{\frac{1}{n}}$$

Where L is the length parameter, and V is the volume parameter. Values of n that do not equal 3 indicate that the three linear dimensions length, breadth, and draft do not scale at the same rate with increasing ship volume. The quality of both the linear and n^{th} root fits, was assessed through an analysis of R squared values and data visualization.

If a parameter did not have a clear physical relationship to another known parameter, or if a regression produced a poor correlation, the median value was taken for each ship type and sub type to fill the missing data. For parameters where data could not be entirely filled after the first method was applied, multiple techniques were used to reduce as much missing data as possible. Both “Length Between Perpendiculars” and “Total installed propulsive power” required multiple methods to fill all remaining gaps in the data.

Vessel subtypes were assigned after deadweight tonnage was gap filled to increase the coverage of assigned subtypes. Displacement was calculated after lightweight tonnage was gap filled by summing light-displacement tonnage with deadweight tonnage.

Missing keel year was estimated by generating an average delay using the difference in time between the keel-build date and the keel-laying date for each ship subtype (see Appendix A-6). These values were weighted by both population and time (message count) and compared. The values weighted by time were chosen as the weighted by population values showed unrealistic values for ships with small populations (i.e., cruise ships and yachts).

The most appropriate method for assigning the main engine stroke was using the “Engine Cycle” mode for each ship subtype and applying it for the missing data. Once the main engine stroke data are filled, the missing engine types are assigned by searching for key words in the data set which describe the “Engine Derived Power Type” in conjunction with the main engine stroke.

Block coefficients are a function of vessel hull displacement, waterline length, breadth, and draft. For vessels missing just one of these function inputs, values were filled using the median value by ship subtype (see Appendix A-7). (Using an average block coefficient was determined to affect emissions estimates less than calculating one from average input parameters; see Brown & Aldridge, 2018.)

Analysis has shown that gap-filling parameters by vessel subtype averages produces a relatively small difference in estimated emissions (Brown & Aldridge, 2018). Roughly 60% of the AIS activity time for 2022 was allocated to vessels missing hull displacement data. The remaining time is allocated to vessels for which hull displacement were filled by back-calculating from block coefficients averaged by subtype, ship category, engine type, and tier. For the remaining vessel parameters, less than 6% of AIS activity time was allotted to missing data.

Table 2 Gap Filling Methodology

| Parameter | Gap Filling Methodology |
|----------------------------------|--|
| Deadweight Tonnage | Linear regression from gross tonnage |
| Lightweight Tonnage | Linear regression from gross tonnage |
| Length Between Perpendiculars | Linear regression from length overall n th root fit from gross tonnage |
| Summer load line draft | n th root fit from gross tonnage |
| Ship breadth | n th root fit from gross tonnage |
| Total installed propulsive power | Linear regression from "ENGINE_DERIVED_TOTAL_MECHANICAL_GENERATED_KW" Median value by ship subtype |
| Service Speed | Median value by ship subtype |
| Keel-laying date | Average delay between keel-build and key-laying date by ship subtype |
| TEU | Linear regression from gross tonnage |
| Main engine stroke | Mode by ship subtype |
| Block Coefficient CB | Median value by ship subtype |

4.5 Splitting AIS Data

To organize the AIS data and expediate further processing, the dataset was split by individual vessels and saved to two separate files which contain both the static vessel characteristics and the dynamic AIS data. The vessels were double checked to ensure only C3 vessels were written, had more than a single record, and that a matching IMO and/or MMSI number existed in the Clarksons dataset. This resulted in 13,910 unique vessel files.

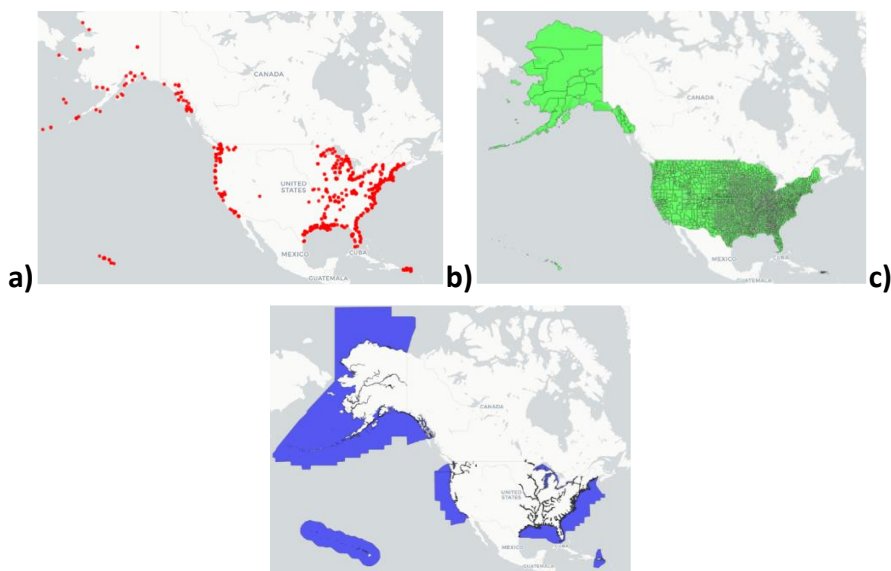


Figure 4 Shapefiles Used for Assigning FIPS including a) NEI Port Shapefile; b) TIGER County Shapefile; c) NEI Shipping Lane Shapefile

4.6 Cleaning the AIS Dataset

Before the emissions calculations, erroneous vessel activity messages were identified and removed from the dataset. Some duplicate messages, associated with the same vessel identifier and time stamp, were reported. These duplicates were removed. Erroneous speeds were deemed to be all speeds above 1.5 times the service speed of the vessel (EPA, 2022); these messages were also removed. Removing erroneous messages created gaps, which were filled in during later processing steps. Activity messages report vessel draft, a parameter required for ship propulsive power modeling. Vessels were assumed to be operating at maximum draft when AIS-reported draft data were missing.

4.7 Temporal Gaps in AIS Activity

The AIS messages received from the USCG were typically aggregated to five-minute intervals. However, there were some intervals longer than five minutes between vessels' consecutive messages, suggesting cases in which transmissions were not sent or received, or in which a vessel left the study area and then returned. EPA analyzed these gaps to determine whether they reflected activity outside the geographical extent of the received AIS data. This analysis was completed by extrapolating vessel activity, assuming a constant speed and heading, from that of the previous message to gap, and comparing extrapolated positions to the AIS dataset boundaries. All gaps reflecting activity out of the AIS geographical area were omitted from the emissions inventory. For AIS data within the area of study, temporal gaps of less than 24 hours

were filled by linearly interpolating location, speed, and draft data at five-minute intervals. For gaps greater than 24 hours, there was too much uncertainty in a vessel's movement to interpolate the data. Therefore, emissions were not estimated for these long durations.

5.0 Calculating Emissions

This inventory compiles emissions using the methods described in EPA's 2022 Ports Emissions Inventory Guidance. Emissions are calculated for each marine vessel represented in both the AIS activity and ship registry datasets, for each time interval between consecutive AIS messages and allocated to the location of the message before the interval. Emissions are calculated according to Equation 5-1.

$$Emissions_{interval} = Time_{interval} \times Power \times EF \times LLAF \quad \text{Equation 5-1}$$

where:

| | |
|------------------|---|
| <i>Emissions</i> | = mass of emissions estimated for each time interval between AIS messages for each vessel, typically calculated in grams and then converted to tons when emissions are aggregated |
| <i>Time</i> | = length of time between AIS messages, measured in hours |
| <i>Power</i> | = calculated in kWh for each AIS message, for each vessel, for each of the three engine groups on a vessel: propulsive (main), auxiliary, and auxiliary boiler engines |
| <i>EF</i> | = assigned emission factors for each engine group on the vessel |
| <i>LLAF</i> | = low load adjustment factor, a unitless factor that reflects increasing propulsive emissions during low load operations and varies according to the calculated propulsive power |

5.1 Calculating Power

Propulsive power was calculated using EPA's Marine Emissions Tools (EPA 2022), specifically with the Holtrop & Mennen numerical ship power model, which follows the form of resistance-based methods, documented in Equation 5-2 (Holtrop & Mennen, 1982).

$$Power (kW) = \frac{\rho \times C_T \times \frac{1}{2} \times S \times V_{reported}^3}{\eta_T} \quad \text{Equation 5-2}$$

where:

ρ = sea water density

$V_{reported}$ = AIS-reported speed before the message interval
 C_T = vessel's hull resistance coefficient
 S = hull surface area
 η_T = engine efficiency

Where available vessel attributes were not sufficient to calculate certain Holtrop & Mennen parameters, such as transverse bulb area, transom area, longitudinal position center of buoyancy, and center of bulb above keel line, methodologies from Rakke (2016) were used. Vessels were assumed to be operating in calm, 15°C water conditions with clean and normal hulls. In accordance with this, a 15% service margin was applied, as is customary (MAN Diesel & Turbo; EPA, 2022). The midship section coefficient was assumed to be 0.995 for bulk and tankers, 0.95 for passenger vessels, 0.92 for tugs, and 0.98 for all other ship types (Kristensen & Lutzen, 2012). Passenger ship types were assumed to have two propellers and all other vessels were assumed to have one propeller. The waterplane area coefficient was calculated according to methodologies in Kristensen & Lutzen (2012). EPA adopted upper and lower bounds from SARC Maritime Software and Services (2018) and applied them to these waterplane area coefficients in order to ensure the values were within a realistic range.

5.2 Assigning Operating Mode

Operating mode was determined using geospatial, speed, and propulsive load data using the following rules in order of preference:

1. If a vessel was in anchorage zone (Office for Coastal Management (2022)) and had a speed less than or equal to 3 knots, it was assigned the anchorage operating mode.
2. If a vessel was in a port area (as determined by its overlap with a port in the NEI Ports Shapefile) and had a speed less than or equal to one knot, it was assigned the berth operating mode.
3. If a vessel's speed was more than 1 knot with a propulsion engine load factor less than or equal to 20%, it was assigned the maneuvering mode.
4. If a vessel's propulsion engine load factor was more than 20%, it was assigned the transit operating mode.

These rules are consistent with the general considerations presented in EPA's Ports Emissions Inventory Guidance. If a vessel's operation was not covered by the above rules (e.g., traveling less than 1 knot outside of an anchorage zone or port area), it was assigned to the anchorage operating mode.

5.3 Calculating Auxiliary and Boiler Power

Auxiliary engines support electrical generators for auxiliary vessel power. Auxiliary boiler engines supply steam and hot water for heating and other auxiliary requirements on marine vessels. Auxiliary and boiler power cannot be calculated directly using AIS data and is not estimated in Clarkson's ship registry dataset; rather, defaults must be used. Auxiliary engine and boiler load defaults were adopted from EPA's Ports Emissions Inventory Guidance Tables E.1 and E.2, respectively.

5.4 Fuel Use Assignment

All C3 marine vessels are assumed to use distillate marine gas oil (MGO) or marine diesel oil (MDO) fuel during operations within the North American ECA in order to comply with fuel sulfur regulations. All those outside the ECA are assumed to use residual marine (RM) or heavy fuel oil (HFO). Some uncertainty exists in this assignment, as the usage of blended fuels, or of scrubber adoption with high sulfur fuels, within these regions, is not known.

For the current inventory, fuel sulfur values are set to 0.1% for all vessel activity within the ECA in accordance with fuel sulfur regulations (EPA, 2010). Marine vessels are assumed to use fuel with 0.5% fuel sulfur levels outside of the ECA.

5.5 Emission Factors

Emission factors (EFs) are generally assigned according to engine type, engine group, tier and fuel sulfur level. MSD-ED and GT-ED adopt MSD and GT EFs, respectively. EFs can either be energy-based (in units of grams per kWh) or fuel-based (in units of grams per unit of fuel consumption).

5.5.1 Energy-based Emission Factors

Energy-based emission factors can be used directly with energy-based activity (i.e., activity in terms of kWh, which is what is calculated in Equation 5-1). These emission factors include Nitrogen oxides (NO_x), volatile organic compounds (VOC), carbon monoxide (CO), and hydrocarbons (HC).

NO_x EFs are applied according to engine group, engine type, fuel type, engine tier, and propulsive engine load as described in EPA's Ports Emissions Inventory Guidance, Section 3.5.1. Because Tier III NO_x emission standards only apply within the ECA, emission rates for Tier III vessels operating outside the ECA are assumed to be equivalent to the Tier II NO_x rates.

VOC, CO, and HC EFs are applied according to engine group and engine type as described in EPA's Ports Emissions Inventory Guidance, Section 3.5.4.

5.5.2 Fuel-based Emission Factors

Fuel-based emission factors must first be paired with brake-specific fuel consumption (BSFC) before they can be used with energy-based activity. BSFC rates can be used to estimate fuel consumption from energy-based activity, which then allows the fuel-based emission factors to be used.

Particulate matter (PM), sulfur dioxide (SO₂), and carbon dioxide (CO₂) are calculated using the emission factors presented in EPA's Ports Emissions Inventory Guidance. See Section 3.5.3 for PM, Section 3.5.7 for SO₂, Section 3.5.6 for CO₂. Additionally, see Section 3.5.2 for a discussion on BSFC.

5.6 Low Load Adjustment Factor

EFs are considered to be constant when a vessel's modeled propulsive engine load represents more than 20% of its total installed propulsive power. Below that threshold, EFs tend to increase as the engine load decreases. This trend results because diesel engines are less efficient at low loads and the BSFC tends to increase. To account for this, low load adjustment factors (LLAFs) are applied in Equation 5-1. The LLAF factors used were from Table 3.10 in EPA's Ports Emissions Inventory Guidance.

Modeled emissions from vessels with electric-drive engines (MSD-ED or GT-ED) were assigned LLAFs of one for all pollutants. These vessels generate power with several smaller engines, some of which, it is assumed, shut down as power demand decreases to ensure that no engines are operating at lower inefficient loads, enhancing overall efficiency and reducing fuel consumption.

5.7 Missing AIS data for Spring of 2022

For 2022, some data gaps were found in the AIS data available during the period of March 26 through June 30. To address this, emissions data computed for the same period in 2021 were filled into the corresponding days in 2022. The 2021 days of the week selected for the gapfilling were matched to the same days of the week and same week of the year in 2022.

5.8 HAP Specific Profiles

The hazardous air pollutants (HAP) are calculated from the criteria pollutants estimated as described above. The HAP speciation profiles are from EPA's Ports Emissions Inventory Guidance, Appendix D. The fractions reported in D.1 were multiplied by the emissions of their assigned basis pollutant to complete this calculation.

6.0 Gridding of Emissions

In order to include the results of the inventory in the national air quality modeling platform which requires hourly emissions by modeling grid cell, scripts were written to grid the estimated C3 emissions into hourly files needed to support emissions modeling.² The scripts use the following process to take emissions attributed to an AIS message and their associated longitudes and output them as aggregated gridded emissions for a given grid definition. The grid origin, grid dimensions, and map projection used for the grid are provided as an input to the scripts.

First the spatial coordinates of the emissions are transformed to the LCC projection of the desired grid with the origin at the lower left corner of the grid. Next the grid cell location was calculated from the X,Y coordinates as:

$$Grid\ Column = \frac{floor(X_{Projected}(m) - X_{Origin}(m))}{Cellwidth(m)}$$

And

$$Grid\ Row = \frac{floor(Y_{Projected}(m) - Y_{Origin}(m))}{Cellwidth(m)}$$

The emissions estimates are then aggregated by grid cell row, and column, date, hour, SCC, port ID, and FIPS code. Finally, the gridded emissions are output following the format of an hourly Flat File 2010 (FF10) file.

6.1 Masking Raster

The MET includes interpolated data points between all AIS messages associated with non-hoteling activity intervals greater than five minutes. This was done with the intention that each underway emissions estimation should represent the same activity duration. However, some messages were interpolated to locations that cannot contain C3 activity, like narrow inland waterways and shallow water bodies. Therefore, because interpolated messages were included in the rasterization process described above, a masking raster was required in order to define likely and unlikely C3 locations. This masking raster was then used to remove all emissions from grid cells in unlikely C3 locations.

ERG developed an R function to create the initial masking raster. This function creates a single, annual raster of non-interpolated C3 activity with the intention to remove all emissions from

² These are developed in the Flat File 2010 format used by the Sparse Matrix Operator Kernel Emissions modeling system (<https://emascenter.org/smoke/documentation/4.8.1/html/ch08s02s07.html#d0e40258>).

the daily rasters that were in unlikely C3 locations. Unlikely C3 locations were grid cells in which exclusively interpolated messages existed.

However, an analysis of the 12km CONUS masking raster brought to light certain anomalies in non-interpolated data which may also result in unlikely emissions locations. The non-interpolated masking raster reported odd inland activity such as that near Assateague, MD and Clear Lake, CA. This is like activity found in the 2017 data around Gainesville, FL and up the Mississippi river where C3 activity is not likely. These emissions were determined to be the result of “rogue” messages within the raw AIS dataset initially received from the US Coast Guard. Rogue messages can easily be identified by analyzing a single vessel’s path. Figure 6 shows an example of a single vessel transiting along the west coast of Mexico, with red dots signifying the message associated with the timestamp reported above the image and the purple dots signifying past messages. Within the span of 45 minutes, AIS reports activity messages for this vessel inland near Gainesville, FL, in the Atlantic Ocean, and back in its likely true position along the west coast of Mexico.

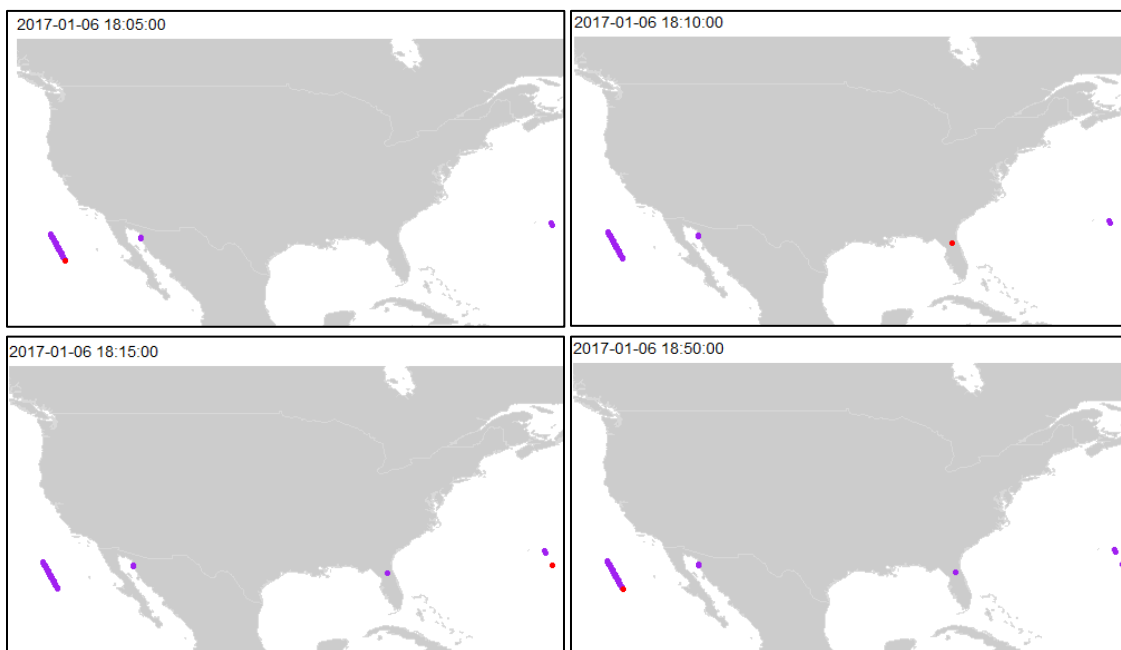


Figure 5 Example of Rogue Messages (Current Activity Message in Red and Past Messages in Purple)

Given that a single vessel reported a non-interpolated message near Gainesville, FL, and given the rogue nature of this message, it is evident that C3 activity is not likely near Gainesville, FL. Similar analysis was done to determine the unlikelihood of C3 activity up the Mississippi River and near Cape Coral, FL.

Thus, the non-interpolated masking raster was altered to account for the findings in this analysis. ERG developed an R function for this purpose, which reads in the annual, non-interpolated raster described above and converts all raster values to either NA, to represent unlikely C3 activity areas, or 1, to represent likely C3 activity locations. It also reads in a table, such as Table 2 which was created for altering the 12km CONUS raster according to the above findings. This function creates a box for each row of Table 16, using the longitude and latitude minimum and maximum, and assigns all grid cell values within that box the value in the “Assign Grid Values” field. This allows for manual adjustments of likely and unlikely activity areas. The function then outputs a single raster, with only values of 1 or NA, to show likely and unlikely C3 activity areas. All emissions in the daily rasters which were in unlikely grid cells in the masking raster were set to 0.

Table 3 12km CONUS Masking Raster Adjustments

| lngMin | lngMax | latMin | latMax | Description | Assign Grid Values |
|--------|--------|--------|--------|-------------|--------------------|
| -75.7 | -75.1 | 37.7 | 38.0 | Assateague | NA |
| -123.0 | -122.3 | 38.5 | 39.2 | Clear Lake | NA |

However, while the resulting submissions to the air quality modeling platform did use this masking raster, the NEI county-level submissions did not. Instead, counties which exclusively reported interpolated messages were assumed to be unlikely C3 areas and all C3 emissions were set to zero for those counties. Thus, because masks were applied at the grid cell-level for the air quality modeling platform, but the county-level for the NEI platform, certain differences will exist between them.

7.0 2022 Emissions Summary

The emissions data were parsed into daily files so that emissions could be analyzed consecutively. Entities that reported only a single AIS record throughout the year of data were removed, because at minimum two records are needed per ship to calculate activity durations. Consecutive hoteling activity of each ship were aggregated in the dataset to reduce the size. Hoteling records were aggregated to no more than an hour, to ensure that hourly rasterized emissions properly represented hoteling activity. Time and distance were calculated between each consecutive record of each vessel’s annual transit and allocated to the record following the activity duration, with time calculated in hours and distance calculated in meters using the haversine method of calculating great-circle distances between two points. Activity intervals exceeding 24 hours were omitted from emissions estimates as this would suggest that the transmitter may have been turned off or the vessel was docked with the engine off.

Each remaining AIS record was assigned a state and county Federal Information Processing Standard (FIPS) code for NEI aggregation purposes. FIPS codes were assigned using three shapefiles: the NEI Port Shapefile, the 2020 TIGER County Shapefile, and the NEI Shipping Lane Shapefiles (Figure 4). If an AIS record reported from a location within the NEI Port Shapefile, it would receive the FIPS associated with that port polygon. In addition, records found to be located within port polygons were assigned port Source Classification Codes (SCCs), while all others were assigned underway SCCs. Otherwise, if an AIS message did not report from a port but did report from a location within a TIGER County shapefile, it would receive the FIPS associated with that county shape. Those messages that fall within the polygon of a Canadian province or Mexican state, extending into their federal waters, are assigned a six-digit FIPS code for the region starting with a “1” for Canada and “2” for Mexico. Finally, if an AIS message reported from within the shipping lane shapefiles, but not within the TIGER County or port shapefiles (i.e., federal waters), the message is assigned a FIPS of 98001 that indicates that the message falls outside of US, Canadian, or Mexican territorial waters.

Table 4 presents the total estimated emissions due to Category 3 marine vessels in the NEI area throughout 2022, Table 4 presents emissions by vessel type and Figure 6 shows the geographic distribution of NO_x emissions in U.S. waters. Note that the totals shown in this section do not reflect emissions changes that resulted from application of the masking raster described in Section 6.1.

Table 4 Total 2022 Category 3 emissions in tons for U.S. waters including federal waters

| Region | CO | CO ₂ | NO _x | PM _{2.5} | PM ₁₀ | SO ₂ | VOC |
|---------------------------------|---------------|-------------------|-----------------|-------------------|------------------|-----------------|---------------|
| Alaska | 1,043 | 638,087 | 7,777 | 195 | 212 | 512 | 485 |
| Hawaii | 170 | 118,423 | 1,617 | 29 | 32 | 72 | 73 |
| Puerto Rico + Virgin Islands | 351 | 234,654 | 2,694 | 60 | 65 | 148 | 164 |
| 48 states+DC | 10,207 | 6,752,354 | 84,352 | 1,686 | 1,833 | 4,141 | 4,676 |
| Federal waters | 38,298 | 17,746,131 | 320,544 | 8,123 | 8,829 | 20,812 | 18,955 |
| TOTAL | 50,069 | 25,489,648 | 417,184 | 10,093 | 10,970 | 25,685 | 24,352 |

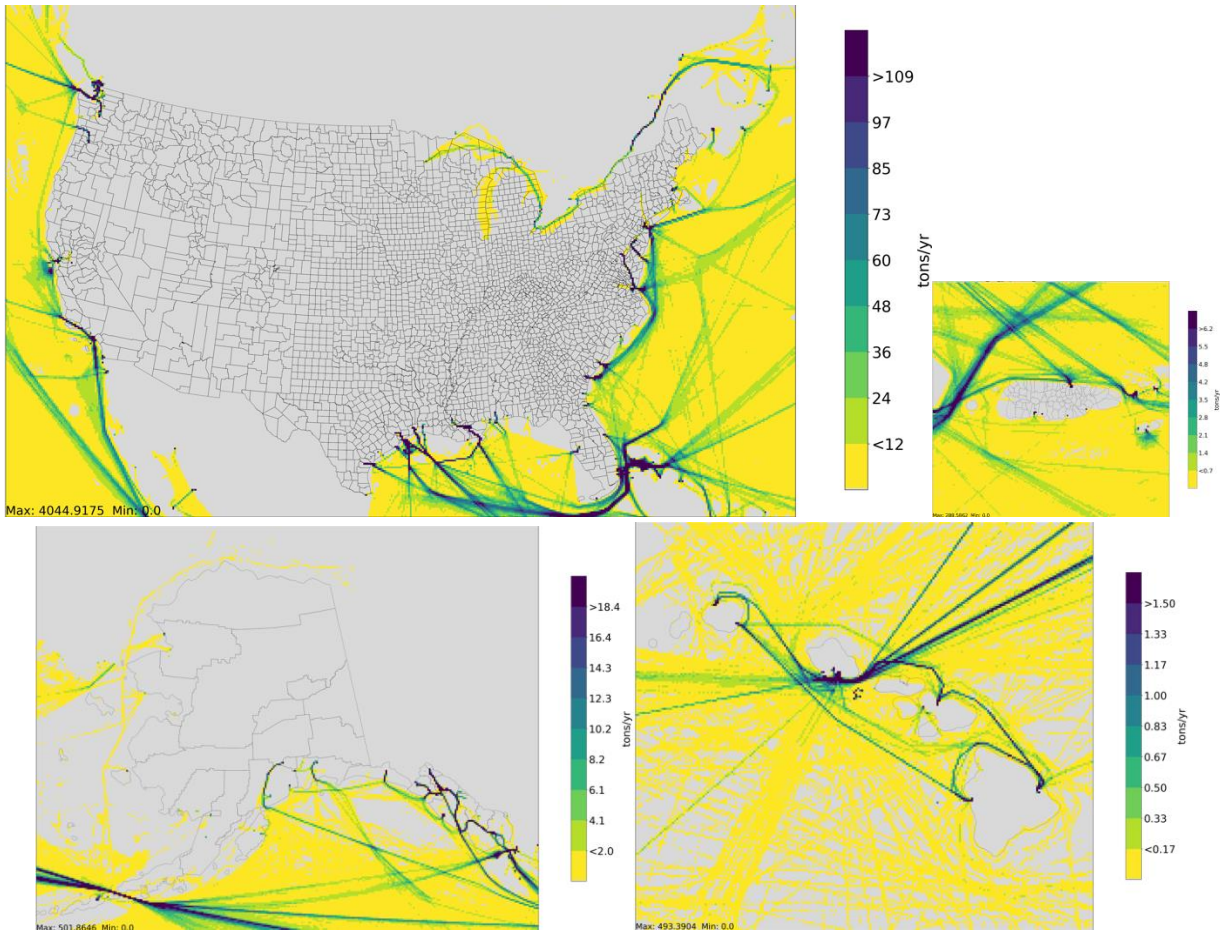


Figure 6 C3 2022 Annual NO_x Emissions

Table 5 Total 2022 Category 3 emissions by ship type (tons unless otherwise indicated)

| Ship Category | CO | CO ₂ | NH ₃ | NO _x | PM ₁₀ | PM _{2.5} | SO ₂ | VOC |
|--------------------|---------------|-------------------|-----------------|-----------------|------------------|-------------------|-----------------|---------------|
| Bulk Carrier | 7,018 | 3,555,527 | 32 | 65,155 | 1,786 | 1,643 | 4,309 | 3,191 |
| Container Ship | 19,204 | 8,506,797 | 70 | 150,665 | 3,979 | 3,661 | 9,058 | 10,080 |
| Cruise | 6,390 | 3,896,965 | 23 | 52,923 | 1,325 | 1,219 | 3,158 | 2,929 |
| Ferry | 56 | 33,245 | 0 | 682 | 15 | 14 | 37 | 25 |
| Fishing | 42 | 17,293 | 0 | 361 | 17 | 16 | 36 | 29 |
| General Cargo | 110 | 65,663 | 0 | 1,161 | 25 | 23 | 61 | 49 |
| Miscellaneous | 604 | 324,589 | 3 | 5,422 | 146 | 134 | 350 | 289 |
| Offshore | 1,161 | 507,874 | 4 | 9,717 | 226 | 208 | 495 | 594 |
| Refrigerated | 356 | 205,426 | 2 | 2,957 | 121 | 111 | 319 | 155 |
| Ro-Ro | 3,189 | 1,610,916 | 11 | 24,738 | 646 | 595 | 1,495 | 1,462 |
| Tanker | 11,477 | 6,493,547 | 46 | 99,677 | 2,604 | 2,396 | 6,197 | 5,324 |
| Tug | 463 | 271,805 | 1 | 3,726 | 80 | 73 | 171 | 225 |
| Grand Total | 50,069 | 25,489,648 | 194 | 417,184 | 10,970 | 10,093 | 25,685 | 24,352 |

Energy consumption in units of Kilowatt-hours (kWhrs) was calculated for each engine type for each vessel by multiplying the activity durations per AIS interval and the assigned power estimation based on AIS reported speed, and Clarksons installed power ratings and service speed. The energy consumption was summed by ship type and by SCC. Figure 8 illustrates the relative energy consumption for each ship type by SCC while Table 5 provides total emissions by SCC.

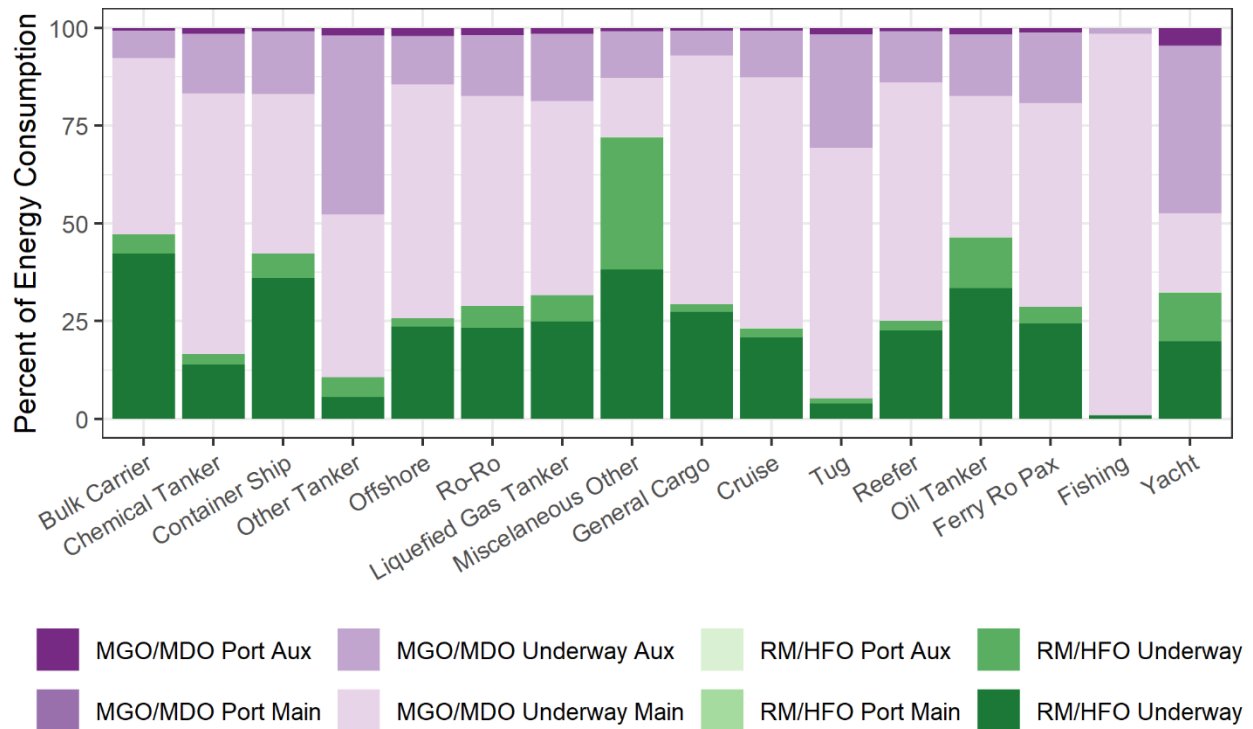


Figure 7 Ship Type Kilowatt Hour Distribution by SCC

Table 6 2022 Category 3 Emissions by Port/Underway, Engine type, and Fuel (tons)

| Port/Engine/Fuel | CO | CO₂ | NH₃ | NO_x | PM₁₀ | PM_{2.5} | SO₂ | VOC |
|-------------------------|---------------|-----------------------|-----------------------|-----------------------|------------------------|-------------------------|-----------------------|---------------|
| Port | 3,309 | 2,877,614 | 13 | 27,018 | 742 | 683 | 1,802 | 1,368 |
| Auxiliary | 3,187 | 2,857,383 | 13 | 26,363 | 729 | 671 | 1,789 | 1,249 |
| Diesel | 3,166 | 2,839,074 | 13 | 26,176 | 711 | 654 | 1,731 | 1,241 |
| Residual | 21 | 18,309 | 0 | 187 | 18 | 17 | 57 | 8 |
| Main | 121 | 20,231 | 0 | 655 | 13 | 12 | 13 | 119 |
| Diesel | 120 | 20,036 | 0 | 648 | 13 | 12 | 12 | 118 |
| Residual | 1 | 195 | 0 | 7 | 0 | 0 | 1 | 1 |
| Underway | 46,761 | 22,612,034 | 181 | 390,167 | 10,229 | 9,410 | 23,884 | 22,984 |
| Auxiliary | 11,234 | 8,540,869 | 50 | 94,338 | 2,833 | 2,606 | 7,211 | 4,351 |
| Diesel | 10,120 | 7,749,014 | 36 | 84,701 | 2,006 | 1,845 | 4,725 | 3,921 |
| Residual | 1,114 | 791,855 | 15 | 9,637 | 827 | 761 | 2,486 | 429 |
| Main | 35,527 | 14,071,165 | 131 | 295,829 | 7,396 | 6,804 | 16,673 | 18,633 |
| Diesel | 27,898 | 10,825,748 | 62 | 216,238 | 3,487 | 3,208 | 6,608 | 14,972 |
| Residual | 7,629 | 3,245,418 | 69 | 79,591 | 3,909 | 3,596 | 10,064 | 3,661 |

8.0 Forecasting emissions for analytic years

Future CMV emissions for the analytic years 2026, 2032, and 2038 were projected from the 2022 base year inventory. For this purpose, a set of multiplicative growth factors were calculated using the Freight Analysis Framework Version 5 (FAF5)³³, which is produced by the Bureau of Transportation Statistics (BTS) and supported by the Federal Highway Administration (FHWA). The main sources of the FAF5 include the Commodity Flow Survey (CFS), Business Market Insights (BMI) database, federal agencies such as the U.S. Department of Agriculture (USDA) and U.S. Energy Information Administration (EIA), Municipal Solid Waste (MSW) reports from states and federal facilities, construction and demolition (C&D) databases and Census data (FHWA, 2021). These growth projections follow and expand upon previous methodologies in the EPA Port Emissions Inventory Guidance using updated data (EPA, 2022).

8.1 Commodity Import and Export Data

The FAF5 dataset includes data from base year 2017 developed using the CFS, annual estimates from 2018-2022 using BMI historical data and BTS in-house models (FHWA, 2021; Oak Ridge National Laboratory, 2021). It also includes projections for every 5 years from 2025-2050 using BMI forecasted data and BTS in-house models. The FAF5 contains freight flow data for weight, value, and activity. This data is available for all U.S. states and metropolitan areas. While all transportation modes are in the dataset, for this analysis the only data used is the weight of freight flow (thousands of tons) by water mode. The dataset also details 42 commodity types (Table 7) which are used to identify ship types (Table 8).

Following similar procedures used by the California Air Resource Board (CARB; CARB, 2022), ship types were assigned based on the commodities available. For example, trade tonnage for bulk and aggregate products is used to determine bulk carrier emissions growth factors. Some commodity types may be packaged and shipped in multiple ways are therefore assigned to multiple ship types. Because the growth factors represent a relative change in shipping activity between two years, capturing the relative changes in shipping tonnage is more important than determining the absolute value of the change in shipped tonnage for a given ship type or region

³³ <https://faf.ornl.gov/faf5/Default.aspx>

Table 7 Commodity List

| | | |
|---|--|---|
| <ul style="list-style-type: none"> • Alcoholic beverages • Animal feed Articles-base metal • Base metals • Basic chemicals • Building stone • Cereal grains • Chemical prods. • Coal • Crude petroleum • Electronics • Fertilizers • Fuel oils • Furniture • Gasoline • Gravel • Live animals/fish • Logs | <ul style="list-style-type: none"> • Machinery • Meat/seafood • Metallic ores Milled grain prods. • Misc. mfg. prods. • Mixed freight • Motorized vehicles • Natural gas and other fossil products • Natural sands • Newsprint/paper • Nonmetal min. prods. • Nonmetallic minerals | <ul style="list-style-type: none"> • Other ag prods. • Other foodstuffs • Paper articles • Pharmaceuticals • Plastics/rubber • Precision instruments • Printed prods. • Textiles/leather • Tobacco prods. • Transport equip. • Unknown • Waste/scrap • Wood prods. |
|---|--|---|

Table 8 Ship Type Commodity Assignment

| Ship Type | Commodity |
|--------------------|---|
| bulk.carrier/barge | Animal feed, Base metals, Cereal grains, Coal, Fertilizers, Gravel, Logs, Metallic ores, Natural sand, Nonmetal mineral products, Nonmetallic minerals, Plastics/rubber, Waste/scrap |
| container.ship | Alcoholic beverages, Articles-base metal, Electronics, Furniture, Machinery, Miscellaneous manufacturing products, Newsprint/paper, Paper articles, Pharmaceuticals, Precision instruments, Printed products, Textiles/leather, Tobacco products, Wood products |
| Cruise | N/A – same as misc |
| Ferry | N/A - same as misc |
| Fishing | N/A - same as misc |
| general.cargo | Base metals, Building stone, Live animals/fish, Machinery, Milled grain products, Mixed freight, Other agricultural products, Other foodstuffs, Tobacco products, Unknown, Wood products |

| | |
|-----------------|---|
| Government | N/A - same as misc |
| Misc | All (see Table 7) |
| Offshore | N/A - same as misc |
| Passenger | N/A - same as misc |
| Reefer | Meat/seafood, Other foodstuffs |
| ro.ro | Motorized vehicles, Transport equipment |
| Tanker | Basic chemicals, Chemical products, Crude petroleum, Fertilizers, Fuel oils, Gasoline, Natural gas and other fossil products, Plastics/rubber |
| Tour | N/A - same as misc |
| Tug | N/A - same as misc |
| vehicle.carrier | Motorized vehicles, Transport equipment |

To better identify regional trends and simplify the application of the growth rate factors, the state-level trade dataset was subset into regional groups based on the states' costal adjacency (Table 9). The states and metropolitan areas were filtered by a list of allowed Federal Information Processing Standards (FIPS) codes. In the case of Florida, its trade data was attributed to both the Gulf and Atlantic regions.

Table 9 Geographic Region Assignments

| Region | State |
|----------|--|
| Alaska | Alaska |
| Atlantic | Connecticut, Delaware, Florida, Georgia, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, New York, North Carolina, Rhode Island, South Carolina, Virginia, Washington D.C |
| Gulf | Alabama, Florida, Louisiana, Mississippi, Texas |
| Hawaii | Hawaii |
| Inland | Arizona, Arkansas, Colorado, Idaho, Illinois, Indiana, Iowa, Kansas, Kentucky, Michigan, Minnesota, Missouri, Montana, Nebraska, Nevada, New Mexico, North Dakota, Ohio, Oklahoma, Pennsylvania, South Dakota, Tennessee, Vermont, West Virginia, Wisconsin, Wyoming |
| Pacific | California, Oregon, Washington |

8.2 Calculating Growth Rates

After assigning the states to their region and ship types by commodity, the estimated years from 2018-2022 showed a trend of highly variable values across each region, leading to unreasonably large factors when compared against the emissions base year 2022. To account for this, the data points from 2025-2050 were used to generate a linear regression to back cast the base year 2022. A linear interpolation was also applied annually for years 2025-2050 to allow for easier generation and look up of growth factors for any future year. Percent difference graphs were created to show the change in growth in relation to the base year 2022. The shaded regions show the upper and lower bounds for the future projected growth. These values are based on IHS developed alternative macroeconomic scenarios which are included as part of the FAF5 dataset (Oak Ridge National Laboratory, 2021). These scenarios provide alternate projections to the baseline case.

Figure 8 shows the highly variable data before the base year 2022 in which the black point is back casted using the procedure described above to avoid calculating unlikely growth factors. The orange points are values directly from the FAF5 dataset, and the blue points are the annual linear interpolations between the FAF projected values. Figure 9 begins with the base year 2022 removing previous years to display a less variable timeline for the projected growth.

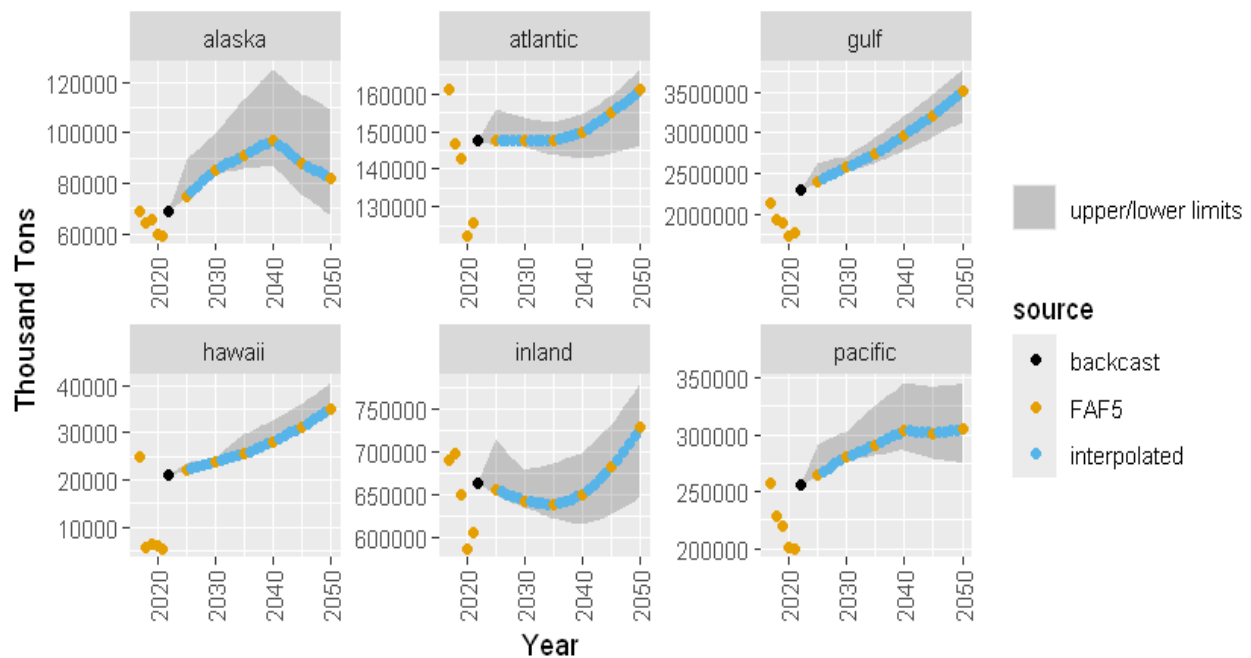


Figure 8 Shipping tonnage averaged by region for all FAF5 years

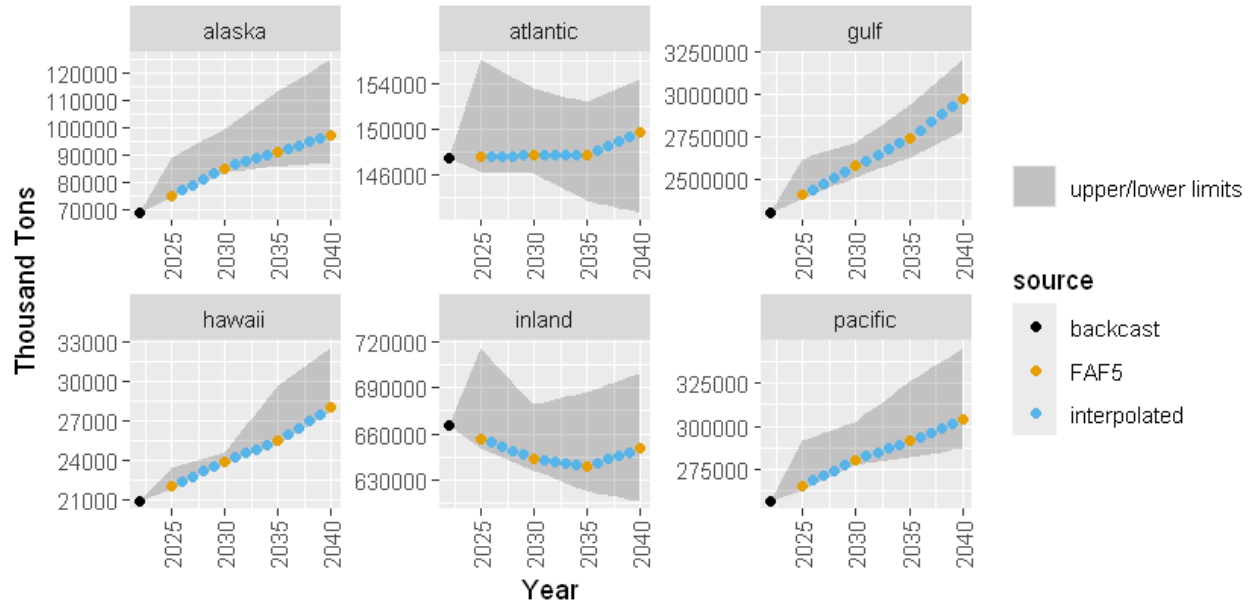


Figure 9 Shipping tonnage averaged by region for projected years only

Emissions growth factors were calculated for each ship type and for each region. Figure 10 shows example projections of bulk carrier growth for each of the six geographic regions. Each of the final growth factors represents a multiplicative adjustment to calculate emissions for the analytic years 2026, 2032, and 2038 from the base year 2022. Some ship types, such as barge or ferry, were not easily matched with commodities available in the FAF5 dataset. Instead, generalized growth factors based on all the commodities in aggregate were applied to these ship types. Appendix C contains a table of the growth factors for the four analytic years for all 96 combinations of ship type and geographic region.

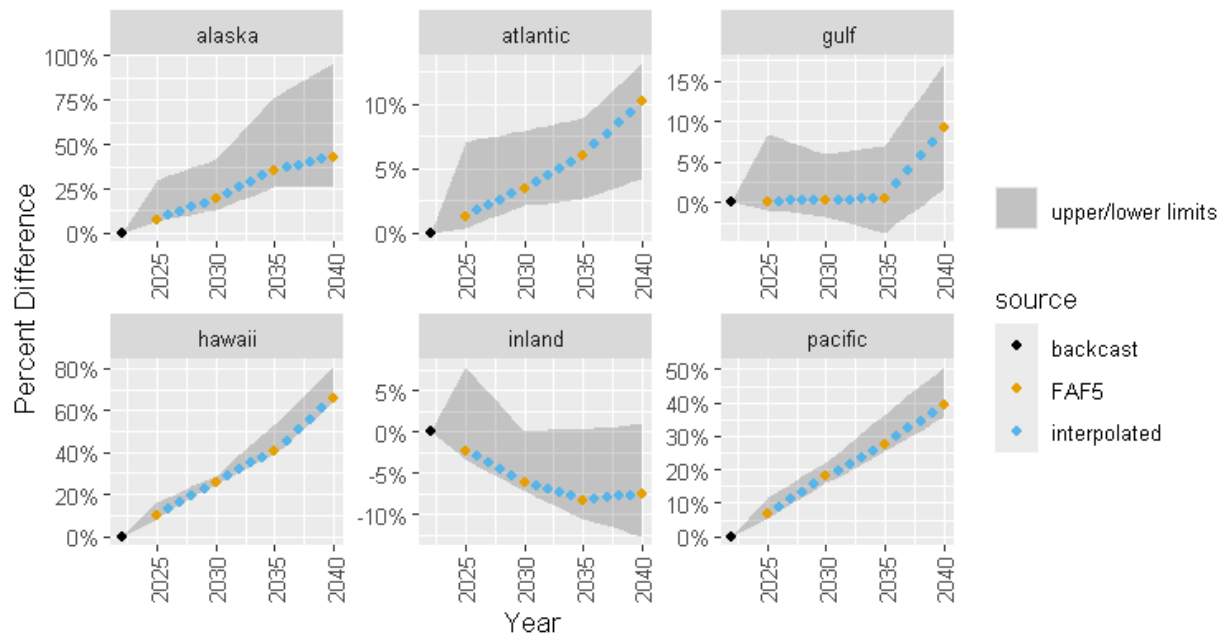


Figure 10 Projected relative growth for bulk carriers by region

8.3 Adjustment for C3 vessel NOx emission projections

For the 2022 Emissions Modeling Platform analytic years, we developed a set of multiplicative adjustment factors for future NOx emissions inventories from Category 3 commercial marine vessels. These factors are intended to be applied to the NOx emissions from inventories projected using the methodology discussed in the preceding sections. The adjustment factors are intended to account for fleet turnover to newer vessels that meet stricter Tier-2 and Tier-3 emissions standards. This analysis uses the U.S. Army Corps of Engineers (USACE) Entrances dataset as a proxy for vessel activity (U.S. Army Corps of Engineers 2023). The vessels identified in the Entrances data are classified by their regulatory tier. The annual vessel activity by tier is fit with linear regressions and forecast into future years. Finally, the forecast activity is combined with tier-specific emission factors to generate fleet-average NOx emission factors for the forecast years. The forecast average rates are normalized against the base inventory year (2022) to generate a final set of adjustment factors for future years.

8.3.1 Recent historic regulatory tier distributions

Entrances data for calendar years 2014 through 2021 was downloaded from the USACE Waterborne Commerce Statistics Center. Vessel specific records from the Entrances data were joined with vessel specific data from Clarksons using the ships' unique IMO numbers. Specifically, each ship's keel-laid date and main engine bore, and stroke were identified. The keel-laid date is used to identify a vessel's regulatory tier, and the bore and stroke data are

used to calculate the engine's per-cylinder displacement, which is in-turn used to identify the vessel's category. The Entrances dataset was filtered for C3 vessels which are subject to the NOx regulations being addressed here. Likewise, each ship's engine tier was assigned using its keel-laid date according to the date ranges given in Table.

Table 10 Engine Tiers by Keel-laid date

| Engine Tier | Keel-laid date range |
|--------------------|-----------------------------|
| Tier 0 | 1999 and earlier |
| Tier 1 | 2000 - 2010 |
| Tier 2 | 2011 - 2015 |
| Tier 3 | 2016 and later |

Figure 11 shows the distribution of vessel Entrances records by keel-laid date and tier for calendar years 2014-2021. The figure shows the nature of fleet turnover changing when the Tier 3 regulation came into effect in 2016. Rather than maintaining a similar distribution to prior calendar years, starting in 2016 the figure shows a growing number of entrances for Tier 2 vessels with a 2016 keel-laid date, and very little fleet turnover to post-2016 Tier 3 vessels. By 2021 there are a small number of vessel entrances from Tier 3 vessels, but the distribution is significantly changed from the pre-Tier 3 years.

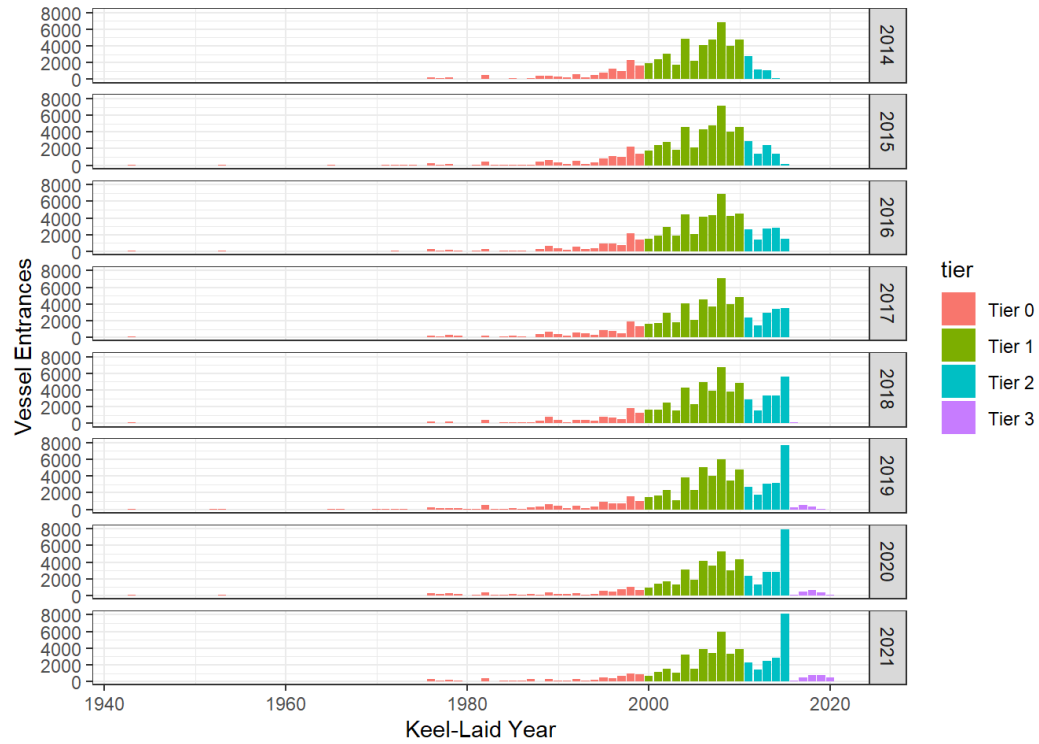


Figure 11 Distributions of C3 vessel entrances by keel-laid year

Figure 12 further illustrates the fleet turnover trends by showing the proportion of entrances from each tier of vessel by calendar year.

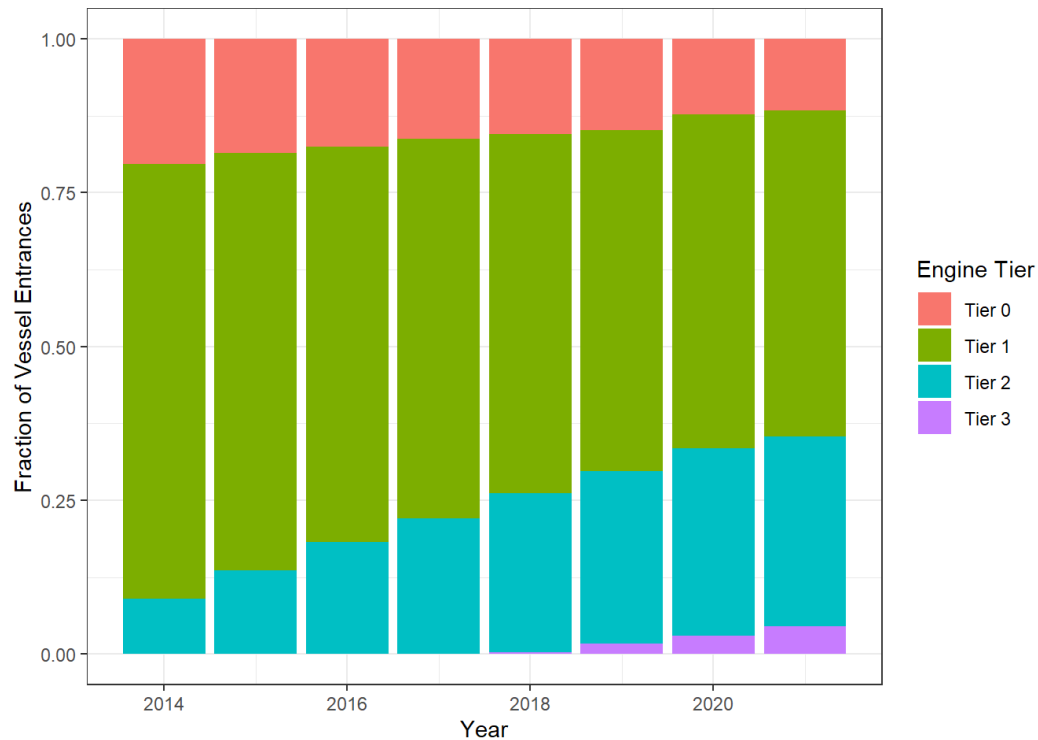


Figure 12 Fraction of vessel entrances for each engine tier by calendar year

8.3.2 Forecasting future vessel activity by engine tier

To estimate future vessel activity by engine tier, we applied linear regressions to the historic activity data for each tier. The regressions were applied to the entrances data from calendar year 2016 onward because 2016 the year where the Tier 3 standard went into effect and resulted in a change in the vessel activity distributions shown above. Figure 13 below shows results of the regressions over the vessel entrances counts by engine tier. The dashed vertical line at 2016 indicates the start year for the regressions. The figure shows vessel entrances for vessels with Tier 0 and Tier 1 engines to be consistently declining both before and after 2016. The trend for Tier 2 engines is less clear cut. The most recent two years ,2020 and 2021 suggest a possible plateau in Tier 2 vessel activity. These points may indicate a peak in Tier 2 vessel activity as the fleet transitions from Tier 2 engines to Tier 3 engines. However, interpreting these two data points difficult especially given the global disruptions in shipping caused by COVID 19 starting in 2020.

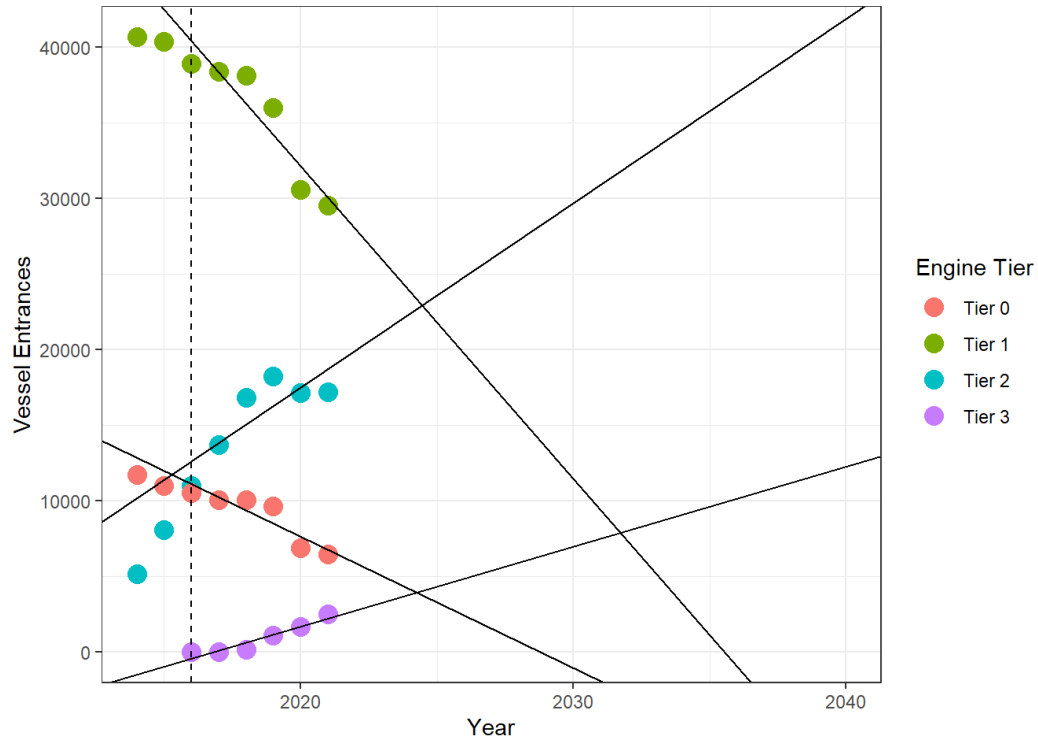


Figure 13 Linear regressions of vessel entrances by engine tier

We transformed the projected vessel entrances data into projected annual vessel activity fractions, by dividing the number of entrances associated with each engine tier by the total number of entrances for each calendar year. For years where Tier 0 and Tier 1 engines were projected to have negative entrances, we assumed that there would be zero vessel activity for the purposes of normalization. Figure 14 shows the resulting normalized fractions of projected vessel activity. The resulting fractions can then be used to weight emission factors to project the change in fleet average emission factors due to fleet turnover.

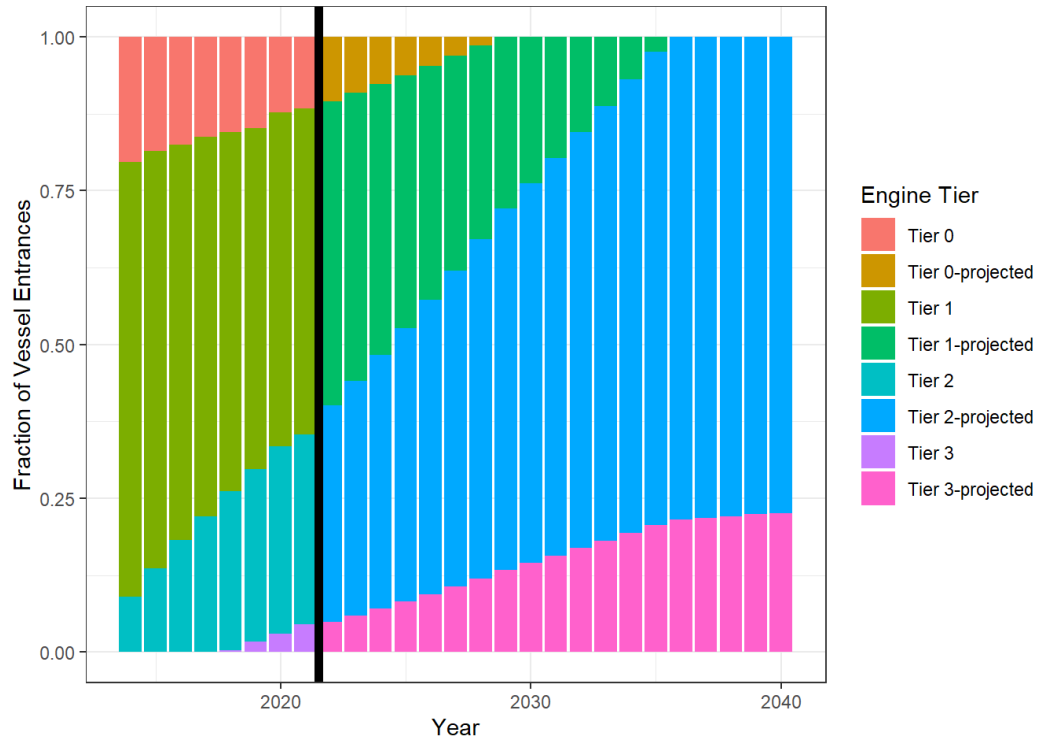


Figure 14 Fraction of vessel entrances by engine tier for historic and projected years

8.3.3 Activity-Weighted Fleet-Average Emission Factors

Using the forecast vessel activity fractions by tier, we generated fleet average NO_x emission factors for each year of the analysis. The base emission factors we used came from EPA’s Port Emissions Inventory Guidance (EPA 2022) and for simplicity we assumed that the engines are slow speed diesel engines burning ultra-low sulfur ECA fuel. The emission factors are summarized in Table 11 below.

Table 11 NO_x Emission factors by engine tier

| Engine Tier | NO _x Emission Factor (g/kWh) |
|-------------|---|
| Tier 0 | 17.0 |
| Tier 1 | 16.0 |
| Tier 2 | 14.4 |
| Tier 3 | 3.4 |

Weighting the emission factors for each calendar year using the fractions from Figure 14, resulted in the projected fleet average NO_x emission factors shown in Figure 15. Finally, the fleet average emission rates were normalized to the 2022 base year rate to yield projected

scaling factors for calendar years 2022 through 2040. The final scaling factors are presented in Table 12

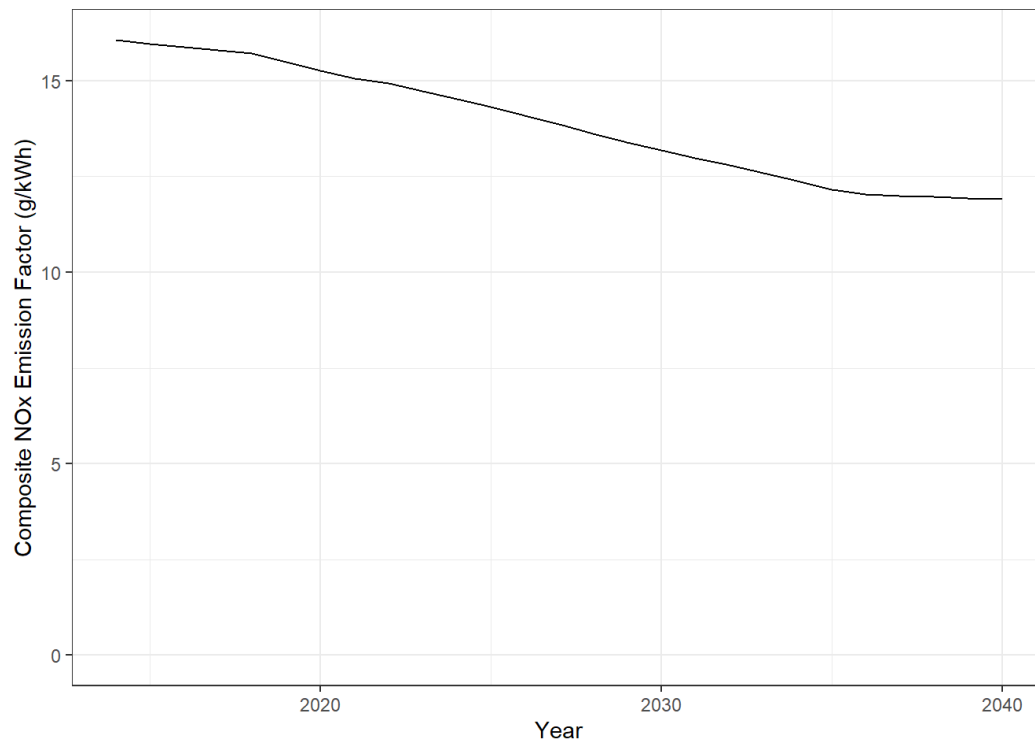


Figure 15 Composite fleet-average NOx emission rates by calendar year

Table 12 Final scaling factors for C3 NOx emissions

| Analytic year | Scaling Factor |
|------------------|----------------|
| 2022 (base year) | 1.00 |
| 2026 | 0.94 |
| 2032 | 0.86 |
| 2038 | 0.80 |

Table 13 C3 Emissions for Analytic Years Compared to 2022 (tons/yr)

| Pollutant | 2022 | 2026 | 2032 | 2038 |
|-------------------|-------------|-------------|-------------|-------------|
| CO | 50,069 | 53,244 | 58,070 | 63,639 |
| CO ₃ | 25,489,648 | 27,038,376 | 29,380,447 | 32,070,179 |
| NH ₃ | 194 | 206 | 224 | 244 |
| NO _x | 417,184 | 419,004 | 414,645 | 424,963 |
| PM ₁₀ | 10,970 | 11,634 | 12,638 | 13,790 |
| PM _{2.5} | 10,093 | 10,703 | 11,627 | 12,686 |
| SO ₂ | 25,685 | 27,212 | 29,519 | 32,160 |
| VOC | 24,352 | 25,937 | 28,353 | 31,143 |

9.0 References

Brown, I., and Aldridge, M. (2019). *Power Models and Average Ship Parameter Effects on Marine Emissions Inventories*. Journal of the Air & Waste Management Association 69 (6), 752-763

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APPENDIX A

Ship Type and Subtype Assignments

Table A-1 Ship Type Map

| Clarkson's Vessel Type | Ship Type |
|--------------------------------|------------------|
| Offshore Launch Barge/Pontoon | Barge |
| Crane Barge | Barge |
| Derrick Lay Barge | Barge |
| Deck Cargo Barge | Barge |
| Split Hopper Barge | Barge |
| General Cargo Barge | Barge |
| Products Tank Barge | Barge |
| Deck Cargo Pontoon | Barge |
| Covered Bulk Cargo Barge | Barge |
| Crane Pontoon | Barge |
| Maintenance Platform | Barge |
| Chemical Tank Barge | Barge |
| Maintenance Pontoon | Barge |
| Chemical/Products Tank Barge | Barge |
| Barge (Function Unknown) | Barge |
| Bulk Aggregates Barge | Barge |
| Hopper Barge | Barge |
| Oil Storage Barge | Barge |
| Bulk Dry Storage Barge | Barge |
| Water Tank Barge | Barge |
| Open Bulk Cargo Barge | Barge |
| Deck Cargo Pontoon, Semi Sub | Barge |
| Cement Storage Barge | Barge |
| Bulk Cement Barge | Barge |
| Drill Barge | Barge |
| Bitumen Tank Barge | Barge |
| Trans Shipment Barge | Barge |
| Vehicle Carrying Barge | Barge |
| Liquid Mud Barge | Barge |
| Cement Mixing Barge | Barge |
| Inland Drilling Barge | Barge |
| Freight Barge | Barge |
| Tank Barge | Barge |
| Public Tankship/Barge | Barge |
| Barge Carrier, Naval Auxiliary | Barge |
| Barge Carrier | Barge |
| Training Barge | Barge |

| Clarkson's Vessel Type | Ship Type |
|-------------------------------|----------------|
| Bulk Carrier | Bulk carrier |
| Cement Carrier | Bulk carrier |
| Limestone Carrier | Bulk carrier |
| Ore Carrier | Bulk carrier |
| Urea Carrier | Bulk carrier |
| Open Hatch Carrier | Bulk carrier |
| Chip Carrier | Bulk carrier |
| Forest Product Carrier | Bulk carrier |
| Stone Chip Carrier | Bulk carrier |
| Gypsum Carrier | Bulk carrier |
| Ore & Sulphuric Acid Carrier | Bulk carrier |
| Miscellaneous Dry Bulk | Bulk carrier |
| Slurry Carrier | Bulk carrier |
| Salt Carrier | Bulk carrier |
| Fully Cellular Container | Container ship |
| Container Ship (Inland) | Container ship |
| Cruise Ship | Cruise |
| Cruise (Inland) | Cruise |
| Passenger (Uninspected) | Cruise |
| Passenger (Inspected) | Cruise |
| Pass /Car Ferry | Ferry Ro pax |
| Passenger Catamaran Vessel | Ferry Ro pax |
| Passenger (Inland) | Ferry Ro pax |
| Passenger Vessel | Ferry Ro pax |
| Passenger/Ro-Ro (Inland) | Ferry Ro pax |
| Passenger/Cargo Vessel | Ferry Ro pax |
| Ferry | Ferry Ro pax |
| Passenger Barge (Uninspected) | Ferry Ro pax |
| Passenger Barge (Inspected) | Ferry Ro pax |
| Air Cushion Ferry | Ferry Ro pax |
| Pass /Car Catamaran Vessel | Ferry Ro pax |
| General Cargo | General cargo |
| General Cargo (Inland) | General cargo |
| Deck Cargo Carrier | General cargo |
| Landing Craft | General cargo |
| Trans Shipment Vessel | General cargo |
| Ore/Oil Carrier | General cargo |
| Industrial Vessel | General cargo |
| Freight Ship | General cargo |
| Livestock Carrier | General cargo |

| Clarkson's Vessel Type | Ship Type |
|-----------------------------------|----------------------|
| Aggregate Carrier | General cargo |
| Palletised Cargo Carrier | General cargo |
| Log Tipping Ship | General cargo |
| Miscellaneous Cargo | General cargo |
| Heavy Lift Cargo Vessel | General cargo |
| General Cargo/Passenger (Inland) | General cargo |
| LPG Carrier | Liquified gas tanker |
| LPG Tank Barge | Liquified gas tanker |
| Lng Tanker (Inland) | Liquified gas tanker |
| LPG Carrier (Inland) | Liquified gas tanker |
| Lng Tank Barge | Liquified gas tanker |
| Ethylene/LPG | Liquified gas tanker |
| LNG Carrier | Liquified gas tanker |
| LNG Bunkering Vessel | Liquified gas tanker |
| CO2 Carrier | Liquified gas tanker |
| LNG/Ethylene/LPG | Liquified gas tanker |
| LNG/Regasification | Liquified gas tanker |
| Ethane/LPG | Liquified gas tanker |
| Tug, Naval Auxiliary | Tug |
| Multi-Purpose | Miscellaneous |
| Work/Repair Vessel | Miscellaneous |
| Pontoon (Function Unknown) | Barge |
| Landing Ship (Dock Type) | Miscellaneous |
| Electricity Generating Pontoon | Barge |
| Submarine Tender | Miscellaneous |
| Munitions Carrier | Miscellaneous |
| Attack Vessel, Naval | Miscellaneous |
| Salvage Vessel | Miscellaneous |
| Destroyer | Miscellaneous |
| Patrol Vessel, Naval | Miscellaneous |
| Electricity Generating Vessel | Miscellaneous |
| Unknown Function, Naval/Auxiliary | Miscellaneous |
| Search & Rescue | Miscellaneous |
| Frigate | Miscellaneous |
| Corvette | Miscellaneous |
| Minehunter | Miscellaneous |
| Replenishment Dry Cargo Vessel | Bulk carrier |
| Training Ship, Naval Auxiliary | Miscellaneous |
| Torpedo Boat | Miscellaneous |
| Floating Crane | Miscellaneous |

| Clarkson's Vessel Type | Ship Type |
|--|---------------|
| Minelayer | Miscellaneous |
| Weapons Trials Vessel | Miscellaneous |
| Training Ship | Miscellaneous |
| Torpedo Recovery Vessel | Miscellaneous |
| Anti-Pollution Vessel | Miscellaneous |
| Other Activities (Inland) | Miscellaneous |
| Icebreaker | Miscellaneous |
| Crane Vessel, Naval Auxiliary | Miscellaneous |
| Replenishment Tanker | Other tanker |
| Permanent Shore Facility | Miscellaneous |
| Oilfield Pollution Control | Miscellaneous |
| ERRV | Miscellaneous |
| Unclassified | Miscellaneous |
| UNSPECIFIED | Miscellaneous |
| Unknown | Miscellaneous |
| Public Vessel, Unclassified | Miscellaneous |
| School Ship | Miscellaneous |
| Public Freight | Miscellaneous |
| Motor Lifeboat | Miscellaneous |
| Aids to Navigation Boat | Miscellaneous |
| Cutter | Miscellaneous |
| Motor Surf Boat | Miscellaneous |
| Transportable Port Security Boat | Miscellaneous |
| Response Boat-Medium | Miscellaneous |
| Special Purpose Craft - Heavy Weather | Miscellaneous |
| Special Purpose Craft - Near Shore Lifeboat | Miscellaneous |
| Special Purpose Craft - Screening Vessel | Miscellaneous |
| Utility Boat - Big | Miscellaneous |
| Patrol Boat - Island Class | Miscellaneous |
| Medium Endurance Cutter | Miscellaneous |
| High Endurance Cutter | Miscellaneous |
| Coastal Patrol Boat - Marine Protector Class | Miscellaneous |
| Inland Construction Tenders | Miscellaneous |
| National Security Cutter | Miscellaneous |
| Icebreaking Tug - Bay Class | Miscellaneous |
| Unique | Miscellaneous |
| Fast Response Cutter - Sentinel Class | Miscellaneous |
| Defender Class Boat | Miscellaneous |
| Tank Landing Craft | Miscellaneous |

| Clarkson's Vessel Type | Ship Type |
|----------------------------------|---------------|
| Standby Safety/Guard | Miscellaneous |
| Troopship | Miscellaneous |
| Repair Vessel, Naval Auxiliary | Miscellaneous |
| Pearl Shells Carrier | Miscellaneous |
| Mining Vessel | Miscellaneous |
| Diving Vessel, Naval Auxiliary | Miscellaneous |
| Naval Small Craft | Miscellaneous |
| Hospital Vessel, Naval Auxiliary | Miscellaneous |
| Car Park | Miscellaneous |
| Submarine Salvage Vessel | Miscellaneous |
| Minesweeper | Miscellaneous |
| Cruiser | Miscellaneous |
| Torpedo Trials Vessel | Miscellaneous |
| Multi-Purpose/Heavy Lift Cargo | Miscellaneous |
| Salvage Vessel, Naval Auxiliary | Miscellaneous |
| Infantry Landing Craft | Miscellaneous |
| Mooring | Miscellaneous |
| Shopping Complex | Miscellaneous |
| Pollution Control Vessel | Miscellaneous |
| Amphibious Assault Ship LHA | Miscellaneous |
| Command Vessel | Miscellaneous |
| Helicopter Carrier | Miscellaneous |
| Heavy Load Carrier | Miscellaneous |
| Icebreaker AGB | Miscellaneous |
| Live Fish Carrier (Well Boat) | Fishing |
| Fishing Vessel | Fishing |
| Fish Feed Carrier | Fishing |
| Stern Trawler | Fishing |
| Fishery Patrol Vessel | Fishing |
| Trawler | Fishing |
| Fishery Research Vessel | Fishing |
| Fishery Support Vessel | Fishing |
| Commercial Fishing Vessel | Fishing |
| Fish Processing Vessel | Fishing |
| Fishing Tender | Fishing |
| Whale Catcher | Fishing |
| Fish Factory Ship | Fishing |
| Seal Catcher | Fishing |
| Factory Stern Trawler | Fishing |
| Pipe Laying Barge | Offshore |

| Clarkson's Vessel Type | Ship Type |
|-------------------------------------|-----------|
| Cutter Suction/Bucket Wheel Dredger | Offshore |
| Backhoe/Dipper/Grab Dredger | Offshore |
| Barge Unloading Dredger | Offshore |
| Crew Boat | Offshore |
| Seismic Support | Offshore |
| Utility/Workboat | Offshore |
| Derrick/Lay Vessel | Offshore |
| Bucket Ladder Dredger | Offshore |
| Special Equipment Dredger | Offshore |
| Suction Dredger | Offshore |
| Hydrographic Survey | Offshore |
| Cable, Umbilicals & FP/Flowline Lay | Offshore |
| Cable Layer (Fibre Optic) | Offshore |
| Dredger (Unspecified) | Offshore |
| Other Dredger | Offshore |
| Crew Tender | Offshore |
| Crew/Fast Supply Vessel | Offshore |
| Suction Hopper Dredger | Offshore |
| Dredging Pontoon | Offshore |
| Windfarm Crew/Supply Tender | Offshore |
| Oceanographic Survey | Offshore |
| Dredging (Inland) | Offshore |
| Transport (Heavy Lift) | Offshore |
| Supply Tender | Offshore |
| Trailing Suction Hopper Dredger | Offshore |
| Grab Dredger Pontoon | Offshore |
| Tension Leg Platform | Offshore |
| SPAR | Offshore |
| Dredgers (Stone Dumping, Fallpipe) | Offshore |
| Platform Supply | Offshore |
| Geophysical Survey | Offshore |
| Oil Recovery | Offshore |
| Offshore Supply Vessel | Offshore |
| Arctic Survey Boat | Offshore |
| Inland Buoy Tender | Offshore |
| Seagoing Buoy Tender | Offshore |
| Coastal Buoy Tender - Keeper Class | Offshore |
| River Buoy Tenders | Offshore |
| Seagoing Buoy Tender/ Icebreaker | Offshore |
| River Buoy Tender | Offshore |

| Clarkson's Vessel Type | Ship Type |
|-------------------------------------|-----------------|
| Buoy/Lighthouse Tender | Offshore |
| Diving Support | Offshore |
| Seismic Survey | Offshore |
| Multi-Functional Support | Offshore |
| Maintenance | Offshore |
| Miscellaneous Offshore Service | Offshore |
| Offshore Crew Tender | Offshore |
| Rov/Submersible Support | Offshore |
| Pipe Layer | Offshore |
| Cable Layer, Naval Auxiliary | Offshore |
| Crew Boat, Naval Auxiliary | Offshore |
| Gravel/Stone Discharge | Offshore |
| Steam Supply Pontoon | Offshore |
| Reefer Fish Carrier | Reefer |
| Reefer | Reefer |
| Reefer/General Cargo | Reefer |
| Reefer/Pallets Carrier | Reefer |
| Reefer/Ro-Ro Cargo | Reefer |
| Reefer/Pass /Ro-Ro | Reefer |
| Research Vessel | Miscellaneous |
| Research Vessel, Naval Auxiliary | Miscellaneous |
| Marine Research | Miscellaneous |
| Research (Inland) | Miscellaneous |
| Ro-Ro Cargo (Inland) | RoRo |
| Pure Car Carrier | RoRo |
| Ro-Ro Freight/Passenger | RoRo |
| Logistics Vessel (Naval RoRo Cargo) | RoRo |
| Ro-Ro | RoRo |
| Ro-Ro/Lo-Lo | RoRo |
| Ro-Ro/Container | RoRo |
| Tug | Tug |
| Fire-fighting Tug | Tug |
| Towing/Pushing (Inland) | Tug |
| Towing Vessel | Tug |
| Small Harbor Tug | Tug |
| Ocean-going Salvage Tug | Tug |
| Ocean-going Tug | Tug |
| Self Elevating Install Barge | Other Tanker |
| Accommodation Barge | Offshore |
| Chemical & Oil Carrier | Chemical tanker |

| Clarkson's Vessel Type | Ship Type |
|-------------------------------------|-----------------|
| Asphalt & Bitumen Carrier | Other tanker |
| Chemical/Products Tanker (Inland) | Chemical tanker |
| Bunkering Vessel | Other tanker |
| FPSO | Offshore |
| Product Carrier | Offshore |
| Oil Tanker (Inland) | Oil tanker |
| Tug, Anchor Hoy | Other tanker |
| Crude Oil Tank Barge | Oil tanker |
| Waste Disposal Carrier | Other tanker |
| Chemical Tanker (Inland) | Chemical tanker |
| Water Carrier | Other tanker |
| Edible Oil Carrier | Other tanker |
| Well Stimulation | Offshore |
| Accommodation Unit - Self Elevating | Offshore |
| Mini Tension Leg Platform | Offshore |
| Jack-up Production Unit | Offshore |
| Semi-Submersible Production Unit | Offshore |
| Floating Production Unit | Offshore |
| Heavy Lift/Crane Ship | Offshore |
| FSO | Offshore |
| Self Elevating Install Vessel | Offshore |
| Buoyant Tower | Offshore |
| Jack-up Drilling Rig | Offshore |
| Semi-Submersible Heavy Lift | Offshore |
| Supply | Offshore |
| Tank Ship | Other tanker |
| Mobile Offshore Drilling Unit | Offshore |
| Drillship | Offshore |
| Tanker | Other tanker |
| Wine Carrier | Other tanker |
| Accommodation Vessel | Offshore |
| Anchor Handling Tug/Supply | Offshore |
| Anchor Handling Tug | Offshore |
| FSU | Offshore |
| FSRU | Offshore |
| LNG/FPSO | Offshore |
| Slop Reception Vessel | Oil tanker |
| Water Tanker (Inland) | Other tanker |
| Semi-Submersible Drilling Rig | Offshore |
| LNG/FSU | Offshore |

| Clarkson's Vessel Type | Ship Type |
|---------------------------------|-----------------|
| Water Tanker, Naval Auxiliary | Other tanker |
| Bulk/Oil Carrier | Oil tanker |
| Drilling Tender | Offshore |
| LPG/FSO | Offshore |
| Accommodation Unit - Semi Sub | Offshore |
| Oil & Liquid Gas Carrier | Oil tanker |
| FPDSO | Offshore |
| Cylindrical Floating Drill Unit | Offshore |
| Methanol Carrier | Other tanker |
| Sulphuric Acid Carrier | Other tanker |
| Molten Sulphur Carrier | Other tanker |
| Shuttle Tanker | Oil tanker |
| Fruit Juice Carrier | Other tanker |
| Extended Well Test Vessel | Offshore |
| Chemical & LPG Carrier | Chemical tanker |
| Phosphoric Acid Carrier | Other tanker |
| LPG/FPSO | Offshore |
| Product Carrier/Ro-Ro | Other tanker |
| Cylindrical Floating Prod Unit | Offshore |
| Oil Recovery Tanker | Oil tanker |
| Products/Multi-Purpose Cargo | Other tanker |
| Cylindrical Floating Accom Unit | Offshore |
| Motor Yacht | Yacht |
| Yacht (Sailing) | Yacht |
| Recreational | Yacht |

Table A-2 Ship Subtype Map

| ShipType | SizeUnits | SizeMin | SizeMax | SubType |
|----------------------|---------------|---------|----------|--------------------------------|
| Bulk Carrier | Deadweight | 0 | 10,000 | Bulk carrier small |
| | | 10,000 | 35,000 | Bulk carrier handy size |
| | | 35,000 | 60,000 | Bulk carrier handy max |
| | | 60,000 | 1 00E+05 | Bulk carrier pana max |
| | | 100,000 | 2 00E+05 | Bulk carrier cape size |
| | | 200,000 | Inf | Bulk carrier cape size largest |
| Chemical Tanker | Deadweight | 0 | 5,000 | Chemical tanker smallest |
| | | 5,000 | 10,000 | Chemical tanker small |
| | | 10,000 | 20,000 | Chemical tanker handy size |
| | | 20,000 | Inf | Chemical tanker handy max |
| Container Ship | TEU | 0 | 1,000 | Container ship 1000 |
| | | 1,000 | 2,000 | Container ship 2000 |
| | | 2,000 | 3,000 | Container ship 3000 |
| | | 3,000 | 5,000 | Container ship 5000 |
| | | 5,000 | 8,000 | Container ship 8000 |
| | | 8,000 | 12,000 | Container ship 12000 |
| | | 12,000 | 14,500 | Container ship 14500 |
| | | 14,500 | Inf | Container ship largest |
| General Cargo | Deadweight | 0 | 5,000 | General cargo 5000 |
| | | 5,000 | 10,000 | General cargo 10000 |
| | | 10,000 | Inf | General cargo largest |
| Liquified Gas Tanker | Deadweight | 0 | 5,000 | Liquified gas tanker 5000 |
| | | 5,000 | 10,000 | Liquified gas tanker 10000 |
| | | 10,000 | 20,000 | Liquified gas tanker 20000 |
| | | 20,000 | Inf | Liquified gas tanker largest |
| Oil Tanker | Deadweight | 0 | 5,000 | Oil tanker smallest |
| | | 5,000 | 10,000 | Oil tanker small |
| | | 10,000 | 20,000 | Oil tanker handy size |
| | | 20,000 | 60,000 | Oil tanker handy max |
| | | 60,000 | 80,000 | Oil tanker pana max |
| | | 80,000 | 120,000 | Oil tanker afra max |
| | | 120,000 | 2 00E+05 | Oil tanker suez max |
| | | 200,000 | Inf | Oil tanker vlcc |
| Other Tanker | Deadweight | 0 | Inf | Other tanker |
| Ferry Pax | Gross Tonnage | 0 | 2,000 | Ferry pax 2000 |
| | | 2,000 | Inf | Ferry pax largest |
| Cruise | Gross Tonnage | 0 | 2,000 | Cruise 2000 |
| | | 2,000 | 10,000 | Cruise 10000 |
| | | 10,000 | 60,000 | Cruise 60000 |
| | | 60,000 | 1 00E+05 | Cruise 100000 |

| ShipType | SizeUnits | SizeMin | SizeMax | SubType |
|-----------------------|---------------|---------|---------|-------------------------|
| | | 100,000 | Inf | Cruise largest |
| Ferry Ro Pax | Gross Tonnage | 0 | 2,000 | Ferry Ro pax 2000 |
| | | 2,000 | Inf | Ferry Ro pax largest |
| Reefer | Deadweight | 0 | Inf | Reefer |
| Ro Ro | Gross Tonnage | 0 | 5,000 | RoRo 5000 |
| | | 5,000 | Inf | RoRo largest |
| Vehicle Carrier | Deadweight | 0 | 10,000 | Vehicle carrier 10000 |
| | | 10,000 | 20,000 | Vehicle carrier 20000 |
| | | 20,000 | 30,000 | Vehicle carrier 30000 |
| | | 30,000 | Inf | Vehicle carrier largest |
| Yacht | Gross Tonnage | 0 | Inf | Yacht |
| Service Tug | Gross Tonnage | 0 | Inf | Tug |
| Miscellaneous Fishing | Gross Tonnage | 0 | Inf | Fishing |
| Offshore | Gross Tonnage | 0 | Inf | Offshore |
| Service Other | Gross Tonnage | 0 | Inf | Service other |
| Miscellaneous Other | Gross Tonnage | 0 | Inf | Miscellaneous |

APPENDIX B

Examples of Vessel Parameter Gap Filling Methods

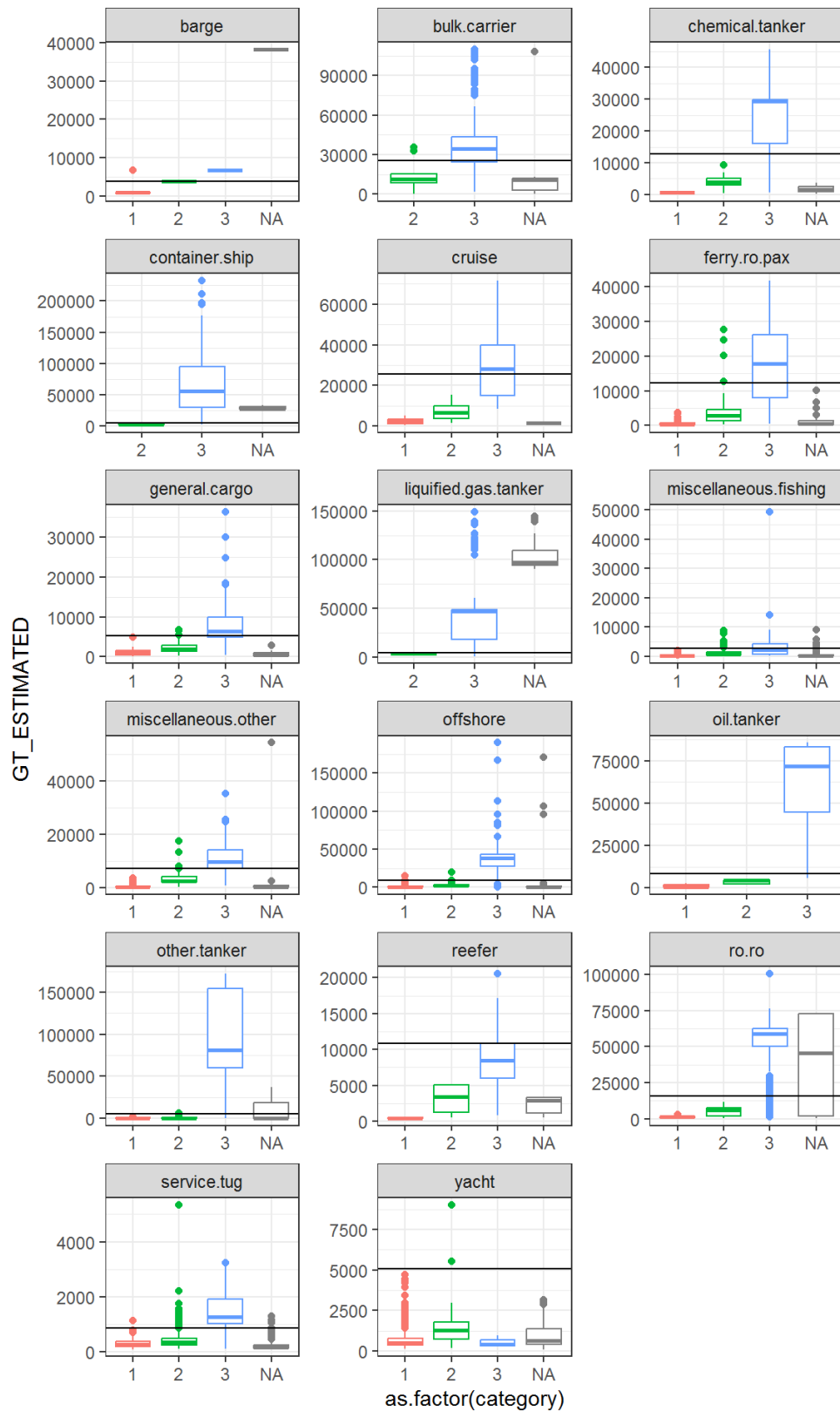


Figure B-1 Engine Category Assignment

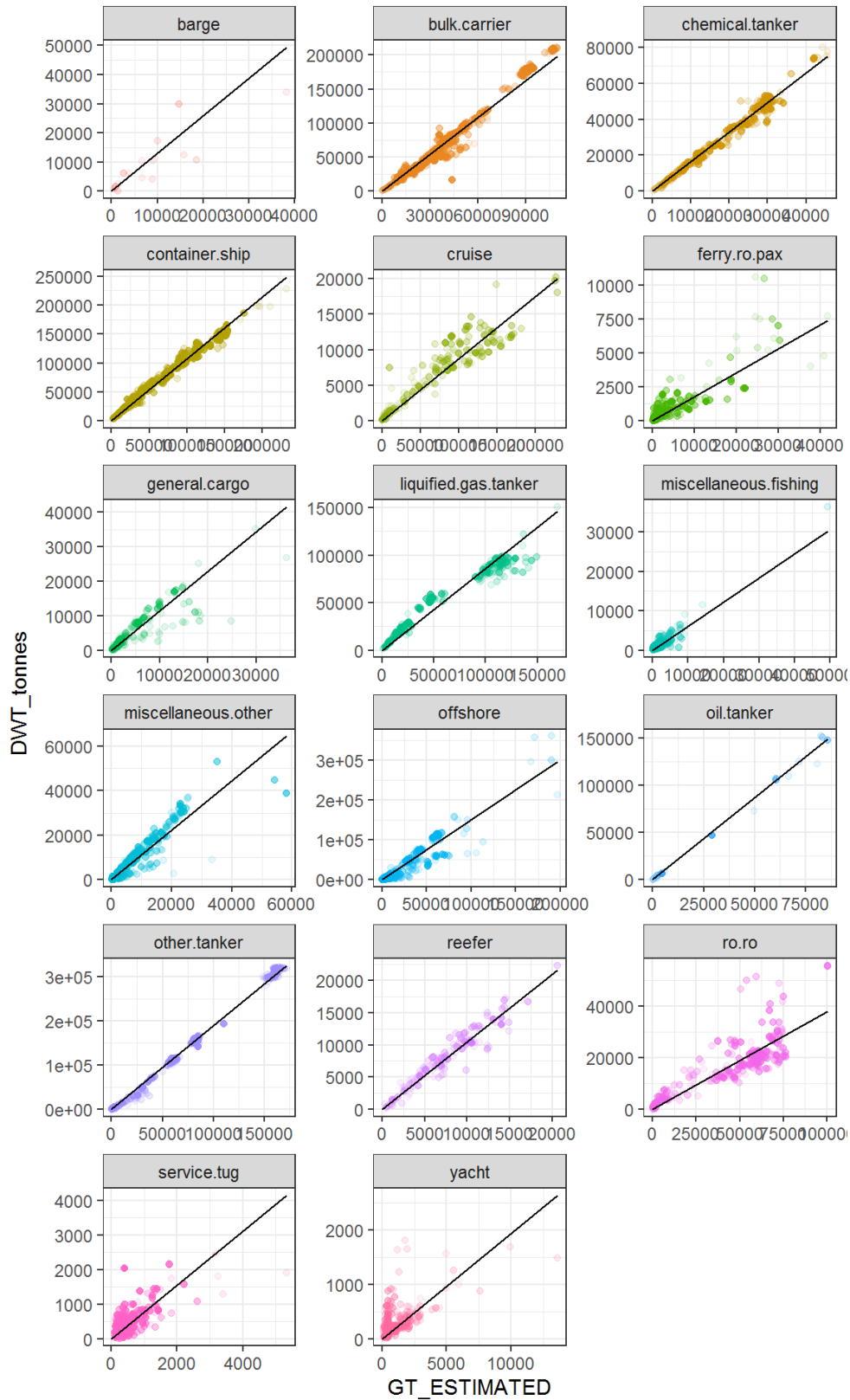


Figure B-2 Deadweight Tonnage Linear Regression with Gross Tonnage

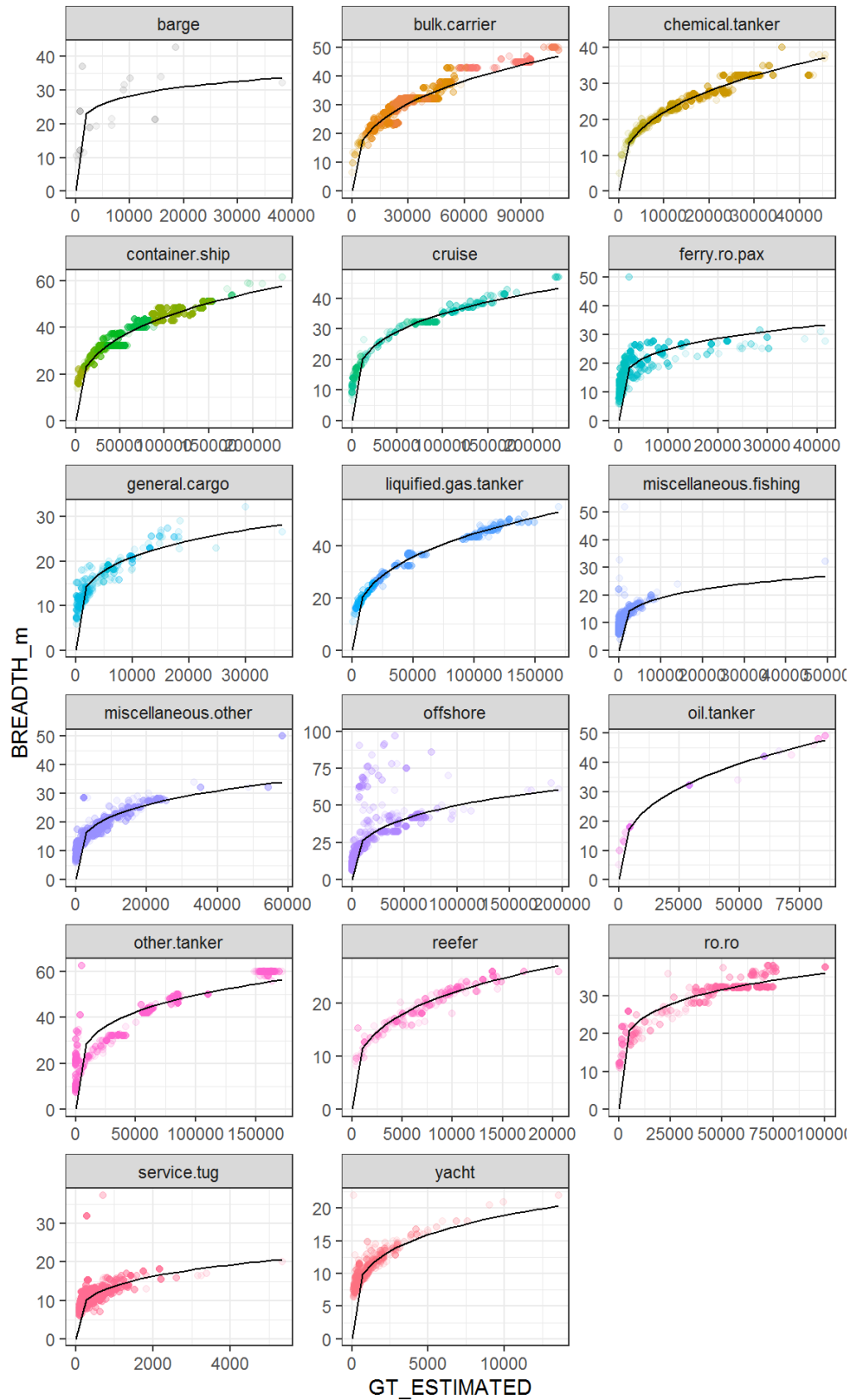


Figure B-3 Ship Breadth n-th Root Fit with Gross Tonnage

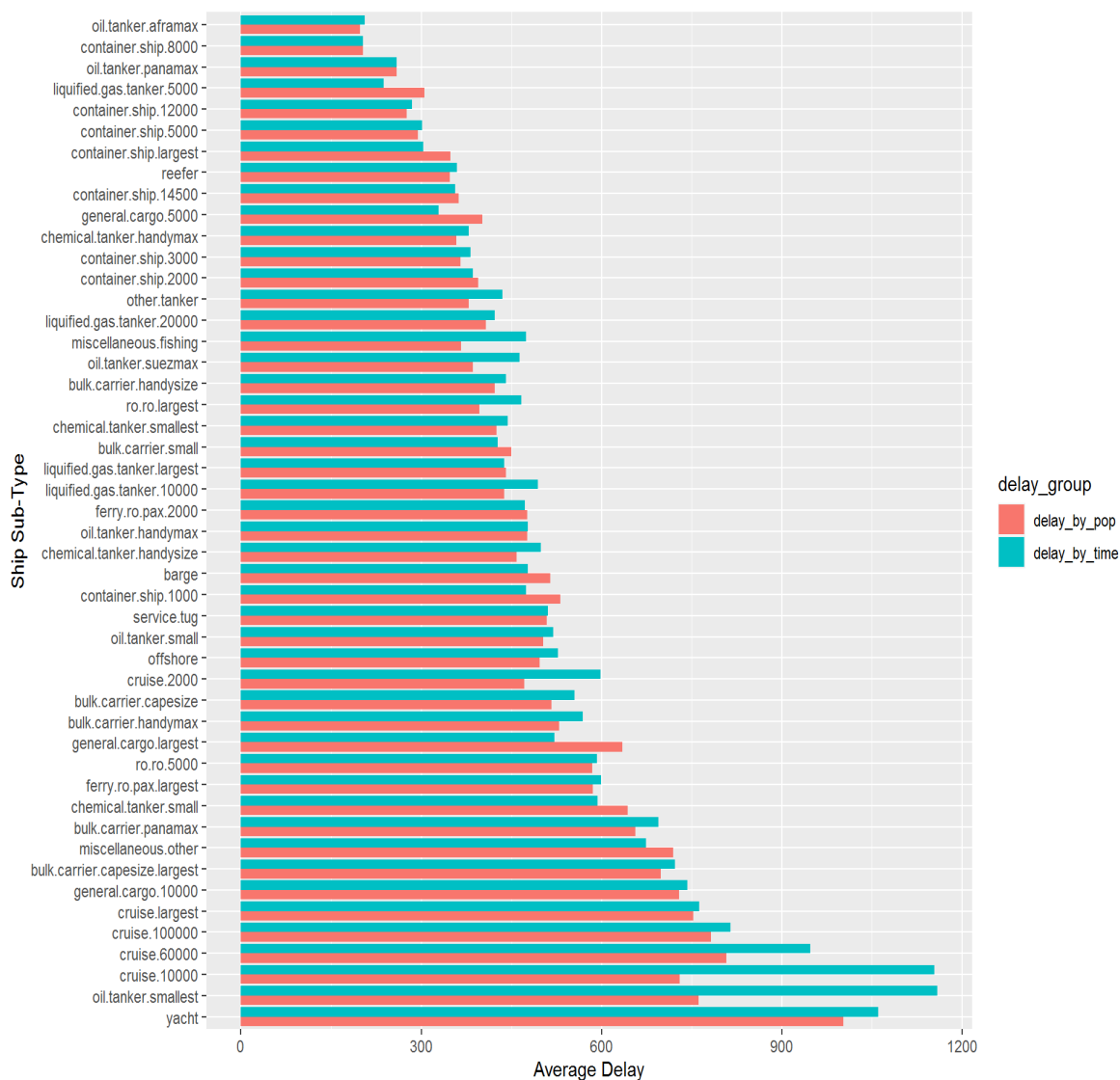


Figure B-3 Average Delay from Keel-laying Date to Build Date (weighted by time or population)

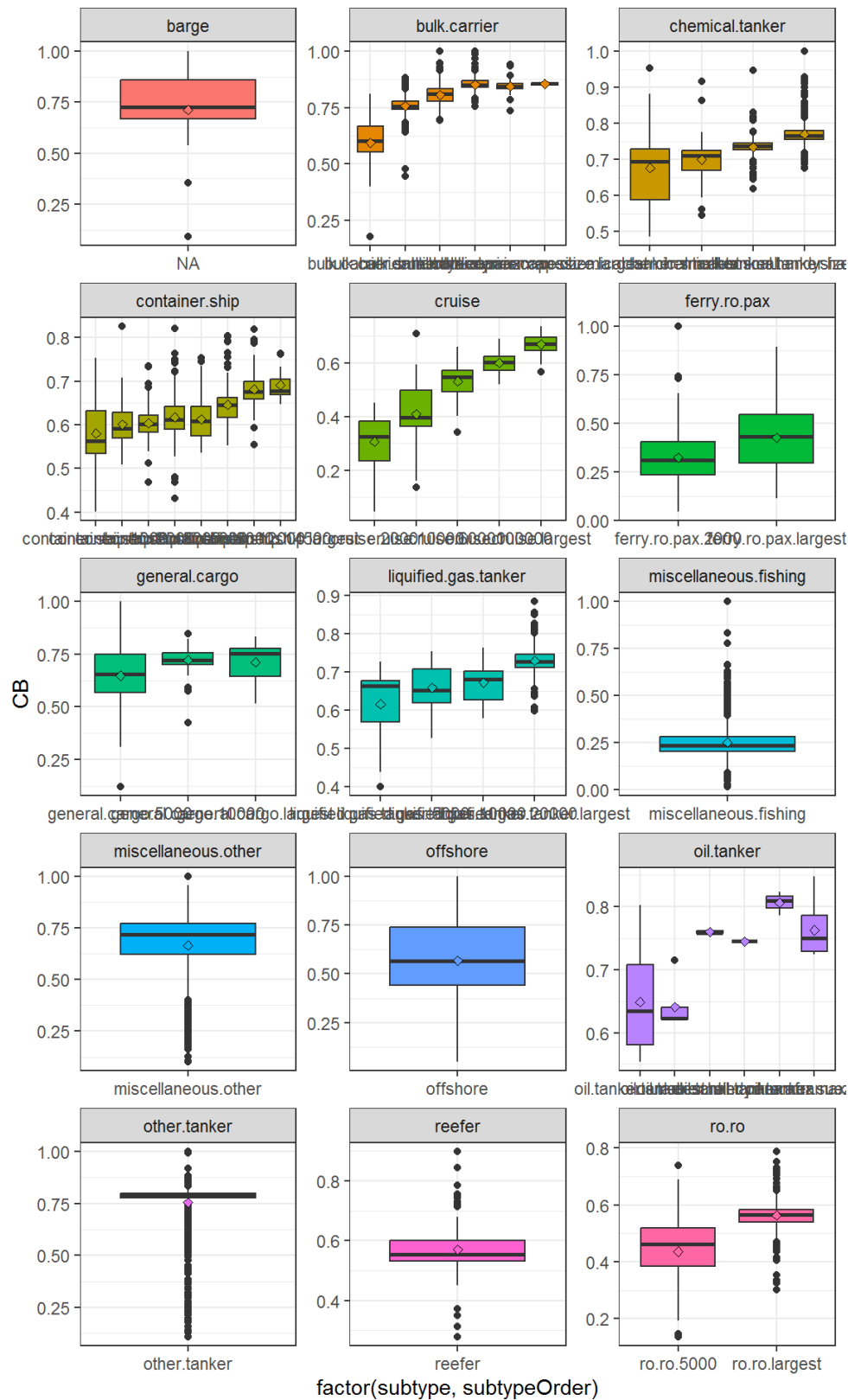


Figure B-4 Median Block Coefficient by Ship Subtype

APPENDIX C

Emission Growth Factors

Table C-1 Growth Rates by Ship Type and Region

| Ship Type | Region | 2026 Growth Factor | 2032 Growth Factor | 2038 Growth Factor |
|-----------|----------|--------------------|--------------------|--------------------|
| barge | Alaska | 1.097044351 | 1.258645687 | 1.399417183 |
| barge | Atlantic | 1.017171201 | 1.044575449 | 1.085314879 |
| barge | Gulf | 1.001391993 | 1.003582105 | 1.057387979 |
| barge | Hawaii | 1.130133776 | 1.318536399 | 1.556391709 |
| barge | Inland | 0.969687755 | 0.930704544 | 0.921917278 |
| barge | Pacific | 1.089465319 | 1.216875766 | 1.346227461 |
| bulk | Alaska | 1.097044351 | 1.258645687 | 1.399417183 |
| bulk | Atlantic | 1.017171201 | 1.044575449 | 1.085314879 |
| bulk | Gulf | 1.001391993 | 1.003582105 | 1.057387979 |
| bulk | Hawaii | 1.130133776 | 1.318536399 | 1.556391709 |
| bulk | Inland | 0.969687755 | 0.930704544 | 0.921917278 |
| bulk | Pacific | 1.089465319 | 1.216875766 | 1.346227461 |
| container | Alaska | 1.072877772 | 1.182084519 | 1.29914446 |
| container | Atlantic | 1.089851307 | 1.234274499 | 1.411004394 |
| container | Gulf | 1.090433758 | 1.232583038 | 1.398431933 |
| container | Hawaii | 1.102784526 | 1.262038083 | 1.440365434 |
| container | Inland | 1.100765205 | 1.249797844 | 1.40397408 |
| container | Pacific | 1.106365845 | 1.270707046 | 1.457932948 |
| cruise | Alaska | 1.118389402 | 1.271774226 | 1.376261481 |
| cruise | Atlantic | 0.999618748 | 0.998279472 | 1.004116862 |
| cruise | Gulf | 1.058835044 | 1.146039924 | 1.247511687 |
| cruise | Hawaii | 1.072251334 | 1.173576767 | 1.290110714 |
| cruise | Inland | 0.981875891 | 0.960089752 | 0.963445208 |
| cruise | Pacific | 1.046569755 | 1.109300873 | 1.16219953 |
| ferry | Alaska | 1.073593304 | 1.198466397 | 1.362032686 |
| ferry | Atlantic | 1.083117827 | 1.208439227 | 1.344887822 |
| ferry | Gulf | 1.107431382 | 1.271639144 | 1.453945851 |
| ferry | Hawaii | 1.147631049 | 1.365336244 | 1.587956666 |
| ferry | Inland | 1.076469116 | 1.191937416 | 1.320959994 |
| ferry | Pacific | 1.142710201 | 1.355545266 | 1.581887619 |
| fishing | Alaska | 1.118389402 | 1.271774226 | 1.376261481 |
| fishing | Atlantic | 0.999618748 | 0.998279472 | 1.004116862 |
| fishing | Gulf | 1.058835044 | 1.146039924 | 1.247511687 |
| fishing | Hawaii | 1.072251334 | 1.173576767 | 1.290110714 |
| fishing | Inland | 0.981875891 | 0.960089752 | 0.963445208 |
| fishing | Pacific | 1.046569755 | 1.109300873 | 1.16219953 |
| general | Alaska | 1.094878337 | 1.239617073 | 1.399736797 |
| general | Atlantic | 1.080263684 | 1.201786059 | 1.338214711 |
| general | Gulf | 1.061249717 | 1.155611328 | 1.263820276 |
| general | Hawaii | 1.105253492 | 1.266036763 | 1.445615176 |

| Ship Type | Region | GrowthFactor-2026 | GrowthFactor-2032 | GrowthFactor-2038 |
|------------|----------|-------------------|-------------------|-------------------|
| general | Inland | 1.031199453 | 1.082745655 | 1.151826803 |
| general | Pacific | 1.09973871 | 1.25221261 | 1.421836896 |
| government | Alaska | 1.118389402 | 1.271774226 | 1.376261481 |
| government | Atlantic | 0.999618748 | 0.998279472 | 1.004116862 |
| government | Gulf | 1.058835044 | 1.146039924 | 1.247511687 |
| government | Hawaii | 1.072251334 | 1.173576767 | 1.290110714 |
| government | Inland | 0.981875891 | 0.960089752 | 0.963445208 |
| government | Pacific | 1.046569755 | 1.109300873 | 1.16219953 |
| misc | Alaska | 1.118389402 | 1.271774226 | 1.376261481 |
| misc | Atlantic | 0.999618748 | 0.998279472 | 1.004116862 |
| misc | Gulf | 1.058835044 | 1.146039924 | 1.247511687 |
| misc | Hawaii | 1.072251334 | 1.173576767 | 1.290110714 |
| misc | Inland | 0.981875891 | 0.960089752 | 0.963445208 |
| misc | Pacific | 1.046569755 | 1.109300873 | 1.16219953 |
| offshore | Alaska | 1.118389402 | 1.271774226 | 1.376261481 |
| offshore | Atlantic | 0.999618748 | 0.998279472 | 1.004116862 |
| offshore | Gulf | 1.058835044 | 1.146039924 | 1.247511687 |
| offshore | Hawaii | 1.072251334 | 1.173576767 | 1.290110714 |
| offshore | Inland | 0.981875891 | 0.960089752 | 0.963445208 |
| offshore | Pacific | 1.046569755 | 1.109300873 | 1.16219953 |
| passenger | Alaska | 1.118389402 | 1.271774226 | 1.376261481 |
| passenger | Atlantic | 0.999618748 | 0.998279472 | 1.004116862 |
| passenger | Gulf | 1.058835044 | 1.146039924 | 1.247511687 |
| passenger | Hawaii | 1.072251334 | 1.173576767 | 1.290110714 |
| passenger | Inland | 0.981875891 | 0.960089752 | 0.963445208 |
| passenger | Pacific | 1.046569755 | 1.109300873 | 1.16219953 |
| reefer | Alaska | 0.958846099 | 0.895934892 | 0.848294202 |
| reefer | Atlantic | 1.092565031 | 1.239522661 | 1.417402471 |
| reefer | Gulf | 1.054403429 | 1.140036665 | 1.245292708 |
| reefer | Hawaii | 1.091063383 | 1.235424961 | 1.407477656 |
| reefer | Inland | 1.030189669 | 1.075128991 | 1.12851689 |
| reefer | Pacific | 1.090821663 | 1.232835397 | 1.405991736 |
| ro.ro | Alaska | 1.073593304 | 1.198466397 | 1.362032686 |
| ro.ro | Atlantic | 1.083117827 | 1.208439227 | 1.344887822 |
| ro.ro | Gulf | 1.107431382 | 1.271639144 | 1.453945851 |
| ro.ro | Hawaii | 1.147631049 | 1.365336244 | 1.587956666 |
| ro.ro | Inland | 1.076469116 | 1.191937416 | 1.320959994 |
| ro.ro | Pacific | 1.142710201 | 1.355545266 | 1.581887619 |
| tanker | Alaska | 1.123853568 | 1.283171909 | 1.390511279 |
| tanker | Atlantic | 0.972903945 | 0.928839642 | 0.885003481 |
| tanker | Gulf | 1.074132284 | 1.183832549 | 1.299165138 |
| tanker | Hawaii | 1.017798123 | 1.035116461 | 1.039237769 |

| Ship Type | Region | GrowthFactor-2026 | GrowthFactor-2032 | GrowthFactor-2038 |
|---------------|----------|-------------------|-------------------|-------------------|
| tanker | Inland | 1.020760397 | 1.052607733 | 1.090411048 |
| tanker | Pacific | 1.034738621 | 1.078914808 | 1.108991316 |
| tour | Alaska | 1.118389402 | 1.271774226 | 1.376261481 |
| tour | Atlantic | 0.999618748 | 0.998279472 | 1.004116862 |
| tour | Gulf | 1.058835044 | 1.146039924 | 1.247511687 |
| tour | Hawaii | 1.072251334 | 1.173576767 | 1.290110714 |
| tour | Inland | 0.981875891 | 0.960089752 | 0.963445208 |
| tour | Pacific | 1.046569755 | 1.109300873 | 1.16219953 |
| tug | Alaska | 1.118389402 | 1.271774226 | 1.376261481 |
| Tug | Atlantic | 0.999618748 | 0.998279472 | 1.004116862 |
| Tug | Gulf | 1.058835044 | 1.146039924 | 1.247511687 |
| Tug | Hawaii | 1.072251334 | 1.173576767 | 1.290110714 |
| Tug | Inland | 0.981875891 | 0.960089752 | 0.963445208 |
| Tug | Pacific | 1.046569755 | 1.109300873 | 1.16219953 |

APPENDIX D

Emissions by SCC

Table D-1 Emissions by SCC

| SCC | Fuel | Ship Type | Port Uway | Engine | CO | CO ₂ | NH ₃ | NO _x | PM ₁₀ | PM _{2.5} | SO ₂ | VOC |
|------------|--------|----------------|-----------|--------|--------|-----------------|-----------------|-----------------|------------------|-------------------|-----------------|-------|
| 2280202313 | Diesel | Offshore | Port | Main | 2 | 297 | 0 | 9 | 0 | 0 | 0 | 2 |
| 2280202314 | Diesel | Offshore | Port | Aux | 44 | 27,931 | 0 | 359 | 8 | 7 | 17 | 17 |
| 2280202323 | Diesel | Offshore | Underway | Main | 696 | 251,108 | 2 | 5,555 | 86 | 79 | 153 | 395 |
| 2280202324 | Diesel | Offshore | Underway | Aux | 246 | 155,373 | 1 | 1,996 | 42 | 39 | 95 | 94 |
| 2280203313 | Diesel | Bulk Carrier | Port | Main | 6 | 1,041 | 0 | 34 | 1 | 1 | 1 | 6 |
| 2280203314 | Diesel | Bulk Carrier | Port | Aux | 397 | 332,674 | 1 | 3,446 | 84 | 78 | 203 | 155 |
| 2280203323 | Diesel | Bulk Carrier | Underway | Main | 3,783 | 1,576,404 | 9 | 32,909 | 493 | 454 | 962 | 1,807 |
| 2280203324 | Diesel | Bulk Carrier | Underway | Aux | 939 | 799,285 | 4 | 8,337 | 202 | 186 | 487 | 367 |
| 2280204313 | Diesel | Fishing | Port | Main | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2280204314 | Diesel | Fishing | Port | Aux | 1 | 552 | 0 | 10 | 0 | 0 | 0 | 0 |
| 2280204323 | Diesel | Fishing | Underway | Main | 9 | 2,661 | 0 | 63 | 1 | 1 | 2 | 7 |
| 2280204324 | Diesel | Fishing | Underway | Aux | 6 | 3,998 | 0 | 77 | 1 | 1 | 2 | 2 |
| 2280205313 | Diesel | Container Ship | Port | Main | 77 | 11,501 | 0 | 413 | 8 | 7 | 7 | 76 |
| 2280205314 | Diesel | Container Ship | Port | Aux | 746 | 727,239 | 3 | 5,984 | 179 | 165 | 443 | 294 |
| 2280205323 | Diesel | Container Ship | Underway | Main | 11,566 | 3,660,152 | 23 | 83,925 | 1,289 | 1,186 | 2,236 | 6,753 |
| 2280205324 | Diesel | Container Ship | Underway | Aux | 3,254 | 2,577,591 | 12 | 25,419 | 662 | 609 | 1,572 | 1,264 |
| 2280206313 | Diesel | Ferry | Port | Main | 0 | 65 | 0 | 2 | 0 | 0 | 0 | 0 |
| 2280206314 | Diesel | Ferry | Port | Aux | 7 | 4,698 | 0 | 93 | 1 | 1 | 3 | 3 |
| 2280206323 | Diesel | Ferry | Underway | Main | 26 | 14,555 | 0 | 304 | 4 | 4 | 9 | 13 |
| 2280206324 | Diesel | Ferry | Underway | Aux | 12 | 7,501 | 0 | 149 | 2 | 2 | 5 | 5 |
| 2280207313 | Diesel | General Cargo | Port | Main | 0 | 40 | 0 | 1 | 0 | 0 | 0 | 0 |
| 2280207314 | Diesel | General Cargo | Port | Aux | 14 | 10,447 | 0 | 158 | 3 | 2 | 6 | 5 |
| 2280207323 | Diesel | General Cargo | Underway | Main | 54 | 27,030 | 0 | 555 | 8 | 7 | 16 | 26 |
| 2280207324 | Diesel | General Cargo | Underway | Aux | 26 | 19,893 | 0 | 267 | 5 | 5 | 12 | 10 |
| 2280209313 | Diesel | Miscellaneous | Port | Main | 1 | 168 | 0 | 5 | 0 | 0 | 0 | 1 |
| 2280209314 | Diesel | Miscellaneous | Port | Aux | 37 | 23,494 | 0 | 343 | 6 | 6 | 14 | 14 |
| 2280209323 | Diesel | Miscellaneous | Underway | Main | 384 | 199,230 | 1 | 3,282 | 59 | 54 | 122 | 193 |
| 2280209324 | Diesel | Miscellaneous | Underway | Aux | 65 | 41,236 | 0 | 564 | 11 | 10 | 25 | 25 |

| SCC | Fuel | Ship Type | Port Uway | Engine | CO | CO ₂ | NH ₃ | NO _x | PM ₁₀ | PM _{2.5} | SO ₂ | VOC |
|------------|----------|--------------|-----------|--------|-------|-----------------|-----------------|-----------------|------------------|-------------------|-----------------|-------|
| 2280210313 | Diesel | Ro-Ro | Port | Main | 8 | 1,181 | 0 | 41 | 1 | 1 | 1 | 8 |
| 2280210314 | Diesel | Ro-Ro | Port | Aux | 250 | 203,255 | 1 | 1,913 | 52 | 48 | 124 | 97 |
| 2280210323 | Diesel | Ro-Ro | Underway | Main | 1,932 | 792,494 | 4 | 14,089 | 248 | 229 | 483 | 944 |
| 2280210324 | Diesel | Ro-Ro | Underway | Aux | 567 | 411,317 | 2 | 4,336 | 108 | 99 | 251 | 219 |
| 2280211313 | Diesel | Tanker | Port | Main | 9 | 1,550 | 0 | 52 | 1 | 1 | 1 | 9 |
| 2280211314 | Diesel | Tanker | Port | Aux | 759 | 892,135 | 4 | 6,609 | 213 | 196 | 544 | 305 |
| 2280211323 | Diesel | Tanker | Underway | Main | 6,622 | 2,773,378 | 15 | 53,735 | 868 | 798 | 1,692 | 3,282 |
| 2280211324 | Diesel | Tanker | Underway | Aux | 2,225 | 1,901,580 | 9 | 19,397 | 481 | 442 | 1,160 | 869 |
| 2280213313 | Diesel | Tug | Port | Main | 0 | 120 | 0 | 3 | 0 | 0 | 0 | 0 |
| 2280213314 | Diesel | Tug | Port | Aux | 2 | 1,155 | 0 | 15 | 0 | 0 | 1 | 1 |
| 2280213323 | Diesel | Tug | Underway | Main | 449 | 263,677 | 1 | 3,606 | 76 | 69 | 161 | 220 |
| 2280213324 | Diesel | Tug | Underway | Aux | 7 | 4,689 | 0 | 65 | 1 | 1 | 3 | 3 |
| 2280214313 | Diesel | Refrigerated | Port | Main | 0 | 43 | 0 | 2 | 0 | 0 | 0 | 0 |
| 2280214314 | Diesel | Refrigerated | Port | Aux | 30 | 24,093 | 0 | 231 | 6 | 6 | 15 | 12 |
| 2280214323 | Diesel | Refrigerated | Underway | Main | 113 | 45,981 | 0 | 866 | 14 | 13 | 28 | 54 |
| 2280214324 | Diesel | Refrigerated | Underway | Aux | 77 | 58,727 | 0 | 611 | 15 | 14 | 36 | 30 |
| 2280215313 | Diesel | Cruise | Port | Main | 16 | 4,029 | 0 | 86 | 2 | 2 | 2 | 16 |
| 2280215314 | Diesel | Cruise | Port | Aux | 880 | 591,401 | 3 | 7,013 | 158 | 145 | 361 | 338 |
| 2280215323 | Diesel | Cruise | Underway | Main | 2,263 | 1,219,078 | 6 | 17,349 | 341 | 314 | 744 | 1,279 |
| 2280215324 | Diesel | Cruise | Underway | Aux | 2,694 | 1,767,824 | 8 | 23,482 | 475 | 437 | 1,078 | 1,034 |
| 2280302313 | Residual | Offshore | Port | Main | 0 | 9 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2280302314 | Residual | Offshore | Port | Aux | 2 | 1,064 | 0 | 5 | 1 | 1 | 3 | 1 |
| 2280302323 | Residual | Offshore | Underway | Main | 158 | 63,415 | 1 | 1,673 | 79 | 73 | 199 | 80 |
| 2280302324 | Residual | Offshore | Underway | Aux | 13 | 8,675 | 0 | 117 | 9 | 9 | 27 | 5 |
| 2280303313 | Residual | Bulk Carrier | Port | Main | 0 | 80 | 0 | 3 | 0 | 0 | 0 | 0 |
| 2280303314 | Residual | Bulk Carrier | Port | Aux | 7 | 5,862 | 0 | 74 | 6 | 5 | 18 | 3 |
| 2280303323 | Residual | Bulk Carrier | Underway | Main | 1,743 | 739,801 | 16 | 19,035 | 894 | 823 | 2,322 | 799 |
| 2280303324 | Residual | Bulk Carrier | Underway | Aux | 144 | 100,383 | 2 | 1,317 | 105 | 97 | 315 | 55 |
| 2280304313 | Residual | Fishing | Port | Main | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2280304314 | Residual | Fishing | Port | Aux | 0 | 306 | 0 | 6 | 0 | 0 | 1 | 0 |

| SCC | Fuel | Ship Type | Port Uway | Engine | CO | CO ₂ | NH ₃ | NO _x | PM ₁₀ | PM _{2.5} | SO ₂ | VOC |
|------------|----------|----------------|-----------|--------|-------|-----------------|-----------------|-----------------|------------------|-------------------|-----------------|-------|
| 2280304323 | Residual | Fishing | Underway | Main | 20 | 6,008 | 0 | 144 | 10 | 9 | 19 | 17 |
| 2280304324 | Residual | Fishing | Underway | Aux | 6 | 3,765 | 0 | 61 | 4 | 4 | 12 | 2 |
| 2280305313 | Residual | Container Ship | Port | Main | 0 | 72 | 0 | 3 | 0 | 0 | 0 | 0 |
| 2280305314 | Residual | Container Ship | Port | Aux | 6 | 5,427 | 0 | 40 | 5 | 5 | 17 | 2 |
| 2280305323 | Residual | Container Ship | Underway | Main | 3,200 | 1,269,909 | 28 | 31,939 | 1,570 | 1,444 | 3,982 | 1,553 |
| 2280305324 | Residual | Container Ship | Underway | Aux | 355 | 254,906 | 5 | 2,941 | 266 | 244 | 800 | 137 |
| 2280306323 | Residual | Ferry | Underway | Main | 10 | 5,880 | 0 | 123 | 7 | 6 | 18 | 5 |
| 2280306324 | Residual | Ferry | Underway | Aux | 1 | 546 | 0 | 11 | 1 | 1 | 2 | 0 |
| 2280307313 | Residual | General Cargo | Port | Main | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2280307314 | Residual | General Cargo | Port | Aux | 0 | 43 | 0 | 1 | 0 | 0 | 0 | 0 |
| 2280307323 | Residual | General Cargo | Underway | Main | 13 | 6,397 | 0 | 151 | 7 | 7 | 20 | 6 |
| 2280307324 | Residual | General Cargo | Underway | Aux | 3 | 1,812 | 0 | 28 | 2 | 2 | 6 | 1 |
| 2280309313 | Residual | Miscellaneous | Port | Main | 0 | 8 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2280309314 | Residual | Miscellaneous | Port | Aux | 0 | 138 | 0 | 1 | 0 | 0 | 0 | 0 |
| 2280309323 | Residual | Miscellaneous | Underway | Main | 110 | 55,596 | 1 | 1,158 | 65 | 59 | 174 | 54 |
| 2280309324 | Residual | Miscellaneous | Underway | Aux | 7 | 4,719 | 0 | 68 | 5 | 5 | 15 | 3 |
| 2280310323 | Residual | Ro-Ro | Underway | Main | 376 | 165,733 | 4 | 3,927 | 198 | 182 | 520 | 173 |
| 2280310324 | Residual | Ro-Ro | Underway | Aux | 55 | 36,937 | 1 | 431 | 39 | 36 | 116 | 21 |
| 2280311313 | Residual | Tanker | Port | Main | 0 | 17 | 0 | 1 | 0 | 0 | 0 | 0 |
| 2280311314 | Residual | Tanker | Port | Aux | 2 | 1,608 | 0 | 17 | 2 | 1 | 5 | 1 |
| 2280311323 | Residual | Tanker | Underway | Main | 1,631 | 747,458 | 15 | 17,759 | 860 | 791 | 2,244 | 770 |
| 2280311324 | Residual | Tanker | Underway | Aux | 229 | 175,822 | 3 | 2,107 | 181 | 166 | 552 | 89 |
| 2280313313 | Residual | Tug | Port | Main | 0 | 3 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2280313314 | Residual | Tug | Port | Aux | 0 | 4 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2280313323 | Residual | Tug | Underway | Main | 4 | 2,140 | 0 | 37 | 2 | 2 | 7 | 2 |
| 2280313324 | Residual | Tug | Underway | Aux | 0 | 17 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2280314313 | Residual | Refrigerated | Port | Main | 0 | 2 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2280314314 | Residual | Refrigerated | Port | Aux | 4 | 3,306 | 0 | 35 | 3 | 3 | 10 | 2 |
| 2280314323 | Residual | Refrigerated | Underway | Main | 79 | 34,181 | 1 | 849 | 42 | 38 | 107 | 38 |
| 2280314324 | Residual | Refrigerated | Underway | Aux | 51 | 39,092 | 1 | 362 | 40 | 37 | 123 | 20 |

| SCC | Fuel | Ship Type | Port Uway | Engine | CO | CO ₂ | NH ₃ | NO _x | PM ₁₀ | PM _{2.5} | SO ₂ | VOC |
|--------------------|----------|-----------|-----------|--------|---------------|-------------------|-----------------|-----------------|------------------|-------------------|-----------------|---------------|
| 2280315313 | Residual | Cruise | Port | Main | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 0 |
| 2280315314 | Residual | Cruise | Port | Aux | 1 | 551 | 0 | 6 | 1 | 1 | 2 | 0 |
| 2280315323 | Residual | Cruise | Underway | Main | 287 | 148,903 | 3 | 2,794 | 174 | 160 | 452 | 166 |
| 2280315324 | Residual | Cruise | Underway | Aux | 249 | 165,179 | 3 | 2,193 | 175 | 161 | 519 | 96 |
| Grand Total | | | | | 50,069 | 25,489,648 | 194 | 417,184 | 10,970 | 10,093 | 25,685 | 24,352 |